

# Combinatorial functional equations and Jacobi theta functions

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## STRATEGY: RECURSIVE METHOD

**Aim:** Determine the generating function  $F(t)$  for some class of combinatorial objects, e.g. lattice walks, planar maps, trees, permutations etc.

- **Step 1:** Find a recursive decomposition of each object in your class
- **Step 2:** Write functional equations which characterise the generating function  $F(t)$
- **Step 3:** Solve the functional equations

## STRATEGY: THETA FUNCTION METHOD

**Aim:** Determine the generating function  $F(t)$  for some class of combinatorial objects, e.g. lattice walks, planar maps, trees, permutations etc.

- **Step 1:** Find a recursive decomposition of each object in your class
- **Step 2:** Write functional equations which characterise the generating function  $F(t)$
- **Step 3:** Solve the functional equations **using theta functions!**

## PROBLEMS SOLVED WITH THIS METHOD (SO FAR)

- Quadrant walks [Kurkova, Raschel, 2012] + [Bernardi, Bousquet-Mélou, Raschel, 2017]
- Walks avoiding a quadrant [Raschel, Trotignon, 2019]
- Walks by winding number [E.P., 2020+] (generalising results of [Budd, 2020])
- Six vertex model on 4-valent maps [Kostov, 2000], [E.P., Zinn-Justin, 2020+], [Bousquet-Mélou, E.P., 2020+]
- Properly coloured triangulations [E.P., 2020+], Previously shown to be D-algebraic by Tutte.

# JACOBI THETA FUNCTION

All results are in terms of the series:

$$\begin{aligned} T_k(u, q) &= \sum_{n=0}^{\infty} (-1)^n (2n+1)^k q^{n(n+1)/2} (u^{n+1} - (-1)^k u^{-n}) \\ &= (u \pm 1) - 3^k q (u^2 \pm u^{-1}) + 5^k q^3 (u^3 \pm u^{-2}) + O(q^6). \end{aligned}$$

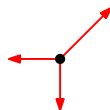
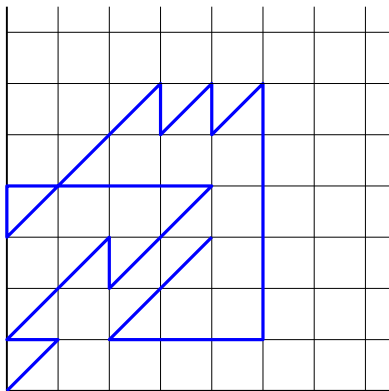
Related to Jacobi Theta function  $\vartheta(z, \tau) \equiv \vartheta_{11}(z, \tau)$  by

$$\vartheta^{(k)}(z, \tau) \equiv \left( \frac{\partial}{\partial z} \right)^k \vartheta(z, \tau) = e^{\frac{(\pi\tau - 2z)i}{2}} i^k T_k(e^{2iz}, e^{2i\pi\tau}).$$

**Nice properties of  $\vartheta(z) \equiv \vartheta(z, \tau)$ :**

- $\vartheta(z) = -\vartheta(z + \pi) = -\vartheta(-z) = -e^{(2z + \pi\tau)i} \vartheta(z + \pi\tau)$ .
- holomorphic, zeros only when  $z \in \pi\mathbb{Z} + \pi\tau\mathbb{Z}$ .
- differentially algebraic.

# PREVIEW: KREWERAS EXCURSIONS



$$Q(t) := \sum_{\text{paths from } (0,0) \text{ to } (0,0)} t^{\#\text{steps}}.$$

## PREVIEW: KREWERAS EXCURSIONS IN QUADRANT

$$\begin{aligned}\text{Define } T_k(u, q) &= \sum_{n=0}^{\infty} (-1)^n (2n+1)^k q^{n(n+1)/2} (u^{n+1} - (-1)^k u^{-n}) \\ &= (u \pm 1) - 3^k q (u^2 \pm u^{-1}) + 5^k q^3 (u^3 \pm u^{-2}) + O(q^6).\end{aligned}$$

Let  $q(t) \equiv q = t^3 + 15t^6 + 279t^9 + \dots$  satisfy

$$t = q^{1/3} \frac{T_1(1, q^3)}{4T_0(q, q^3) + 6T_1(q, q^3)}.$$

The gf for Kreweras excursions (in the quadrant) is:

$$Q(t) = -\frac{q^{-\frac{2}{3}}}{t} \frac{T_0(q, q^3)^2}{T_1(1, q^3)^2} \left( \frac{T_1(q, q^3)^2}{T_0(q, q^3)^2} - \frac{T_2(q, q^3)}{T_0(q, q^3)} - \frac{T_2(-1, q)}{2T_0(-1, q)} + \frac{T_3(1, q)}{6T_1(1, q)} + \frac{T_3(1, q^3)}{3T_1(1, q^3)} \right).$$

# COMPLEXITY HEIRARCHY

For a series (or a function)  $F(t)$ , the following properties satisfy

Rational  $\Rightarrow$  Algebraic  $\Rightarrow$  D-finite  $\Rightarrow$  D-Algebraic :

- **Rational:**  $F(t) = \frac{P(t)}{Q(t)}$  for polynomials  $P(t)$  and  $Q(t)$ .
- **Algebraic:**  $P(F(t)) = 0$  for some non-zero polynomial  $P(x)$ .
- **D-finite:**  $F(t)$  satisfies some non-trivial linear differential equation. E.g.

$$t^3 F''(t) + t^2 F'(t) + (t + 1)F(t) - 1 = 0$$

- **D-algebraic:**  $F(t)$  satisfies some non-trivial algebraic differential equation. E.g.

$$t^2 F'(t) + F'(t)F(t) + tF(t) = 0$$

The theta function  $\vartheta(z, \tau)$  is D-algebraic.



# OUTLINE OF TALK

## Part 1: Quadrant walks (with small steps)

- problem and functional equations
- background
- solution to functional equations ([Kurkova, Raschel, 2012] and [Bernardi, Bousquet-Mélou, Raschel, 2017], slightly rephrased)

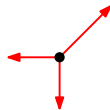
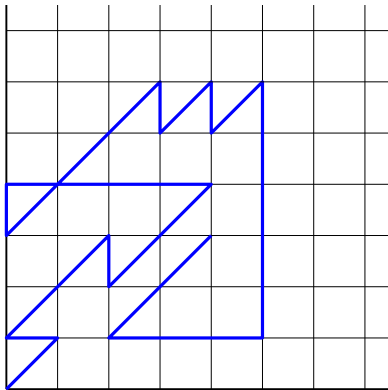
## Part 2: Walks by winding angle ([E.P., 2020+], generalising [Budd, 2020])

- problem and functional equations
- background
- solution to functional equations

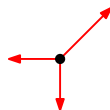
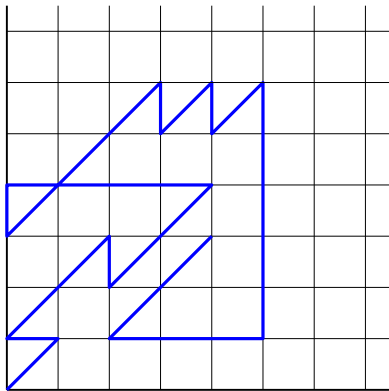
## Part 3: Walks in other two-dimensional cones

- Certain walks avoiding a quadrant ([Raschel, Trotignon, 2019])
- Corollaries of winding angle results on infinitely many cones.

# Part 1: Quadrant walks

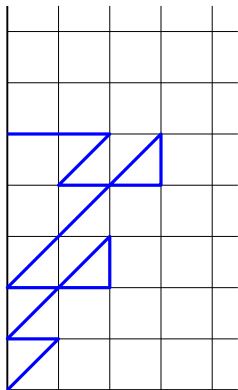


# EXAMPLE: KREWERAS PATHS



$$Q(x, y) \equiv Q(t, x, y) := \sum_{a, b=0}^{\infty} \sum_{\text{paths from } (0,0) \text{ to } (a,b)} t^{\# \text{steps}} x^a y^b.$$

# KREWERAS PATHS



$$\begin{aligned}
 Q(x, y) = & 1 \\
 & + \\
 & \text{xyt}Q(x, y) \\
 & + \\
 & \frac{t}{x}(Q(x, y) - Q(0, y)) \\
 & + \\
 & \frac{t}{y}(Q(x, y) - Q(x, 0))
 \end{aligned}$$

# FUNCTIONAL EQUATIONS FOR QUADRANT WALKS

**Kreweras paths:** The generating function  $Q(t, x, y) \equiv Q(x, y)$  is characterised by

$$Q(x, y) = 1 + xy t Q(x, y) + \frac{t}{x} (Q(x, y) - Q(0, y)) + \frac{t}{y} (Q(x, y) - Q(x, 0)).$$

**Aim:** Solve this equation

**More generally:** Take a step set  $S \subset \{-1, 0, 1\}^2$  and write




$$P_S(x, y) = \sum_{(i,j) \in S} x^{i+1} y^{j+1}.$$

The generating function  $Q_S(t, x, y) \equiv Q(x, y)$  is characterised by

$$\begin{aligned} xy Q(x, y) &= xy + t P_S(x, y) Q(x, y) - t P_S(0, y) Q(0, y) \\ &\quad - t P_S(x, 0) Q(x, 0) + t P_S(0, 0) Q(0, 0). \end{aligned}$$

# QUADRANT WALKS BACKGROUND

## Ad-hoc methods for specific cases, e.g.:

-  [Kreweras, 1965], [Gessel, 1986]
-  [Gouyou-Beauchamps, 1986]
-  [Kauers, Koutschan and Zeilberger, 2009], [Bostan, Kauers, 2010]

## Systematic methods:

- Algebraic using group of the walk: 22 D-finite cases solved [Bousquet-Mélou, Mishna, 2010]
- Computer algebra: All 23 D-finite cases solved explicitly [Bostan, Chyzak, Van Hoeij, Kauers, Pech, 2017]
- Complex analysis: Solutions as integral expressions in all cases [Kurkova, Raschel, 2012], 9 D-algebraic (non D-finite) cases solved [Bernardi, Bousquet-Mélou, Raschel, 2017]

# QUADRANT WALKS BACKGROUND

In total: 79 different non-trivial step sets  $S$

Generating function  $Q(t, x, y)$  is

- Algebraic in 4 cases (Satisfies algebraic equation)
- D-finite in 19 further cases (Satisfies linear differential equation)
- D-algebraic in 9 further cases (Satisfies algebraic differential equation)

Remaining 47 cases are not D-algebraic [Dreyfus, Hardouin, Roques, Singer, 2020].

# Solutions for quadrant walks

(using Jacobi theta functions)

[Kurkova, Raschel, 2012] + [Bernardi, Bousquet-Mélou, Raschel, 2017]



## QUADRANT WALKS SOLUTION

**Recall:**  $S \subset \{-1, 0, 1\}^2$  and  $P_S(x, y) = \sum_{(i,j) \in S} x^{i+1} y^{j+1}$ .

The g.f.  $\mathbf{Q}_S(t, x, y) \equiv \mathbf{Q}(x, y)$  is characterised by

$K(x, y)\mathbf{Q}(x, y) = R(x, y)$ , where

$$K(x, y) = xy - tP_S(x, y)$$

$$R(x, y) = xy - tP_S(0, y)\mathbf{Q}(0, y) - tP_S(x, 0)\mathbf{Q}(x, 0) + tP_S(0, 0)\mathbf{Q}(0, 0).$$

**Plan: Step 1:** Fix  $t \in [0, 1/9)$ . All series converge for  $|x|, |y| < 1$ .

**Step 2:** Find functions  $X(z), Y(z)$  satisfying  $K(X(z), Y(z)) = 0$ , as then  $R(X(z), Y(z)) = 0$ . **Step 3:** Consider functional equations with variable  $z$ . **Step 4:** Solve the new equations

# QUADRANT WALKS: PARAMETRISING KERNEL

**In general\*:** For fixed  $t$  (small),  $K(x, y) = 0$  is parameterised by

$$X(z) = c_1 \frac{\vartheta(z - \alpha_1)\vartheta(\gamma_1 - z - \alpha_1)}{\vartheta(z - \beta_1)\vartheta(\gamma_1 - z - \beta_1)} \quad \text{and} \quad Y(z) = c_2 \frac{\vartheta(z - \alpha_2)\vartheta(\gamma_2 - z - \alpha_2)}{\vartheta(z - \beta_2)\vartheta(\gamma_2 - z - \beta_2)},$$

where

$$\vartheta(z) \equiv \vartheta(z, \tau) := \sum_{n=-\infty}^{\infty} (-1)^n e^{\left(\frac{2n+1}{2}\right)^2 i\pi\tau + (2n+1)iz},$$

and  $\tau, c_1, \alpha_1, \beta_1, \gamma_1, c_2, \alpha_2, \beta_2, \gamma_2 \in \mathbb{C}$  depend only on  $t$ .

