

# About the geometry groupoid of a balanced equational variety

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## Balanced equations

Let  $\Sigma$  be a signature (graded set over the integers). An equation  $u = v$  on the free algebra  $\Sigma[X]$  (on a set  $X$ ) is **balanced** when both terms  $u, v$  have the same set of variables.

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For instance, associativity  $(x_0 * x_1) * x_2 = x_0 * (x_1 * x_2)$ , left  $1 * x_0 = x_0$  or right  $x_0 * 1 = x_0$  unit, commutativity  $x_0 * x_1 = x_1 * x_0$ , quasi-inverse (in an inverse semigroup)  $x_0^* \cdot x_0 \cdot x_0^* = x_0$ , all are balanced equations.

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Counter-example : left (or right) inverse  $x_0^{-1} * x_0 = 1$ .

## Balanced variety

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For instance, the varieties of semigroups, of monoids or also of inverse semigroups (in commutative or non-commutative version) are balanced, while that of groups is not.

## Substitution operations

Given a signature  $\Sigma$ , a set  $X$  and a term  $t \in \Sigma[\mathbb{N}]$ , P. Dehornoy defined

$$\mathbf{Subst}_X(t) := \{ \hat{\sigma}(t) \in \Sigma[X] : \sigma : \mathbb{N} \rightarrow \Sigma[X] \} .$$



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More generally, given a position  $p$ , one defines the **translated**  $\rho_p^{(s,t)}$  of  $\rho^{(s,t)}$  that acts in a similar way on sub-terms at position  $p$ . In particular  $\rho_\epsilon^{(s,t)} = \rho^{(s,t)}$ .

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Let us consider the sub-monoid  $\mathbf{G}_R(\mathbf{V})$  (also denoted by  $\mathbf{G}(\mathbf{V})$ ) of partial bijections of  $\Sigma[X]$  generated by  $\rho_p^{(s,t)} : \Sigma[X] \rightarrow \Sigma[X]$ ,  $(s, t) \in R$  or  $(t, s) \in R$ , and the positions  $p$ .

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This object  $\mathbf{G}(\mathbf{V})$ , introduced by P. Dehornoy, was called the **monoid of geometry** of the variety  $\mathbf{V}$ .

## Remark

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It is relevant to study **rewrite term system**.

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# Geometry ?

## Geometric property (Dehornoy)

The monoid  $\mathbf{G}(\mathbf{V})$  acts on  $\Sigma[X]$  and the homogeneous space  $\Sigma[X]/\mathbf{G}(\mathbf{V})$  (set of orbits) associated with this action is the free algebra  $\mathbf{V}[X]$  in  $\mathbf{V}$  on  $X$ .

## Relation between $\mathbf{G}(\mathbf{V})$ and $\cong$

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### Theorem (Dehornoy)

For every (non void) partial bijection  $\theta$  in  $\mathbf{G}(\mathbf{V})$ , there is a pair of balanced terms  $(s_\theta, t_\theta) \in \Sigma[\mathbb{N}]^2$ , **unique** up to renaming of variables, such that  $s_\theta \cong t_\theta$  and

$$\theta = \rho^{(s_\theta, t_\theta)} .$$

Moreover for each set of relations  $R$  and  $R'$  that generate  $\cong$ ,  
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## Groupoid structure on $\mathbf{G}(\mathbf{V})$

For  $\theta_1, \theta_2 \in \mathbf{G}(\mathbf{V})$ , one defines (classical construction) the **restricted product**  $\theta_2 \cdot \theta_1 := \theta_2 \circ \theta_1$  if, and only if,  $\text{dom}(\theta_2) = \text{im}(\theta_1)$ .

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### Remark

There is an isomorphism of categories between inverse semigroups and inductive ordered groupoids (C. Ehresmann, M. Lawson).



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One shows that  $\sim_F$  is an **equivalence relation** on  $\Sigma_F$  and  $x \sim_F y$  if, and only if,  $\mathcal{O}(x) = \mathcal{O}(y)$ .