**Properties of  $X(z)$ :**

- $X(z) = X(z + \pi) = X(z + \pi\tau) = X(\gamma_1 - z)$
- Zeros at  $\alpha_1$  and  $\gamma_1 - \alpha_1$ , poles at  $\beta_1$  and  $\gamma_1 - \beta_1$

\*We are ignoring the five semi-directed cases



# QUADRANT WALKS SOLUTION

Let

$$X(z) = c_1 \frac{\vartheta(z - \alpha_1)\vartheta(\gamma_1 - z - \alpha_1)}{\vartheta(z - \beta_1)\vartheta(\gamma_1 - z - \beta_1)} \quad \text{and} \quad Y(z) = c_2 \frac{\vartheta(z - \alpha_2)\vartheta(\gamma_2 - z - \alpha_2)}{\vartheta(z - \beta_2)\vartheta(\gamma_2 - z - \beta_2)}.$$

Equation to solve for  $Q(x, y)$ :

$$Q(x, y)K(x, y) = R(x, y),$$

where

$$R(x, y) = xy - tP_S(0, y)Q(0, y) - tP_S(x, 0)Q(x, 0) + c.$$

and  $K(X(z), Y(z)) = 0$ .

# QUADRANT WALKS SOLUTION

Let

$$X(z) = c_1 \frac{\vartheta(z - \alpha_1)\vartheta(\gamma_1 - z - \alpha_1)}{\vartheta(z - \beta_1)\vartheta(\gamma_1 - z - \beta_1)} \quad \text{and} \quad Y(z) = c_2 \frac{\vartheta(z - \alpha_2)\vartheta(\gamma_2 - z - \alpha_2)}{\vartheta(z - \beta_2)\vartheta(\gamma_2 - z - \beta_2)}.$$

Equation to solve for  $Q(x, y)$ :

$$Q(x, y)K(x, y) = R(x, y),$$

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$$R(x, y) = xy - tP_S(0, y)Q(0, y) - tP_S(x, 0)Q(x, 0) + c.$$

and  $K(X(z), Y(z)) = 0$ .

Equation to solve for  $Q(x, 0)$  and  $Q(0, y)$ :

$$R(X(z), Y(z)) = 0.$$

# QUADRANT WALKS SOLUTION

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$$X(z) = c_1 \frac{\vartheta(z - \alpha_1)\vartheta(\gamma_1 - z - \alpha_1)}{\vartheta(z - \beta_1)\vartheta(\gamma_1 - z - \beta_1)} \quad \text{and} \quad Y(z) = c_2 \frac{\vartheta(z - \alpha_2)\vartheta(\gamma_2 - z - \alpha_2)}{\vartheta(z - \beta_2)\vartheta(\gamma_2 - z - \beta_2)}.$$

Equation to solve for  $Q(x, 0)$  and  $Q(0, y)$ :

$$X(z)Y(z) - tP_S(0, Y(z))Q(0, Y(z)) - tP_S(X(z), 0)Q(X(z), 0) + c = 0$$

# QUADRANT WALKS SOLUTION

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Equation to solve for  $Q(x, 0)$  and  $Q(0, y)$ :

$$X(z)Y(z) - tP_S(0, Y(z))Q(0, Y(z)) - tP_S(X(z), 0)Q(X(z), 0) + c = 0$$

Write  $Q_1(z) := Q(X(z), 0)$  and  $Q_2(z) := Q(0, Y(z))$ .

Equation to solve for  $Q_1(z)$  and  $Q_2(z)$ :

$$X(z)Y(z) - tP_S(0, Y(z))Q_2(z) - tP_S(X(z), 0)Q_1(z) + c = 0.$$

# QUADRANT WALKS SOLUTION

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$$X(z) = c_1 \frac{\vartheta(z - \alpha_1)\vartheta(\gamma_1 - z - \alpha_1)}{\vartheta(z - \beta_1)\vartheta(\gamma_1 - z - \beta_1)} \quad \text{and} \quad Y(z) = c_2 \frac{\vartheta(z - \alpha_2)\vartheta(\gamma_2 - z - \alpha_2)}{\vartheta(z - \beta_2)\vartheta(\gamma_2 - z - \beta_2)}.$$

Equation to solve for  $Q(x, 0)$  and  $Q(0, y)$ :

$$X(z)Y(z) - tP_S(0, Y(z))Q(0, Y(z)) - tP_S(X(z), 0)Q(X(z), 0) + c = 0$$

Write  $Q_1(z) := Q(X(z), 0)$  and  $Q_2(z) := Q(0, Y(z))$ .

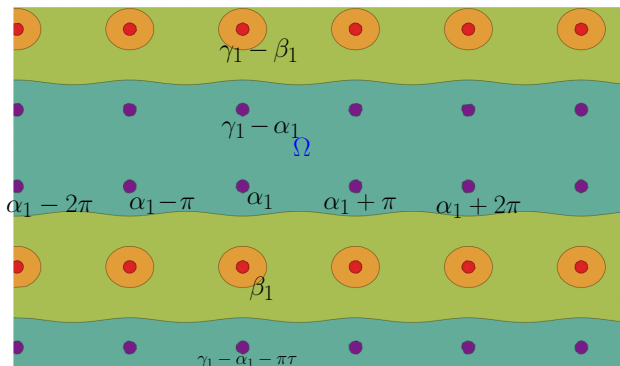
Equation to solve for  $Q_1(z)$  and  $Q_2(z)$ :

$$X(z)Y(z) - tP_S(0, Y(z))Q_2(z) - tP_S(X(z), 0)Q_1(z) + c = 0.$$

What information are we missing??

# UNDERSTANDING $\mathbf{Q}(X(z), 0)$

Plot of  $\left\{ z : |X(z)| \in \left[ 0, \frac{1}{3} \right), \left( \frac{1}{3}, 1 \right), (1, 3), (3, 9), (9, \infty] \right\}$ .

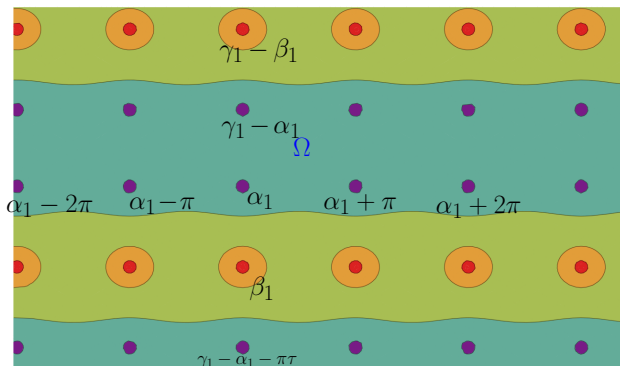


For  $z \in \Omega$ ,  $|X(z)| < 1 \Rightarrow \mathbf{Q}_1(z) = \mathbf{Q}(X(z), 0)$  is well defined.



# UNDERSTANDING $\mathbf{Q}(X(z), 0)$

Plot of  $\left\{ z : |X(z)| \in \left[ 0, \frac{1}{3} \right), \left( \frac{1}{3}, 1 \right), (1, 3), (3, 9), (9, \infty] \right\}$ .

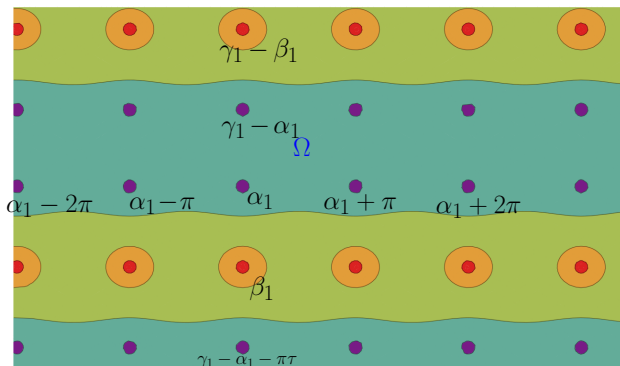


For  $z \in \Omega$ ,  $|X(z)| < 1 \Rightarrow \mathbf{Q}_1(z) = \mathbf{Q}(X(z), 0)$  is well defined.

Moreover,  $\mathbf{Q}_1(z) = \mathbf{Q}(X(z), 0) = \mathbf{Q}(X(\gamma_1 - z), 0) = \mathbf{Q}_1(\gamma_1 - z)$ .

# UNDERSTANDING $Q(X(z), 0)$

Plot of  $\left\{ z : |X(z)| \in \left[0, \frac{1}{3}\right), \left(\frac{1}{3}, 1\right), (1, 3), (3, 9), (9, \infty) \right\}$ .



For  $z \in \Omega$ ,  $|X(z)| < 1 \Rightarrow Q_1(z) = Q(X(z), 0)$  is well defined.  
 Moreover,  $Q_1(z) = Q(X(z), 0) = Q(X(\gamma_1 - z), 0) = Q_1(\gamma_1 - z)$ .  
 Similarly,  $Q_2(z) = Q_2(\gamma_2 - z)$ .

# QUADRANT WALKS SOLUTION

Equation to solve for  $Q_1(z)$  and  $Q_2(z)$ :

$$X(z)Y(z) - tP_S(0, Y(z))Q_2(z) - tP_S(X(z), 0)Q_1(z) + c = 0,$$

assuming  $Q_1(z) = Q_1(\gamma_1 - z)$  and  $Q_2(z) = Q_2(\gamma_2 - z)$ .

# QUADRANT WALKS SOLUTION

Equation to solve for  $Q_1(z)$  and  $Q_2(z)$ :

$$X(z)Y(z) - tP_S(0, Y(z))Q_2(z) - tP_S(X(z), 0)Q_1(z) + c = 0,$$

assuming  $Q_1(z) = Q_1(\gamma_1 - z)$  and  $Q_2(z) = Q_2(\gamma_2 - z)$ .

**Simplify further:** define

$$A(z) := tP_S(0, Y(z))Q(0, Y(z))$$

and

$$B(z) := tP_S(X(z), 0)Q(X(z), 0) - c.$$

Equation to solve for  $A(z)$  and  $B(z)$ :

$$X(z)Y(z) = A(z) + B(z),$$

where  $A(z) = A(\gamma_1 - z)$  and  $B(z) = B(\gamma_2 - z)$ .

# SOLUTION IN GENERAL

Equation to solve for  $A(z)$  and  $B(z)$ :

$$X(z)Y(z) = A(z) + B(z)$$

where

- $X(z)$  and  $A(z)$  are fixed under  $z \rightarrow \gamma_1 - z$ .
- $Y(z)$  and  $B(z)$  are fixed under  $z \rightarrow \gamma_2 - z$ .

## SOLUTION IN D-FINITE CASES ( $\gamma_1 - \gamma_2 \in \pi\tau\mathbb{Q}$ )

Equation to solve for  $A(z)$  and  $B(z)$ :

$$X(z)Y(z) = A(z) + B(z)$$

where

- $X(z)$  and  $A(z)$  are fixed under  $z \rightarrow \gamma_1 - z$ .
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## SOLUTION IN D-FINITE CASES ( $\gamma_1 - \gamma_2 \in \pi\tau\mathbb{Q}$ )

Equation to solve for  $A(z)$  and  $B(z)$ :

$$X(z)Y(z) = A(z) + B(z)$$

$$(X(z) - X(\gamma_2 - z))Y(z) = A(z) - A(\gamma_2 - z)$$

where

- $X(z)$  and  $A(z)$  are fixed under  $z \rightarrow \gamma_1 - z$ .
- $Y(z)$  and  $B(z)$  are fixed under  $z \rightarrow \gamma_2 - z$ .

## SOLUTION IN D-FINITE CASES ( $\gamma_1 - \gamma_2 \in \pi\tau\mathbb{Q}$ )

Equation to solve for  $A(z)$  and  $B(z)$ :

$$X(z)Y(z) = A(z) + B(z)$$

$$(X(z) - X(\gamma_2 - z))Y(z) = A(z) - A(\gamma_1 - \gamma_2 + z)$$

where

- $X(z)$  and  $A(z)$  are fixed under  $z \rightarrow \gamma_1 - z$ .
- $Y(z)$  and  $B(z)$  are fixed under  $z \rightarrow \gamma_2 - z$ .



## SOLUTION IN D-FINITE CASES ( $\gamma_1 - \gamma_2 \in \pi\tau\mathbb{Q}$ )

Equation to solve for  $A(z)$ :

$$(X(z) - X(\gamma_2 - z))Y(z) = A(z) - A(\gamma_1 - \gamma_2 + z)$$

where

- $X(z)$  and  $A(z)$  are fixed under  $z \rightarrow \gamma_1 - z$ .
- $Y(z)$  and  $B(z)$  are fixed under  $z \rightarrow \gamma_2 - z$ .

## SOLUTION IN D-FINITE CASES ( $\gamma_1 - \gamma_2 \in \pi\tau\mathbb{Q}$ )

Equation to solve for  $A(z)$ :

$$(X(z) - X(\gamma_2 - z))Y(z) = A(z) - A(\gamma_1 - \gamma_2 + z)$$

where  $X(z)$  and  $Y(z)$  have  $\pi$  and  $\pi\tau$  as periods.

## SOLUTION IN D-FINITE CASES ( $\gamma_1 - \gamma_2 \in \pi\tau\mathbb{Q}$ )

Equation to solve for  $A(z)$ :

$$(X(z) - X(\gamma_2 - z))Y(z) = A(z) - A(\gamma_1 - \gamma_2 + z)$$

where  $X(z)$  and  $Y(z)$  have  $\pi$  and  $\pi\tau$  as periods.

Since  $\gamma_1 - \gamma_2 \in \pi\tau\mathbb{Q}$ , we get:

$$F(z) = A(z) - A(n\pi\tau + z),$$

where  $F(z)$  has periods  $\pi$  and  $\pi\tau$ .

## SOLUTION IN D-FINITE CASES ( $\gamma_1 - \gamma_2 \in \pi\tau\mathbb{Q}$ )

Equation to solve for  $A(z)$ :

$$(X(z) - X(\gamma_2 - z))Y(z) = A(z) - A(\gamma_1 - \gamma_2 + z)$$

where  $X(z)$  and  $Y(z)$  have  $\pi$  and  $\pi\tau$  as periods.

Since  $\gamma_1 - \gamma_2 \in \pi\tau\mathbb{Q}$ , we get:

$$F(z) = A(z) - A(n\pi\tau + z),$$

where  $F(z)$  has periods  $\pi$  and  $\pi\tau$ .

→  $U(z) = \frac{A(z)}{F(z)}$  satisfies  $1 = U(z) - U(z + n\pi\tau)$ .

→ The following all have  $\pi$  and  $n\pi\tau$  as periods:

$$U'(z), F(z), X(z) \text{ and } Y(z),$$

so they are algebraically related using: *Meromorphic functions sharing two (independent) periods are algebraically related.*

It follows that  $A(z) = U(z)F(z)$  is D-finite in  $X(z)$ .

# SOLUTION IN GENERAL

Equation to solve for  $A(z)$  and  $B(z)$ :

$$X(z)Y(z) = A(z) + B(z)$$

where

- $X(z)$  and  $A(z)$  are fixed under  $z \rightarrow \gamma_1 - z$ .
- $Y(z)$  and  $B(z)$  are fixed under  $z \rightarrow \gamma_2 - z$ .

# SOLUTION IN D-ALGEBRAIC (NON-D-FINITE) CASES

Equation to solve for  $A(z)$  and  $B(z)$ :

$$X(z)Y(z) = A(z) + B(z)$$

where

- $X(z)$  and  $A(z)$  are fixed under  $z \rightarrow \gamma_1 - z$ .
- $Y(z)$  and  $B(z)$  are fixed under  $z \rightarrow \gamma_2 - z$ .

In D-algebraic (non D-finite) cases:

- $X(z)Y(z)$  splits as  $X(z)Y(z) = R_1(X(z)) + R_2(Y(z))$ , for explicit rational functions  $R_1, R_2$ .

# SOLUTION IN D-ALGEBRAIC (NON-D-FINITE) CASES

Equation to solve for  $A(z)$  and  $B(z)$ :

$$R_1(X(z)) + R_2(Y(z)) = A(z) + B(z)$$

where

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# SOLUTION IN D-ALGEBRAIC (NON-D-FINITE) CASES

Equation to solve for  $A(z)$  and  $B(z)$ :

$$I(z) = R_1(X(z)) - A(z) = B(z) - R_2(Y(z))$$

where

- $X(z)$  and  $A(z)$  are fixed under  $z \rightarrow \gamma_1 - z$ .
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- $X(z)Y(z)$  splits as  $X(z)Y(z) = R_1(X(z)) + R_2(Y(z))$ , for explicit rational functions  $R_1, R_2$ .
- $I(z)$  is fixed under  $z \rightarrow \gamma_1 - z$  and  $z \rightarrow \gamma_2 - z$ , so it has  $\gamma_1 - \gamma_2$  and  $\pi$  as periods.
- We can then solve for  $I(z)$ .

# SOLUTION IN D-ALGEBRAIC (NON-D-FINITE) CASES

Equation to solve for  $A(z)$  and  $B(z)$ :

$$I(z) = R_1(X(z)) - A(z) = B(z) - R_2(Y(z))$$

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**Algebraic cases:**  $\gamma_1 - \gamma_2 \in \pi\tau\mathbb{Q}$ , so  $I(z)$  and  $X(z)$  share the period  $\pi\tau n \Rightarrow$  everything is algebraic in  $x \equiv X(z)$

# SOLUTION IN D-ALGEBRAIC (NON-D-FINITE) CASES

Equation to solve for  $A(z)$  and  $B(z)$ :

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In D-algebraic (non D-finite) cases:

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**In general (non D-algebraic cases):** [Kurkova, Raschel]

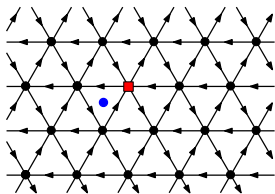
Same idea, but  $R_1(X(z))$  and  $R_2(X(z))$  are given by integrals.

## Part 2: Walks by winding angle

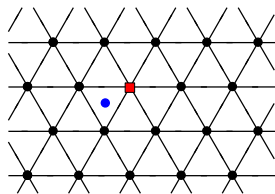
# LATTICE WALKS BY WINDING ANGLE

**The model:** count walks starting at ■ by end point and winding angle around ●.

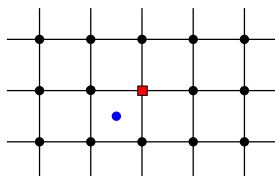
**Cell-centred lattices:**



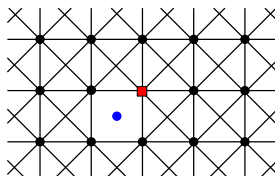
Kreweras lattice



Triangular Lattice



Square Lattice

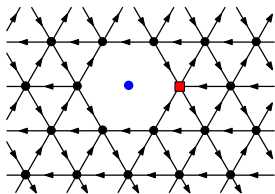


King Lattice

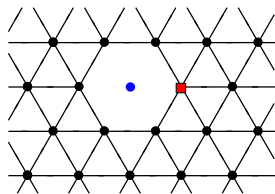
# LATTICE WALKS BY WINDING ANGLE

**The model:** count walks starting at  $\blacksquare$  by end point and winding angle around  $\bullet$ .

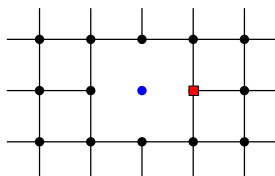
**Vertex-centred lattices:**



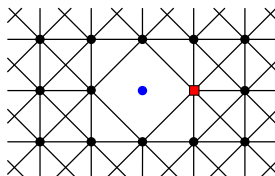
Kreweras lattice



Triangular Lattice



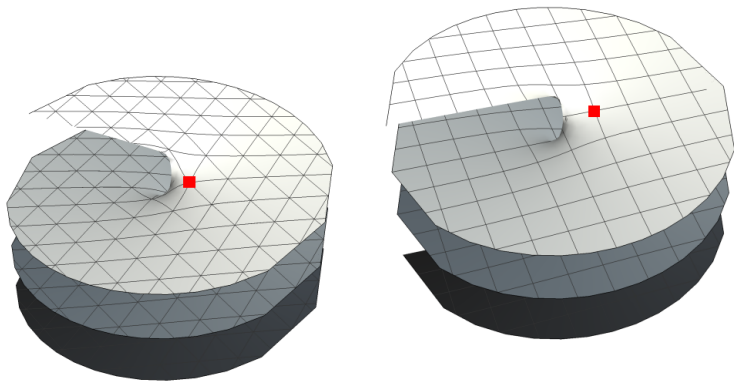
Square Lattice



King Lattice

# LATTICE WALKS BY WINDING ANGLE

**The model:** count walks starting at  $\blacksquare$  (by end point).



**Left:** Cell-centred triangular lattice

**Right:** Vertex-centred square lattice

# SQUARE LATTICE WALKS BY WINDING ANGLE

[Timothy Budd, 2017]: enumeration of **square lattice** walks (starting and ending on an axis or diagonal) by winding angle

- **Method:** Matrices counting paths, eigenvalue decomposition etc.
- **Solution:** Jacobi theta function expressions
- **Corollaries:**
  - Square lattice walks in cones (eg. Gessel walks)
  - Loops around the origin (without a fixed starting point)
  - Algebraicity results, asymptotic results, etc.



# SQUARE LATTICE WALKS BY WINDING ANGLE

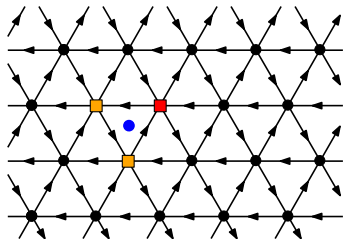
[[Timothy Budd, 2017](#)]: enumeration of **square lattice** walks (starting and ending on an axis or diagonal) by winding angle

- **Method:** Matrices counting paths, eigenvalue decomposition etc.
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- **Corollaries:**
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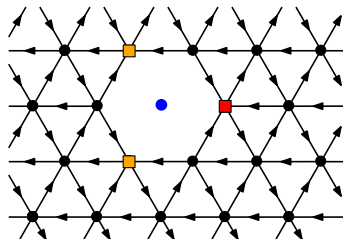
## **This work:**

- Completely different method
- Slightly different set of results
- Extension to three other lattices

# PREVIEW: KREWERAS ALMOST-EXCURSIONS



Cell-centred Kreweras lattice



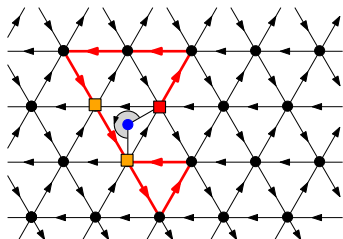
Vertex-centred Kreweras lattice

On each lattice: count walks  $\blacksquare \rightarrow (\blacksquare \text{ or } \blacksquare)$ . Walks with length  $n$  and winding angle  $\frac{2\pi k}{3}$  contribute  $t^n s^k$ .

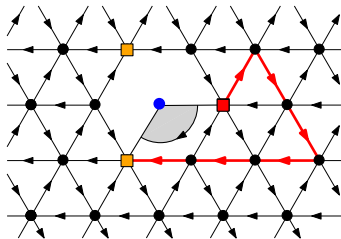
**Cell-centred:**  $E(t, s) = 1 + st + (s^2 + s^{-1})t^2 + \dots$

**Vertex-centred:**  $\tilde{E}(t, s) = 1 + (s^{-1} + 4 + s)t^3 + \dots$

# PREVIEW: KREWERAS ALMOST-EXCURSIONS



Cell-centred Kreweras lattice



Vertex-centred Kreweras lattice

On each lattice: count walks  $\blacksquare \rightarrow (\blacksquare \text{ or } \blacksquare)$ . Walks with length  $n$  and winding angle  $\frac{2\pi k}{3}$  contribute  $t^n s^k$ .