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One defines the **orbit space**  $\Sigma_F/\mathbf{G}$  as  $\Sigma_F/\sim_F$ .

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A (left) action  $\mathbf{G}$  on  $(E, \pi)$  is a map from the fibered product  $\mathbf{Arr}(\mathbf{G}) \times_{d_0} E$  to  $E$ , denoted by  $(f, x) \mapsto f \cdot x$ , that satisfies a certain number of axioms.

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### Theorem (PL, 2014)

Both versions of the definition of a groupoid action are equivalent.

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But actually it is not important that  $\pi$  is a permutation on the whole  $X$ . So one can restrict to endo-functions of  $X$  which are bijective only on a finite set, and consider two such functions as equal as soon as they coincide on the finite set: [germs of local bijections](#).

## Groupoid of germs of local bijections

Let  $X$  be a set, and let us denote by  $\mathfrak{P}_{\text{fin}}(X)$  the set of **finite** subsets of  $X$ .

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The quotient category **Germ** $_{\infty}(X)$  is actually a groupoid, with  $[\sigma, A]^{-1} = [(\sigma|_A)^{-1}, \sigma(A)]$ , called the **groupoid of germs of bijections of  $X$** .

## Action of $\mathbf{Germ}_\infty(X)$ on $\Sigma[X]$

Let  $[\sigma, A] \cdot t := \hat{\sigma}|_A(t)$  for each term  $t$  such that  $\mathbf{var}(t) = A$ .

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The orbit  $\mathcal{O}(t)$  is just the set of terms obtained from  $t$  by **renaming of variables**, and so  $s \sim t$  if, and only if,  $s$  and  $t$  are equal up to renaming of variables.

## Action on a balanced congruence

If  $\cong$  is a balanced (i.e.,  $u \cong v \Rightarrow \mathbf{var}(s) = \mathbf{var}(t)$ ) and fully invariant (i.e., invariant under all endomorphisms) congruence of  $\Sigma[X]$ ,

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for every  $u \cong v$  such that  $\mathbf{var}(u) = A = \mathbf{var}(v)$ .

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- 1 P. Dehornoy's geometry monoid
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## Notations

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$\mathcal{O}(t)$  and  $\mathcal{O}$  denote respectively the orbit of  $t \in \Sigma[X]$  and any orbit under the action of the groupoid of germs of bijections,  $\mathcal{O}^{(2)}(s, t)$  and  $\mathcal{O}^{(2)}$  denote respectively the orbit of  $(s, t)$  with  $s \cong t$ , and any orbit under the (diagonal) action of  $\mathbf{Germ}_\infty(X)$  on  $\cong$ .

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- The **loops**: the map  $i: \Sigma[X] \rightarrow \cong / \mathbf{Germ}_\infty(X)$ , defined by  $i(t) := \mathcal{O}^{(2)}(t, t)$ , passes to the quotient to provide a map that satisfies  $\iota(\mathcal{O}(t)) = \mathcal{O}^{(2)}(t, t)$  for every  $t \in \Sigma[X]$ .

# Structure of multiplicative graph

Deformation of the groupoid structure of an equivalence relation

- Let us define  $m: \{((s, t), (r, s')) \in \cong^2: s' \in \mathcal{O}(s)\} \rightarrow \Sigma[X] \times \Sigma[X]$  by

$$m((s, t), (r, s')) := (r, [\sigma, \mathbf{var}(s)] \cdot t)$$

where  $[\sigma, \mathbf{var}(s)] \cdot s = s'$ .

- One observes that  $\text{im}(m) \subseteq \cong$ .

- It can be shown that there is a unique well-defined map

$\gamma: (\cong / \mathbf{Germ}_\infty(X))_{d_0} \times_{d_1} (\cong / \mathbf{Germ}_\infty(X)) \rightarrow (\cong / \mathbf{Germ}_\infty(X))$  such that

$$\gamma(\mathcal{O}^{(2)}(s, t), \mathcal{O}^{(2)}(r, s')) = \mathcal{O}_{m((s,t),(r,s'))}^{(2)} \cdot$$

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This defines the **geometry groupoid**  $\mathbf{Geom}(\mathbf{V})$  of the balanced variety  $\mathbf{V}$  determined by  $\cong$ .