**Cell-centred:**  $E(t, s) = 1 + st + (s^2 + s^{-1})t^2 + \dots$

**Vertex-centred:**  $\tilde{E}(t, s) = 1 + (s^{-1} + 4 + s)t^3 + \dots$

# PREVIEW: KREWERAS ALMOST-EXCURSIONS

$$\begin{aligned} \text{Define } T_k(u, q) &= \sum_{n=0}^{\infty} (-1)^n (2n+1)^k q^{n(n+1)/2} (u^{n+1} - (-1)^k u^{-n}) \\ &= (u \pm 1) - 3^k q (u^2 \pm u^{-1}) + 5^k q^3 (u^3 \pm u^{-2}) + O(q^6). \end{aligned}$$

Let  $q(t) \equiv q = t^3 + 15t^6 + 279t^9 + \dots$  satisfy

$$t = q^{1/3} \frac{T_1(1, q^3)}{4T_0(q, q^3) + 6T_1(q, q^3)}.$$

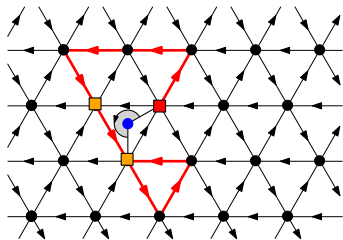
The gf for **cell-centred** Kreweras-lattice almost-excursions is:

$$E(t, s) = \frac{s}{(1-s^3)t} \left( s - q^{-1/3} \frac{T_1(q^2, q^3)}{T_1(1, q^3)} - q^{-1/3} \frac{T_0(q, q^3) T_1(sq^{-2/3}, q)}{T_1(1, q^3) T_0(sq^{-2/3}, q)} \right).$$

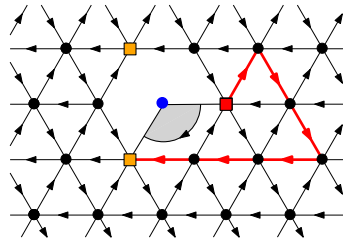
The gf for **vertex-centred** Kreweras-lattice almost-excursions is:

$$\tilde{E}(t, s) = \frac{s(1-s)q^{-\frac{2}{3}}}{t(1-s^3)} \frac{T_0(q, q^3)^2}{T_1(1, q^3)^2} \left( \frac{T_1(q, q^3)^2}{T_0(q, q^3)^2} - \frac{T_2(q, q^3)}{T_0(q, q^3)} - \frac{T_2(s, q)}{2T_0(s, q)} + \frac{T_3(1, q)}{6T_1(1, q)} + \frac{T_3(1, q^3)}{3T_1(1, q^3)} \right).$$

# Part 2a: Functional equations for Kreweras walks by winding angle



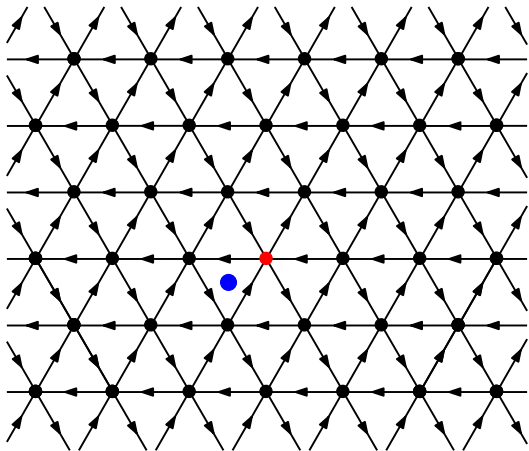
Cell-centred Kreweras lattice



Vertex-centred Kreweras lattice

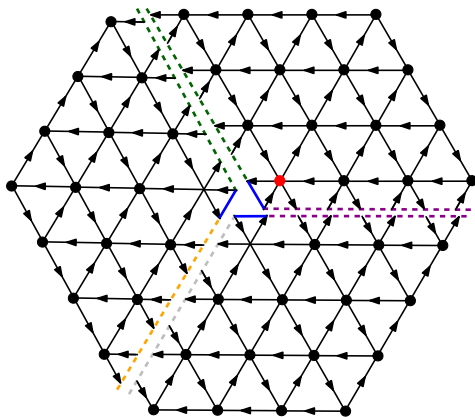
# KREWERAS WALKS BY WINDING NUMBER

**The model:** Count walks starting at the red point by end point and number of times winding around the blue point.



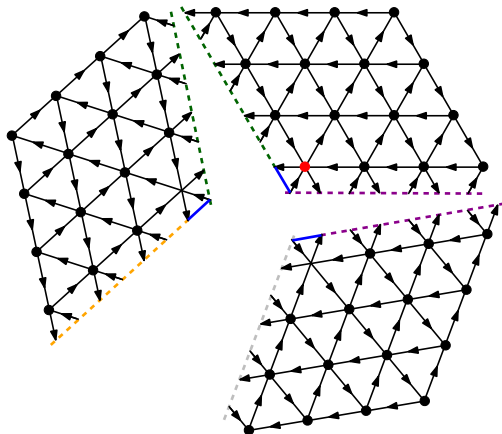
# KREWERAS WALKS BY WINDING NUMBER

**The model:** Count walks starting at the red point by end point.



# KREWERAS WALKS BY WINDING NUMBER

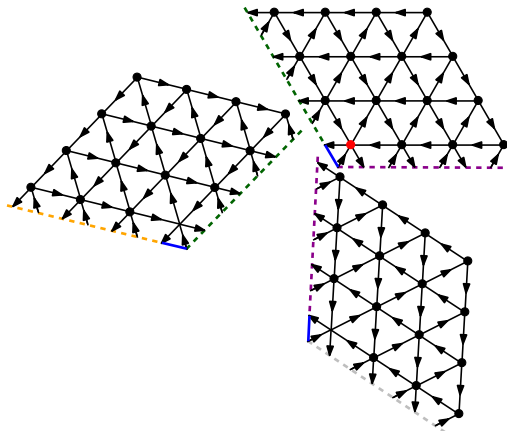
**The model:** Count walks starting at the red point by end point.





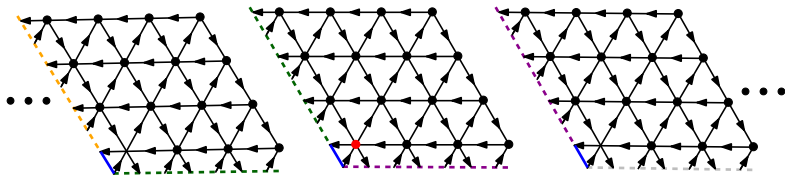
# KREWERAS WALKS BY WINDING NUMBER

**The model:** Count walks starting at the red point by end point.



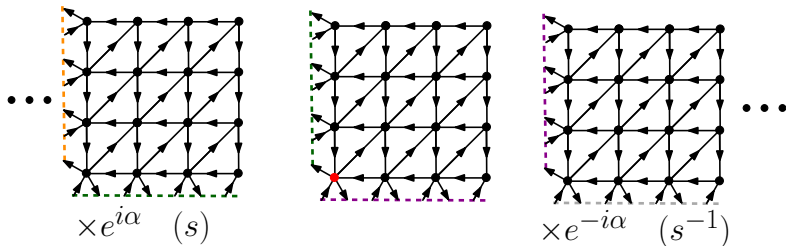
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# KREWERAS WALKS BY WINDING NUMBER

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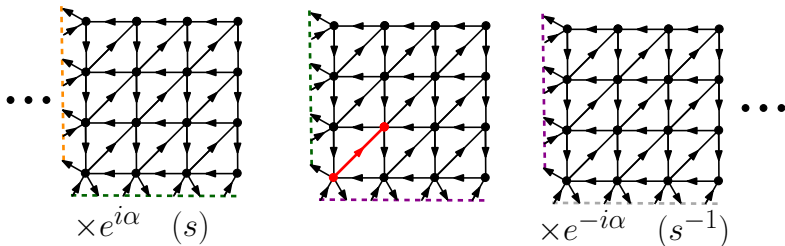


**Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|} x^{x(p)} y^{y(p)} e^{i\alpha n(p)}$

**Note:**  $Q(0, 0) = E(t, e^{i\alpha})$

# KREWERAS WALKS BY WINDING NUMBER

**The model:** Count walks starting at the red point by end point.



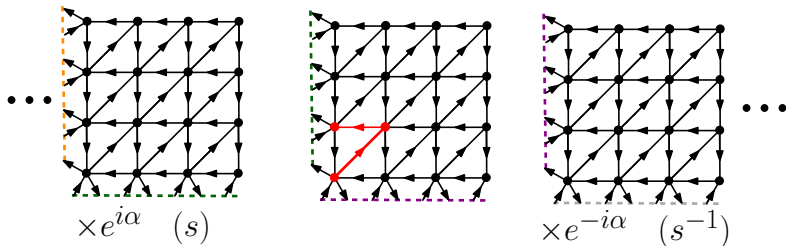
This example contributes  $txy$ .

**Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|} x^{x(p)} y^{y(p)} e^{i\alpha n(p)}$

**Note:**  $Q(0, 0) = E(t, e^{i\alpha})$

# KREWERAS WALKS BY WINDING NUMBER

**The model:** Count walks starting at the red point by end point.



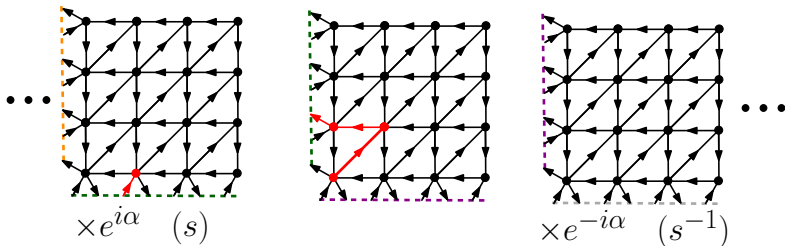
This example contributes  $t^2 y$ .

**Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|} x^{x(p)} y^{y(p)} e^{i\alpha n(p)}$

**Note:**  $Q(0, 0) = E(t, e^{i\alpha})$

# KREWERAS WALKS BY WINDING NUMBER

**The model:** Count walks starting at the red point by end point.



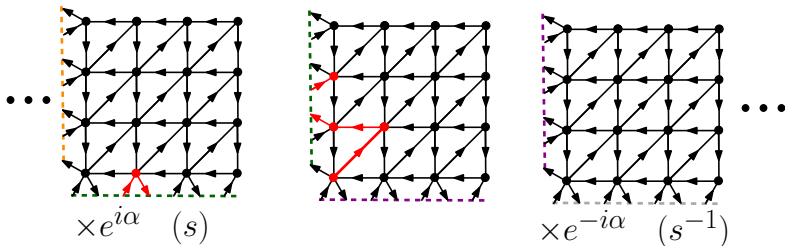
This example contributes  $t^3 x e^{i\alpha}$ .

**Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|} x^{x(p)} y^{y(p)} e^{i\alpha n(p)}$

**Note:**  $Q(0, 0) = E(t, e^{i\alpha})$

# KREWERAS WALKS BY WINDING NUMBER

**The model:** Count walks starting at the red point by end point.



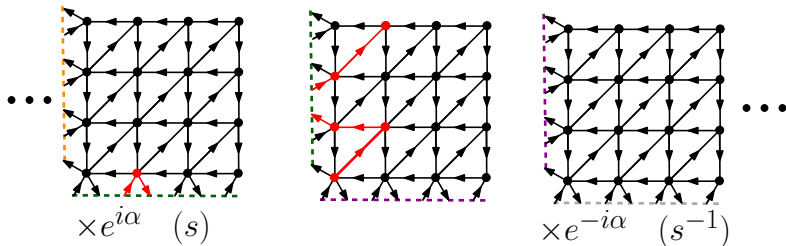
This example contributes  $t^4 y^2$ .

**Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|} x^{x(p)} y^{y(p)} e^{i\alpha n(p)}$

**Note:**  $Q(0, 0) = E(t, e^{i\alpha})$

# KREWERAS WALKS BY WINDING NUMBER

**The model:** Count walks starting at the red point by end point.



This example contributes  $t^5 xy^3$ .

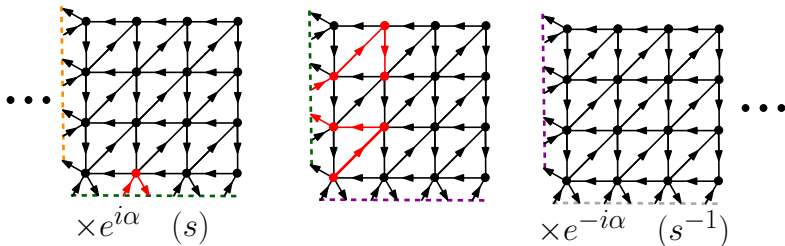
**Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|} x^{x(p)} y^{y(p)} e^{i\alpha n(p)}$

**Note:**  $Q(0, 0) = E(t, e^{i\alpha})$



# KREWERAS WALKS BY WINDING NUMBER

**The model:** Count walks starting at the red point by end point.



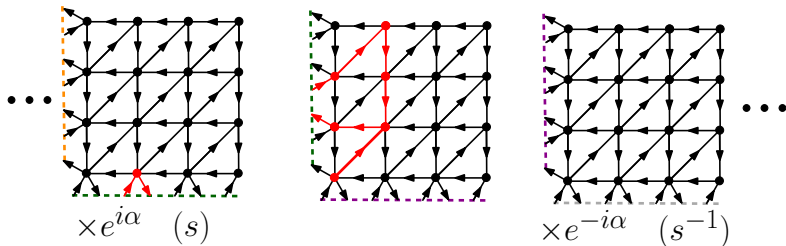
This example contributes  $t^6 xy^2$ .

**Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|} x^{x(p)} y^{y(p)} e^{i\alpha n(p)}$

**Note:**  $Q(0, 0) = E(t, e^{i\alpha})$

# KREWERAS WALKS BY WINDING NUMBER

**The model:** Count walks starting at the red point by end point.



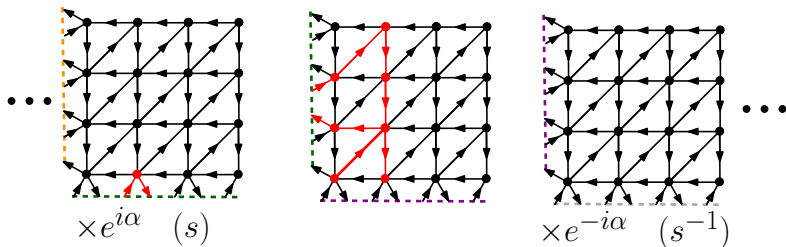
This example contributes  $t^7 xy$ .

**Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|} x^{x(p)} y^{y(p)} e^{i\alpha n(p)}$

**Note:**  $Q(0, 0) = E(t, e^{i\alpha})$

# KREWERAS WALKS BY WINDING NUMBER

**The model:** Count walks starting at the red point by end point.



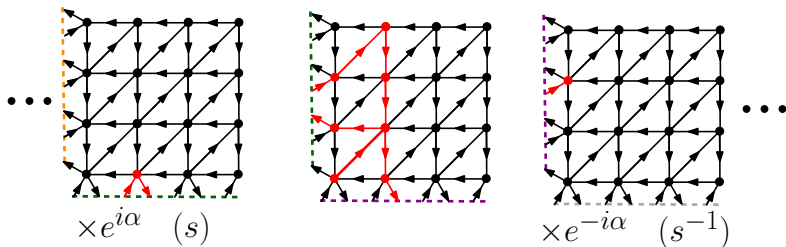
This example contributes  $t^8 x$ .

**Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|} x^{x(p)} y^{y(p)} e^{i\alpha n(p)}$

**Note:**  $Q(0, 0) = E(t, e^{i\alpha})$

# KREWERAS WALKS BY WINDING NUMBER

**The model:** Count walks starting at the red point by end point.



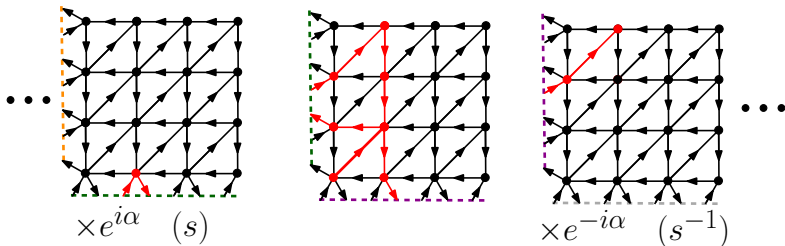
This example contributes  $t^9 y^2 e^{-i\alpha}$ .

**Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|} x^{x(p)} y^{y(p)} e^{i\alpha n(p)}$

**Note:**  $Q(0, 0) = E(t, e^{i\alpha})$

# KREWERAS WALKS BY WINDING NUMBER

**The model:** Count walks starting at the red point by end point.



This example contributes  $t^{10}xy^3e^{-i\alpha}$ .

**Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|} x^{x(p)} y^{y(p)} e^{i\alpha n(p)}$

**Note:**  $Q(0, 0) = E(t, e^{i\alpha})$

# FUNCTIONAL EQUATION

**Recursion** → **functional equation**: separate by *type* of final step.

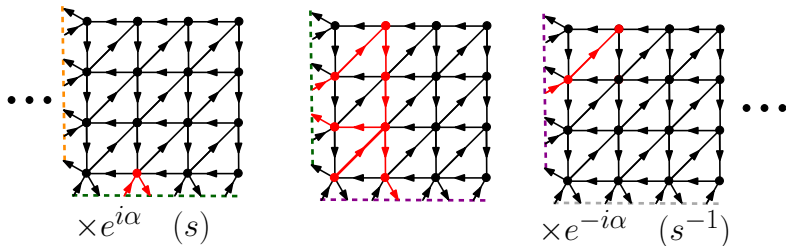
$$\begin{aligned}
 Q(x, y) = & 1 \\
 & + \\
 & \text{xyt}Q(x, y) \\
 & + \\
 & \frac{t}{x}(Q(x, y) - Q(0, y)) \\
 & + \\
 & \frac{t}{y}(Q(x, y) - Q(x, 0))
 \end{aligned}$$

+  $e^{i\alpha t}Q(0, x)$   
 (Final step goes through  
 left wall)

+  $e^{-i\alpha ty}Q(y, 0)$   
 (Final step goes through  
 bottom wall)

# KREWERAS WALKS BY WINDING NUMBER

**The model:** Count walks starting at the red point by end point.



**Definition:**  $Q(t, \alpha, x, y) \equiv Q(x, y) = \sum_{\text{paths } p} t^{|p|} x^{x(p)} y^{y(p)} e^{i\alpha n(p)}$ .

**Characterised by:**

$$Q(x, y) = 1 + txyQ(x, y) + t \frac{Q(x, y) - Q(0, y)}{x} + t \frac{Q(x, y) - Q(x, 0)}{y} \\
 + e^{i\alpha} tQ(0, x) + e^{-i\alpha} tyQ(y, 0).$$

# Part 2b: Solution (using theta functions)



# SOLUTION TO KREWERAS WALKS BY WINDING NUMBER

**Equation to solve:**

$$Q(x, y) = 1 + txyQ(x, y) + t \frac{Q(x, y) - Q(0, y)}{x} + t \frac{Q(x, y) - Q(x, 0)}{y} \\ + e^{i\alpha} tQ(0, x) + e^{-i\alpha} tyQ(y, 0).$$

# SOLUTION TO KREWERAS WALKS BY WINDING NUMBER

**Equation to solve:**

$$Q(x, y) = 1 + txyQ(x, y) + t \frac{Q(x, y) - Q(0, y)}{x} + t \frac{Q(x, y) - Q(x, 0)}{y} \\ + e^{i\alpha} tQ(0, x) + e^{-i\alpha} tyQ(y, 0).$$

**Solution:**

**Step 1:** Fix  $t \in [0, 1/3)$ ,  $\alpha \in \mathbb{R}$ . All series converge for  $|x|, |y| < 1$ .

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$$K(x, y) = 1 - txy - t/y - t/x$$

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Parameterisation involves the Jacobi theta function  $\vartheta(z, \tau)$ .

**So far:** Similar to [Kurkova, Raschel 12] and [Bernardi, Bousquet-Mélou, Raschel 17] for quadrant models.

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Define

$$X(z) = \frac{e^{-\frac{4\pi\tau i}{3}} \vartheta(z, 3\tau) \vartheta(z - \pi\tau, 3\tau)}{\vartheta(z + \pi\tau, 3\tau) \vartheta(z - 2\pi\tau, 3\tau)}.$$

Then  $K(X(z), X(z + \pi\tau)) = 0$ .

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Then  $K(X(z), X(z + \pi\tau)) = 0$ . Hence  $R(X(z), X(z + \pi\tau)) = 0$  (assuming  $|X(z)| \leq 1$  and  $|X(z + \pi\tau)| \leq 1$ ).



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**New equation to solve:**

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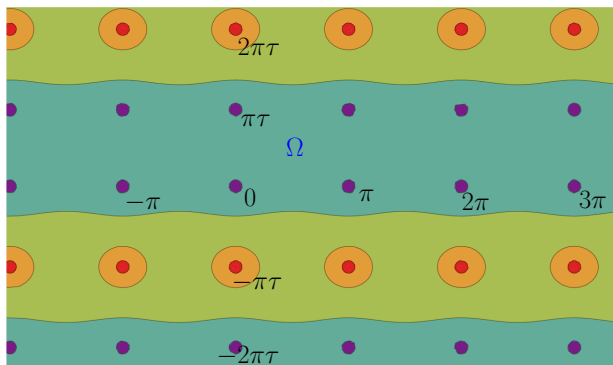
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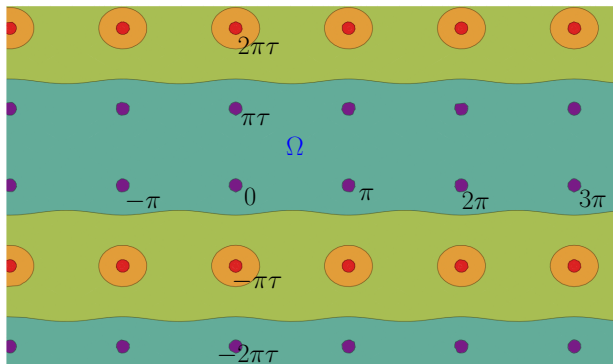
Plot of  $\left\{ z : |X(z)| \in \left[ 0, \frac{1}{3} \right), \left( \frac{1}{3}, 1 \right), (1, 3), (3, 9), (9, \infty] \right\}$ .



For  $z \in \Omega$ ,  $|X(z)| < 1 \Rightarrow Q(X(z), 0)$  and  $Q(0, X(z))$  are well defined.

# SOLUTION TO KREWERAS WALKS BY WINDING NUMBER

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For  $z \in \Omega$ ,  $|X(z)| < 1 \Rightarrow Q(X(z), 0)$  and  $Q(0, X(z))$  are well defined.  
Near  $\text{Re}(z) = 0$ , we have  $z \in \Omega$  and  $z + \pi\tau \in \Omega$ .

# SOLUTION TO KREWERAS WALKS BY WINDING NUMBER

**Equation to solve:** (near  $\operatorname{Re}(z) = 0$ )

$$R(X(z), X(z + \pi\tau)) = 0$$

where

$$X(z) = \frac{e^{-\frac{4\pi\tau i}{3}} \vartheta(z, 3\tau) \vartheta(z - \pi\tau, 3\tau)}{\vartheta(z + \pi\tau, 3\tau) \vartheta(z - 2\pi\tau, 3\tau)}.$$

$$R(x, y) = 1 - \frac{t}{x} Q(0, y) - \frac{t}{y} Q(x, 0) + e^{i\alpha} t Q(0, x) + e^{-i\alpha} t y Q(y, 0).$$

# SOLUTION TO KREWERAS WALKS BY WINDING NUMBER

**Equation to solve:** (near  $\operatorname{Re}(z) = 0$ )

$$1 = \frac{t}{X(z)} Q(0, X(z + \pi\tau)) + \frac{t}{X(z + \pi\tau)} Q(X(z), 0) \\ - e^{i\alpha} t Q(0, X(z)) - e^{-i\alpha} t X(z + \pi\tau) Q(X(z + \pi\tau), 0),$$

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$$L(z) = \frac{t}{X(z + \pi\tau)} Q(X(z), 0) - e^{i\alpha} t Q(0, X(z)).$$

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$$1 = \frac{t}{X(z)} Q(0, X(z + \pi\tau)) + L(z) \\ - e^{-i\alpha} t X(z + \pi\tau) Q(X(z + \pi\tau), 0),$$

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For  $z$  near 0, define

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We can solve this exactly:

$$L(z) = -\frac{e^{3i\alpha}}{1 - e^{3i\alpha}} \left( 1 + \frac{e^{-i\alpha}}{X(z)} + e^{-2i\alpha}X(z - \pi\tau) \right) \\ - \frac{e^{i\alpha + \frac{5i\pi\tau}{3}}\vartheta(\pi\tau, 3\tau)\vartheta'(0, \tau)}{(1 - e^{3i\alpha})\vartheta(\frac{\alpha}{2} - \frac{2\pi\tau}{3}, \tau)\vartheta'(0, 3\tau)} \frac{\vartheta(z - 2\pi\tau, 3\tau)\vartheta(z - \frac{\alpha}{2} + \frac{2\pi\tau}{3}, \tau)}{\vartheta(z, \tau)\vartheta(z, 3\tau)}$$

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We can extract  $E(t, e^{i\alpha}) = Q(0, 0)...$

# KREWERAS WALKS BY WINDING NUMBER: SOLUTION

**Recall:**  $\tau$  is determined by

$$t = e^{-\frac{\pi\tau i}{3}} \frac{\vartheta'(0, 3\tau)}{4i\vartheta(\pi\tau, 3\tau) + 6\vartheta'(\pi\tau, 3\tau)}.$$

The gf  $E(t, e^{i\alpha}) = Q(0, 0) \equiv Q(t, \alpha, 0, 0)$  is given by:

$$E(t, e^{i\alpha}) = \frac{e^{i\alpha}}{t(1 - e^{3i\alpha})} \left( e^{i\alpha} - e^{\frac{4\pi\tau i}{3}} \frac{\vartheta'(2\pi\tau, 3\tau)}{\vartheta'(0, 3\tau)} - e^{\frac{\pi\tau i}{3}} \frac{\vartheta(\pi\tau, 3\tau)\vartheta'(\frac{\alpha}{2} - \frac{2\pi\tau}{3}, \tau)}{\vartheta'(0, 3\tau)\vartheta(\frac{\alpha}{2} - \frac{2\pi\tau}{3}, \tau)} \right).$$