# Refinement of G. Birkhoff's HSP Theorem

## Theorem (PL, 2014)

The map  $\mathbf{V} \mapsto \mathbf{Geom}(\mathbf{V})$  is a Galois connection between the lattice of balanced sub-varieties of  $\Sigma$ -algebras and a sub-poset of small groupoids.

## Groupoid action on $\Sigma[X]$

Let  $X$  be a set.

### Lemma (Dehornoy)

For all terms  $s, t \in \Sigma[\mathbb{N}]$ ,  $\mathbf{Subst}_X(s) = \mathbf{Subst}_X(t)$  if, and only if,  $\mathcal{O}(s) = \mathcal{O}(t)$ .

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For all  $X$ , one defines an action of  $\mathbf{Geom}(\mathbf{V})$  by the functor  $F_X$  given by  $F_X(\mathcal{O}) := \mathbf{Subst}_X(\mathcal{O})$  and  $F_X(\mathcal{O}^{(2)}(s, t)) := \mathbf{Subst}_X(\mathcal{O}(s)) \rightarrow \mathbf{Subst}_X(\mathcal{O}(t))$ ,  $F_X(\mathcal{O}^{(2)}(s, t)) := \rho^{(s,t)}$  (does not depend on the choice of  $(s, t)$ ).

## Recover $\mathbf{G}(\mathbf{V})$ from $\mathbf{Geom}(\mathbf{V})$

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## Remark

The equivalence relation  $\sim$  induced by the action of  $\mathbf{Germ}_\infty(X)$  on  $\Sigma[X]$  (i.e.,  $u \sim v$  if, and only if,  $\mathcal{O}(s) = \mathcal{O}(t)$ ) is not in general a congruence,

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For instance, let  $x, y \in X$ ,  $x \neq y$ . Then  $x \sim x$  and  $x \sim y$  but  $x * x \not\sim x * y$ .

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$$f'(u_1, \dots, u_n) := \mathcal{O}(f(v_1, \dots, v_n))$$

for  $v_i \in \mathcal{O}(u_i)$ ,  $i = 1, \dots, n$  such that  $\mathbf{var}(v_i) \cap \mathbf{var}(v_j) = \emptyset$ ,  $i \neq j$  (it is possible since the set of variables is infinite).



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One shows that there exists one, and only one, well-defined map such that  $\bar{f}(\mathcal{O}(u_1), \dots, \mathcal{O}(u_n)) = \mathcal{O}(f(v_1, \dots, v_n))$  with  $v_i \in \mathcal{O}(u_i)$ ,  $i = 1, \dots, n$  such that  $\mathbf{var}(v_i) \cap \mathbf{var}(v_j) = \emptyset$ ,  $i \neq j$ .

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Proposition (PL, 2014)

$\mathbf{Geom}(\mathbf{V})$  is a  $\Sigma$ -algebra in the category of (small) groupoids.

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The groupoid  $\mathbf{Germ}_\infty(X)$  of germs of bijections acts also on  $\mathbf{V}[X]$  by a quotient action

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Since  $\mathbf{V}$  is a balanced variety, the notion of set of variables remains defined in  $\mathbf{V}[X]$ . It follows that one can talk about balanced congruences on  $\mathbf{V}[X]$ . One shows that if  $\cong$  is a (fully invariant) balanced congruence on  $\mathbf{V}[X]$ , then  $\mathbf{Germ}_\infty(X)$  acts on  $\cong$  by a diagonal action.