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**Equivalently:**

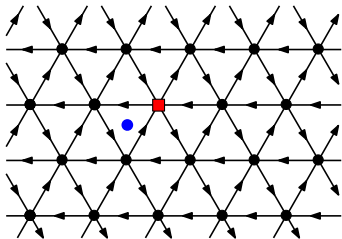
Let  $q(t) \equiv q = t^3 + 15t^6 + 279t^9 + \dots$  satisfy

$$t = q^{1/3} \frac{T_1(1, q^3)}{4T_0(q, q^3) + 6T_1(q, q^3)}.$$

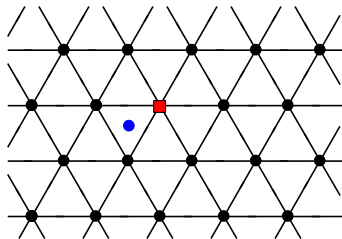
The gf for **cell-centred** Kreweras-lattice almost-excursions is:

$$E(t, s) = \frac{s}{(1 - s^3)t} \left( s - q^{-1/3} \frac{T_1(q^2, q^3)}{T_1(1, q^3)} - q^{-1/3} \frac{T_0(q, q^3)T_1(sq^{-2/3}, q)}{T_1(1, q^3)T_0(sq^{-2/3}, q)} \right).$$

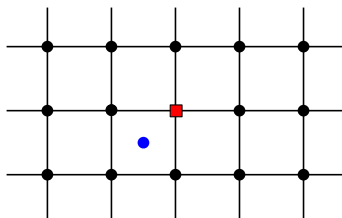
# Part 2c: Winding on other lattices



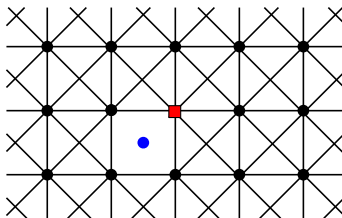
Kreweras lattice



Triangular Lattice



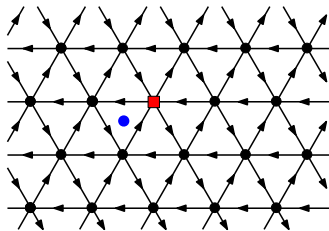
Square Lattice



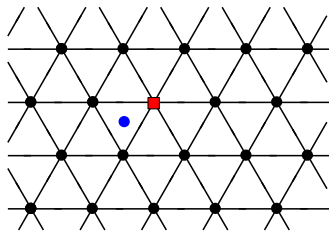
King Lattice

# CELL-CENTRED LATTICES

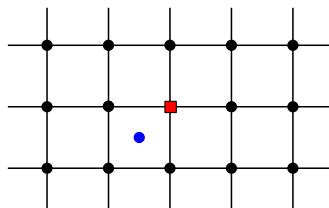
**Important property:** Decomposable into congruent sectors



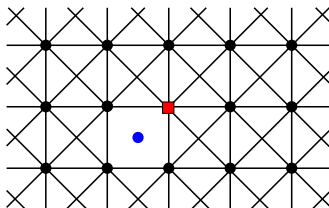
Kreweras lattice



Triangular Lattice



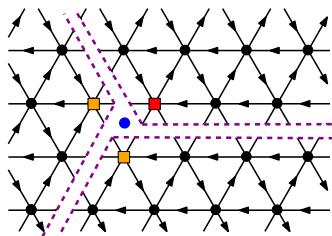
Square Lattice



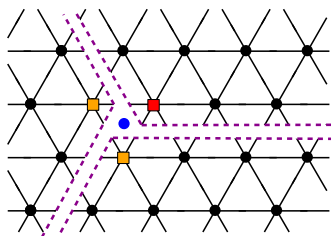
King Lattice

# CELL-CENTRED LATTICES

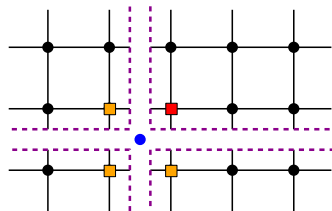
**Important property:** Decomposable into congruent sectors



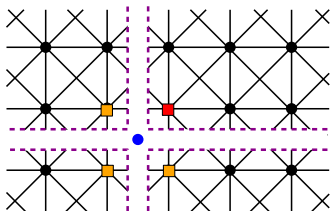
Kreweras lattice



Triangular Lattice



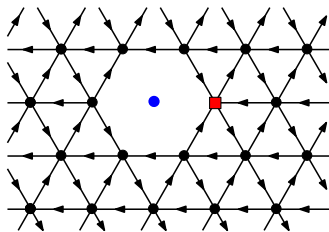
Square Lattice



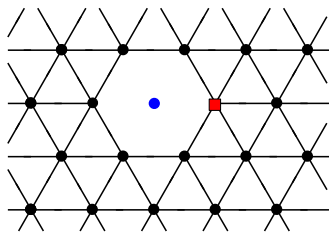
King Lattice

# VERTEX-CENTRED LATTICES

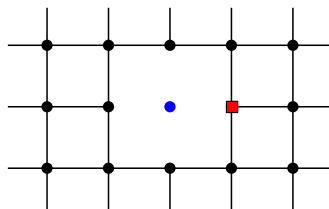
Decompose into rotationally congruent sectors



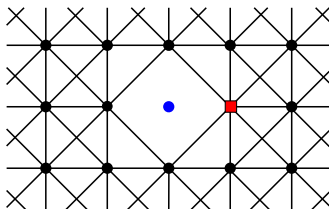
Kreweras lattice



Triangular Lattice



Square Lattice

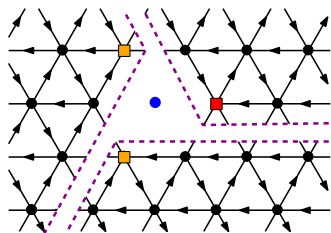


King Lattice

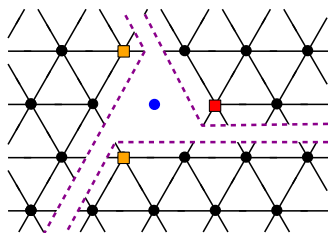


# VERTEX-CENTRED LATTICES

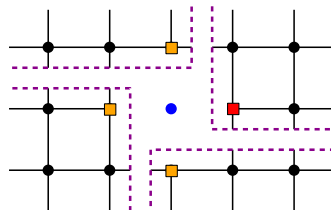
Decompose into rotationally congruent sectors



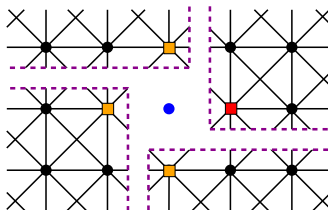
Kreweras lattice



Triangular Lattice



Square Lattice



King Lattice

# RECALL: KREWERAS ALMOST-EXCURSIONS

$$\begin{aligned}\text{Define } T_k(u, q) &= \sum_{n=0}^{\infty} (-1)^n (2n+1)^k q^{n(n+1)/2} (u^{n+1} - (-1)^k u^{-n}) \\ &= (u \pm 1) - 3^k q (u^2 \pm u^{-1}) + 5^k q^3 (u^3 \pm u^{-2}) + O(q^6).\end{aligned}$$

Let  $q(t) \equiv q = t^3 + 15t^6 + 279t^9 + \dots$  satisfy

$$t = q^{1/3} \frac{T_1(1, q^3)}{4T_0(q, q^3) + 6T_1(q, q^3)}.$$

The gf for **cell-centred** Kreweras-lattice almost-excursions is:

$$E(t, s) = \frac{s}{(1-s^3)t} \left( s - q^{-1/3} \frac{T_1(q^2, q^3)}{T_1(1, q^3)} - q^{-1/3} \frac{T_0(q, q^3) T_1(sq^{-2/3}, q)}{T_1(1, q^3) T_0(sq^{-2/3}, q)} \right).$$

The gf for **vertex-centred** Kreweras-lattice almost-excursions is:

$$\tilde{E}(t, s) = \frac{s(1-s)q^{-\frac{2}{3}}}{t(1-s^3)} \frac{T_0(q, q^3)^2}{T_1(1, q^3)^2} \left( \frac{T_1(q, q^3)^2}{T_0(q, q^3)^2} - \frac{T_2(q, q^3)}{T_0(q, q^3)} - \frac{T_2(s, q)}{2T_0(s, q)} + \frac{T_3(1, q)}{6T_1(1, q)} + \frac{T_3(1, q^3)}{3T_1(1, q^3)} \right).$$

# SQUARE LATTICE ALMOST-EXCURSIONS

$$\begin{aligned}\text{Define } T_k(u, q) &= \sum_{n=0}^{\infty} (-1)^n (2n+1)^k q^{n(n+1)/2} (u^{n+1} - (-1)^k u^{-n}) \\ &= (u \pm 1) - 3^k q (u^2 \pm u^{-1}) + 5^k q^3 (u^3 \pm u^{-2}) + O(q^6).\end{aligned}$$

Let  $q(t) \equiv q = t + 4t^3 + 34t^5 + 360t^7 + \dots$  satisfy

$$t = \frac{qT_0(q^2, q^8)T_1(1, q^8)}{2T_0(q^4, q^8)(T_0(q^2, q^8) + 2T_1(q^2, q^8))}.$$

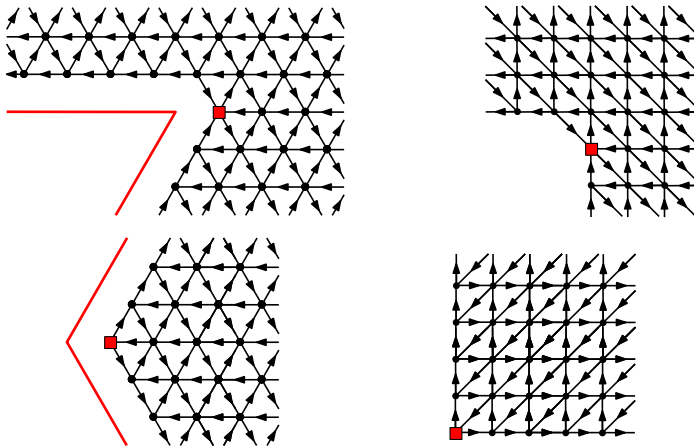
The gf for **cell-centred** Square-lattice almost-excursions is:

$$\frac{s^2}{(1-s^4)t} \left( s - s^{-1} + \frac{T_0(q^4, q^8)}{qT_1(1, q^8)} - \frac{T_0(q^4, q^8)T_1(s^{-1}q, q^2)}{qT_1(1, q^8)T_0(s^{-1}q, q^2)} \right).$$

The gf for **vertex-centred** Square-lattice almost-excursions is:

$$\frac{sT_0(q^4, q^8)}{qt(1+s^2)T_1(1, q^8)} \left( 1 + \frac{2T_1(q^2, q^8)}{T_0(q^2, q^8)} + \frac{(1-s)T_1(s^{-1}, q^2)}{(1+s)T_0(s^{-1}, q^2)} \right).$$