## The groupoid of geometry of a balanced sub-variety

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Then one can define a (small) groupoid  $\mathbf{Geom}_{\mathbf{V}}(\mathbf{W})$  whose set of objects is  $\mathbf{V}[\mathbb{N}]/\mathbf{Germ}_{\infty}(\mathbb{N})$  and that of arrows is  $\cong / \mathbf{Germ}_{\infty}(\mathbb{N})$ , which is called the **relative geometry groupoid of  $\mathbf{W}$  (with respect to  $\mathbf{V}$ )**.

## The groupoid of geometry of a balanced sub-variety

Let  $\mathbf{V}$  be a balanced variety of  $\Sigma$ -algebras and  $\cong$  be a balanced fully invariant congruence on  $\mathbf{V}[\mathbb{N}]$ .

$\cong$  determines a unique balanced sub-variety  $\mathbf{W}$  of  $\mathbf{V}$ .

Then one can define a (small) groupoid  $\mathbf{Geom}_{\mathbf{V}}(\mathbf{W})$  whose set of objects is  $\mathbf{V}[\mathbb{N}]/\mathbf{Germ}_{\infty}(\mathbb{N})$  and that of arrows is  $\cong / \mathbf{Germ}_{\infty}(\mathbb{N})$ , which is called the **relative geometry groupoid of  $\mathbf{W}$  (with respect to  $\mathbf{V}$ )**.

### Remark

Of course one recovers  $\mathbf{Geom}(\mathbf{W})$  by considering  $\mathbf{Geom}_{\mathbf{V}}(\mathbf{W})$  with  $\mathbf{V}$  the variety of all  $\Sigma$ -algebras (which is balanced).

One can also equip  $\mathbf{V}[\mathbb{N}]/\mathbf{Germ}_\infty(\mathbb{N})$  and  $\cong / \mathbf{Germ}_\infty(\mathbb{N})$  with structures of  $\Sigma$ -algebras (as already done in case of  $\Sigma[\mathbb{N}]$ ).

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Nevertheless one cannot go further in general:  $\mathbf{V}[\mathbb{N}]/\mathbf{Germ}_\infty(\mathbb{N})$  is generally not an algebra of the variety  $\mathbf{V}$ . (A different number of occurrences of a same variable in two equivalent terms is an obstruction to this.)

## Definition

A balanced congruence on  $\Sigma[X]$  is said to be **linearly generated** (or simply **linear**) if it admits a set of generators  $R \subseteq \Sigma[X]^2$  such that for each  $(s, t) \in R$ , every variable in  $s$  (hence in  $t$ ) **occurs one and only one time** in  $s$  and in  $t$ .



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## Definition

A balanced variety determined by a linear congruence is called a **linear variety**.

## Algebra in $\mathbf{V}$

### Theorem (PL, 2014)

Let  $\mathbf{V}$  be a linear variety of  $\Sigma$ -algebras and let  $\cong$  be a balanced and fully invariant congruence on  $\mathbf{V}[\mathbb{N}]$  that (uniquely) determines a balanced sub-variety  $\mathbf{W}$  of  $\mathbf{V}$ .

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Then  $\mathbf{Geom}_{\mathbf{V}}(\mathbf{W})$  is an algebra in the variety  $\mathbf{V}$  in the category of (small) groupoids.

## Some examples

- Let  $\cong$  be a balanced and fully invariant congruence on  $\mathbb{N}^* = \mathbf{Mon}[\mathbb{N}]$ , and let  $\mathbf{W}$  be the associated sub-variety of monoids.

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- In particular if  $\cong$  is the **commutativity**, then  $\mathbf{Geom}_{\mathbf{Mon}}(\mathbf{ComMon})$  is a symmetric monoidal groupoid.



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- In particular if  $\cong$  is the **commutativity**, then  $\mathbf{Geom}_{\mathbf{Mon}}(\mathbf{ComMon})$  is a symmetric monoidal groupoid.
- Similarly  $\mathbf{Geom}_{\star\mathbf{Mon}}(\mathbf{InvMon})$  is an “involutive” monoidal groupoid (where  $\star\mathbf{Mon}$  is the variety of monoids with an involution).

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- Links with monads, Lawvere theories and clones.
- Of course, links with Lawson's work.