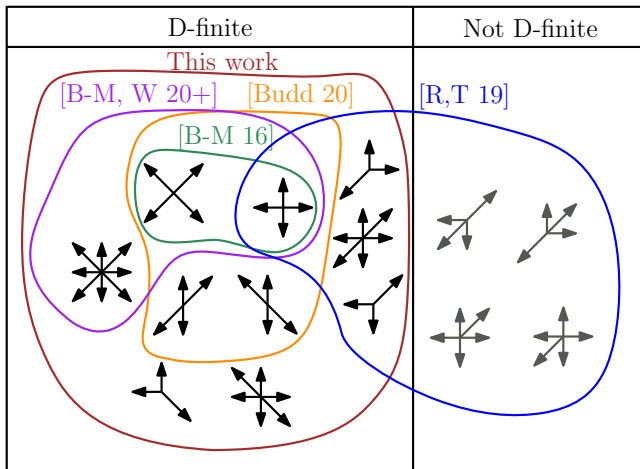
# Part 3: Walks in cones



# WALKS IN CONES WITH SMALL STEPS

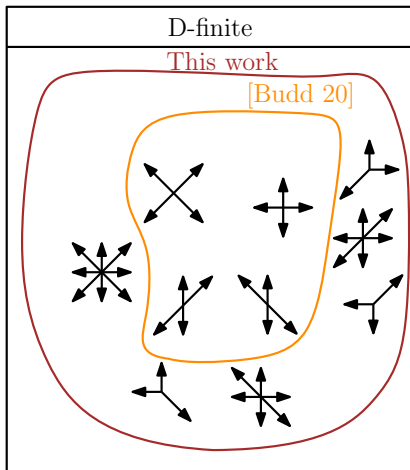
- **Quarter plane walks:** Completely classified into rational, algebraic, D-finite, D-algebraic cases.  
[Mishna, Rechnitzer 09], [Bousquet-Mélou, Mishna 10], [Bostan, Kauers 10], [Fayolle, Raschel 10], [Kurkova, Raschel 12], [Melczer, Mishna 13], [Bostan, Raschel, Salvy 14], [Bernardi, Bousquet-Mélou, Raschel 17], [Dreyfus, Hardouin, Roques, Singer 18]
- **Half plane walks:** Easy
- **Three quarter plane walks:** Active area of research (Previously) solved in 6-12 of the 74 non-trivial cases  
[Bousquet-Mélou 16], [Raschel-Trotignon 19], [Budd 20], [Bousquet-Mélou, Wallner 20+]
- **Walks on the slit plane  $\mathbb{C} \setminus \mathbb{R}_{<0}$ :** solved in all cases  
[Bousquet-Mélou, 01], [Bousquet-Mélou, Schaeffer, 02]

# WALKS IN THE 3/4-PLANE: SOLVED CASES



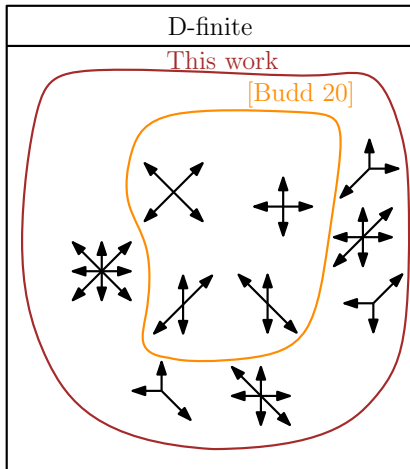
[Bousquet-Mélou 16], [Raschel, Trotignon 19], [Budd 20], [Bousquet-Mélou, Wallner 20+]

# WALKS IN THE 5/4-PLANE: SOLVED CASES



[Budd 20]

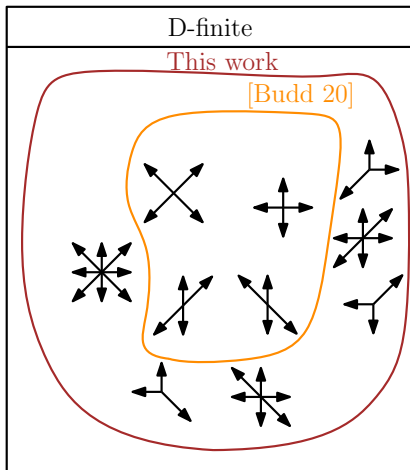
# WALKS IN THE 6/4-PLANE: SOLVED CASES



[Budd 20]

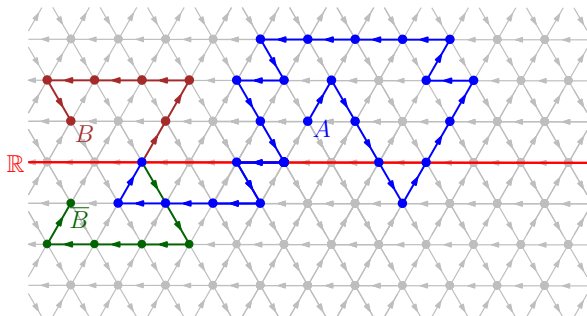


# WALKS IN THE $7/4$ -PLANE: SOLVED CASES



[Budd 20]

# COUNTING KREWERAS WALKS IN A CONE

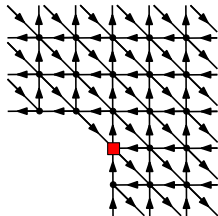
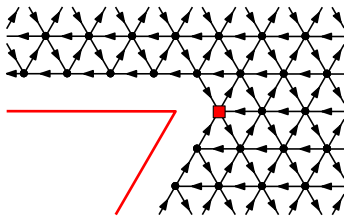


**In the upper half plane:** Use reflection principle


$$\begin{aligned} & \#(\text{Walks from } A \text{ to } B \text{ above } \mathbb{R}) \\ &= \#(\text{Walks from } A \text{ to } B) - \#(\text{Walks from } A \text{ to } B \text{ through } \mathbb{R}) \\ &= \#(\text{Walks from } A \text{ to } B) - \#(\text{Walks from } A \text{ to } \bar{B}) \end{aligned}$$

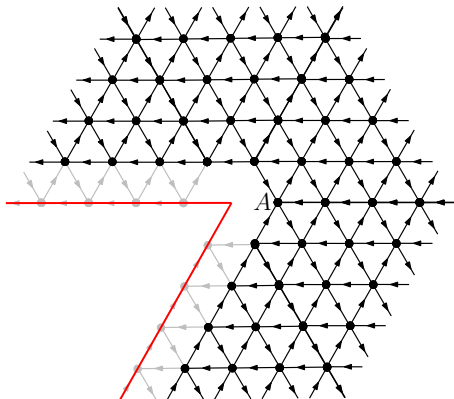
# COUNTING KREWERAS EXCURSIONS IN $5/6$ -PLANE

**New model:** -excursions avoiding a quadrant.




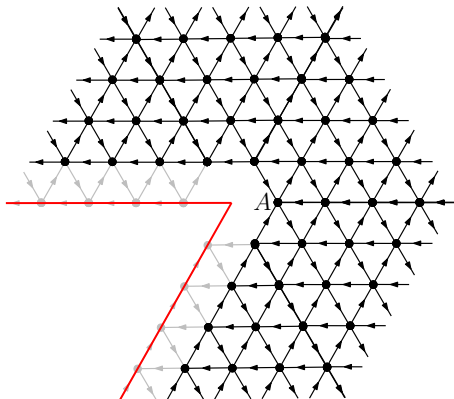
# COUNTING KREWERAS EXCURSIONS IN $5/6$ -PLANE

**New model:** -excursions avoiding a quadrant.  
**First step:** Transform to half plane




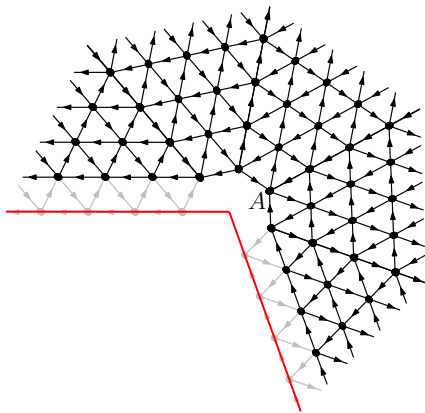
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**First step:** Transform to half plane




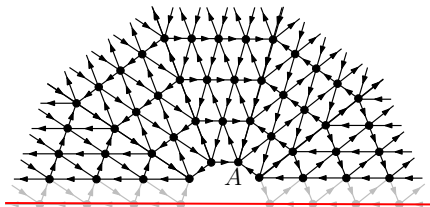
# COUNTING KREWERAS EXCURSIONS IN $5/6$ -PLANE

**New model:** -excursions avoiding a quadrant.  
**First step:** Transform to half plane



# COUNTING KREWERAS EXCURSIONS IN $5/6$ -PLANE

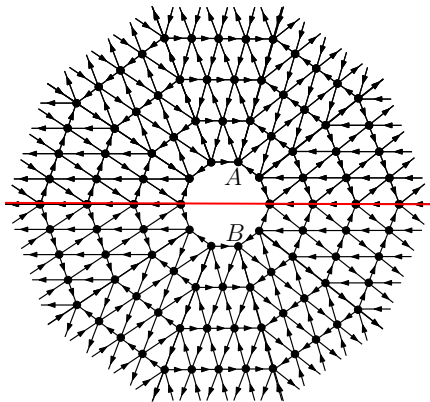
**New model:** -excursions avoiding a quadrant.  
**First step:** Transform to half plane



# COUNTING KREWERAS EXCURSIONS IN $5/6$ -PLANE

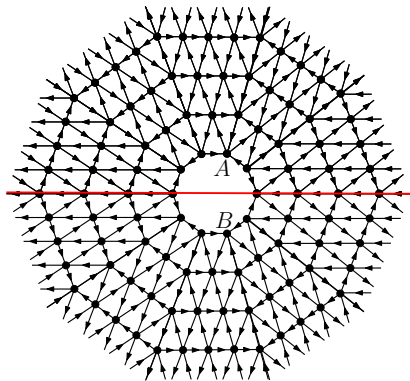
**New model:** -excursions avoiding a quadrant.

**First step:** Transform to half plane  $\rightarrow$  whole (punctured) plane





# COUNTING KREWERAS EXCURSIONS IN 5/6-PLANE

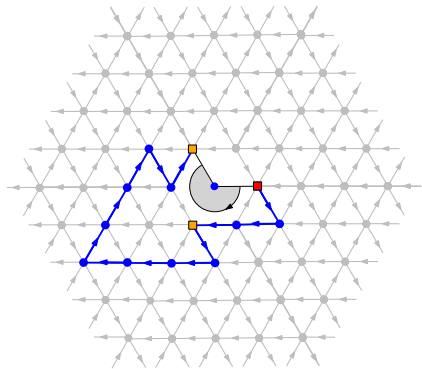
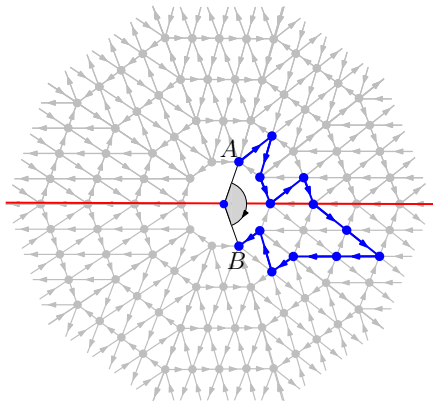


$$\begin{aligned} & \#(\text{Kreweras excursions in } 5/6\text{-plane}) \\ &= \#(\text{Walks } A \rightarrow A \text{ in upper half plane}) \\ &= \#(\text{Walks } A \rightarrow A) - \#(\text{Walks } A \rightarrow B) \end{aligned}$$

# COUNTING KREWERAS EXCURSIONS IN $5/6$ -PLANE

Walks  $A \rightarrow B$  with winding angle  $\beta$

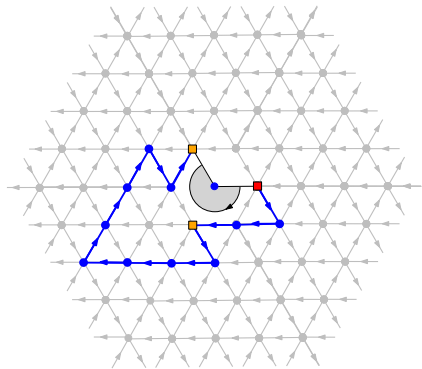
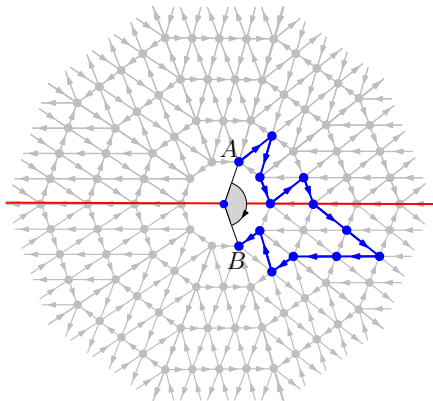
$\equiv$  Kreweras almost-excursions with winding angle  $\frac{5\beta}{3}$ .



# COUNTING KREWERAS EXCURSIONS IN $5/6$ -PLANE

Walks  $A \rightarrow B$  with winding angle  $2\pi k - \frac{4\pi}{5}$

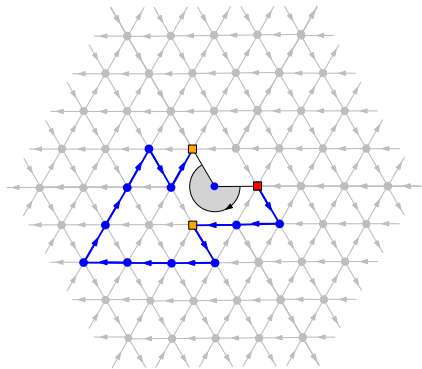
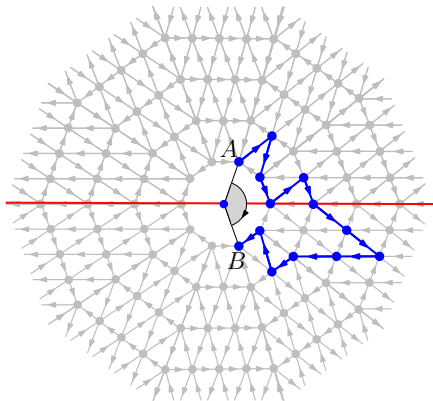
$\equiv$  Kreweras almost-excursions with winding angle  $\frac{5}{3} \left( 2\pi k - \frac{4\pi}{5} \right)$ .



# COUNTING KREWERAS EXCURSIONS IN $5/6$ -PLANE

Walks  $A \rightarrow B$  with winding angle  $2\pi k - \frac{4\pi}{5}$

$\equiv$  Kreweras almost-excursions with winding angle  $\frac{10\pi k}{3} - \frac{4\pi}{3}$ .

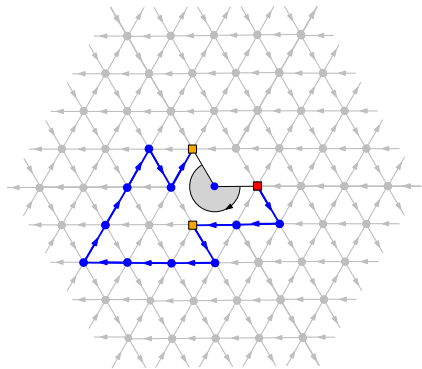
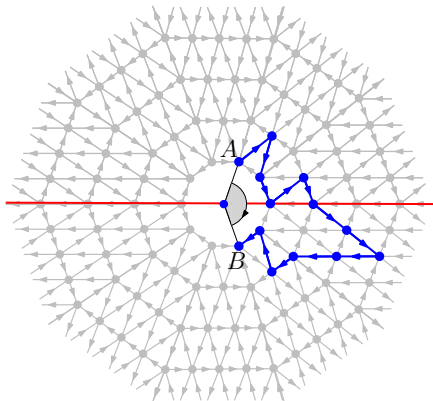


# COUNTING KREWERAS EXCURSIONS IN 5/6-PLANE

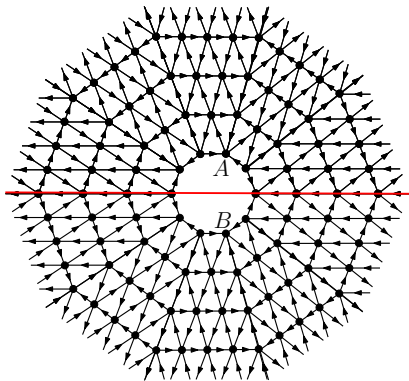
Walks  $A \rightarrow B$  with winding angle  $2\pi k - \frac{4\pi}{5}$

$\equiv$  Kreweras almost-excursions with winding angle  $\frac{10\pi k}{3} - \frac{4\pi}{3}$ .

**Counted by:**  $s^{5k-2}\tilde{E}(t, s)$

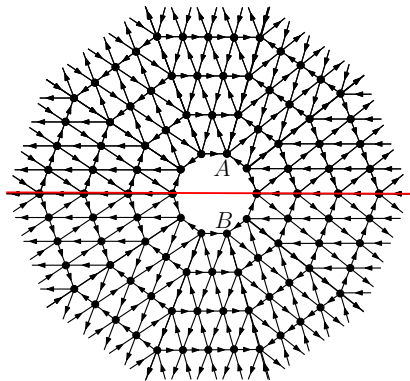


# COUNTING KREWERAS EXCURSIONS IN 5/6-PLANE



$$\begin{aligned} & \#(\text{Kreweras excursions in } 5/6\text{-plane}) \\ &= \#(\text{Walks } A \rightarrow A \text{ in upper half plane}) \\ &= \#(\text{Walks } A \rightarrow A) - \#(\text{Walks } A \rightarrow B) \\ &= \left( \sum_{k \in \mathbb{Z}} [s^{5k}] \tilde{E}(t, s) \right) - \left( \sum_{k \in \mathbb{Z}} [s^{5k-3}] \tilde{E}(t, s) \right) \\ &= \frac{1}{5} \sum_{j=1}^4 \left( 1 - e^{\frac{4\pi i j}{5}} \right) \tilde{E} \left( t, e^{\frac{2\pi i j}{5}} \right) \end{aligned}$$

# COUNTING KREWERAS EXCURSIONS IN 5/6-PLANE



$$\begin{aligned}
 & \#(\text{Kreweras excursions in } 5/6\text{-plane}) \\
 &= \#(\text{Walks } A \rightarrow A \text{ in upper half plane}) \\
 &= \#(\text{Walks } A \rightarrow A) - \#(\text{Walks } A \rightarrow B) \\
 &= \left( \sum_{k \in \mathbb{Z}} [s^{5k}] \tilde{E}(t, s) \right) - \left( \sum_{k \in \mathbb{Z}} [s^{5k-3}] \tilde{E}(t, s) \right) \\
 &= \frac{1}{5} \sum_{j=1}^4 \left( 1 - e^{\frac{4\pi ij}{5}} \right) \tilde{E} \left( t, e^{\frac{2\pi ij}{5}} \right)
 \end{aligned}$$

**More generally:** The gf  $C_{k,r}(t)$  for excursions in the  $k/6$ -plane is

$$C_{k,r}(t) = \frac{1}{k} \sum_{j=1}^{k-1} \left( 1 - e^{\frac{2\pi ijr}{k}} \right) \tilde{E} \left( t, e^{\frac{2\pi ij}{k}} \right).$$

# Final comments



# FUNCTIONAL EQUATION THETA SOLUTION METHOD

**Project:** develop this method of solving functional equations.

**Problems solved so far:**

- Quadrant walks [Kurkova, Raschel, 2012] + [Bernardi, Bousquet-Mélou, Raschel, 2017]
- Some walks avoiding a quadrant [Raschel, Trotignon, 2019]
- Some walks by winding number [E.P., 2020+]
- Six vertex model on 4-valent maps [Kostov, 2000], [E.P., Zinn-Justin, 2020+], [Bousquet-Mélou, E.P., 2020+]
- Properly coloured triangulations [E.P., 2020+]

**To do:**

- Solve more problems.
- Streamline the method.
- Convert techniques to world of formal power series.
- find a good name for the method.

Thank you!

## BONUS SLIDE: PROPERLY COLOURED TRIANGULATIONS

**To solve:**

$$T(x, y)K(x, y) = R(x, y),$$

where

$$K(x, y) = 1 - xytT(1, y) - \frac{xt}{y} - \frac{x^2yt}{1-x}$$
$$R(x, y) = x(s-1) - \frac{xt}{y}T(x, 0) + x^2yt \frac{T(1, y)}{x-1}.$$

Want to parametrise  $K(x, y) = 0$ , as then  $R(x, y) = 0$ .

**Guess:** There is some pair  $X(z), Y(z)$  satisfying

- $K(X(z), Y(z)) = 0$  and therefore  $R(X(z), Y(z)) = 0$ .
- $X(z + \pi) = X(z)$  and  $Y(z + \pi) = Y(z)$ .
- $X(-z) = X(z)$  and  $Y(\pi\tau - z) = Y(z)$ .

**Guess:** Solve under this assumption then check the solution.

**Kernel not explicit, but method still works.**

## BONUS SLIDE: ANOTHER WINDING ANGLE

For self-avoiding walks, a different parameter is sometimes called the winding angle (e.g. in work of Duminil-Copin and Smirnov). I'll call it the turning angle.

**Definition:** Imagining a walker taking the walk, the turning angle is the total anti-clockwise angle they turn during the walk.

**Relation to winding angle:** The turning angle is the winding angle of the walk minus the winding angle of the reversed walk.

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# ASYMPTOTICS OF $\tilde{E}(t, e^{i\alpha})$ AND $C_{k,r}(t)$

Fix  $\alpha \in (0, \pi) \setminus \{\frac{2\pi}{3}\}$ .

Writing  $\hat{\tau} = -\frac{1}{3\tau}$  and  $\hat{q} = e^{2\pi i\hat{\tau}}$ , the dominant singularity  $t = 1/3$  corresponds to  $\hat{q} = 0$ .

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**Series in  $\hat{q}$ :**

$$t = \frac{1}{3} - 3\hat{q} + 18\hat{q}^2 + O(\hat{q}^3)$$

$$t\tilde{E}(t, e^{i\alpha}) = a_0 + a_1\hat{q} - \frac{27\alpha e^{i\alpha}}{2\pi(1 + e^{i\alpha} + e^{2i\alpha})}\hat{q}^{\frac{3\alpha}{2\pi}} + o\left(\hat{q}^{\frac{3\alpha}{2\pi}}\right),$$

$\rightarrow \tilde{E}(t, e^{i\alpha})$  as a series in  $(1 - 3t)$ ,

# ASYMPTOTICS OF $\tilde{E}(t, e^{i\alpha})$ AND $C_{k,r}(t)$

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**Series in  $\hat{q}$ :**

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# ASYMPTOTICS OF $\tilde{E}(t, e^{i\alpha})$ AND $C_{k,r}(t)$

Fix  $\alpha \in (0, \pi) \setminus \{\frac{2\pi}{3}\}$ .

Writing  $\hat{r} = -\frac{1}{3\tau}$  and  $\hat{q} = e^{2\pi i \hat{r}}$ , the dominant singularity  $t = 1/3$  corresponds to  $\hat{q} = 0$ .

**Series in  $\hat{q}$ :**

$$t = \frac{1}{3} - 3\hat{q} + 18\hat{q}^2 + O(\hat{q}^3)$$

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**Alternatively:** Terms  $3^n$  and  $n^{-1-\frac{3}{k}}$  known [Denisov, Wachtel, 2015].

# ANALYSIS OF SOLUTION: ALGEBRAICITY

**Recall:**  $\vartheta(z, \tau)$  is differentially algebraic  $\rightarrow$  so are  $\tilde{E}(t, s)$  and  $Q(t, \alpha, x, y)$ .

**For**  $\alpha \in \frac{\pi}{3} (\mathbb{Q} \setminus \mathbb{Z})$  **we get algebraicity** (Ideas from [Zagier, 08] and [E.P., Zinn-Justin, 20+]):

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**Recall:** The gf for excursions in the  $k/6$ -plane is

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Algebraic iff  $3 \nmid k$ . (always D-finite).

## BONUS SLIDE: PARAMETERIZATION OF $K(x, y) = 0$

Write  $K(x, y) = A(x)y^2 + B(x)y + C(x)$ , then

$$Y(x) = \frac{-B(x) \pm \sqrt{B(x)^2 - 4A(x)C(x)}}{2A(x)}$$

parameterizes  $K(x, Y(x)) = 0$ . Typically,  $Y_+(x)$  is meromorphic on:

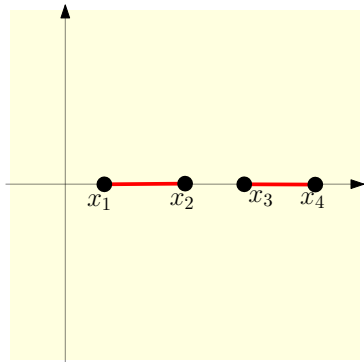


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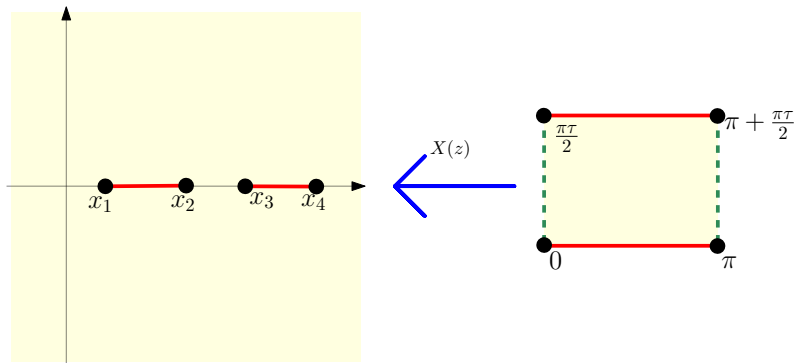


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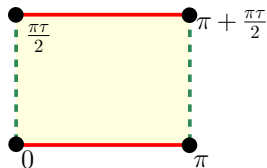
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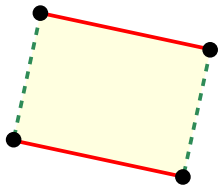
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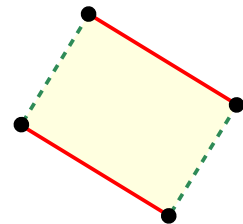
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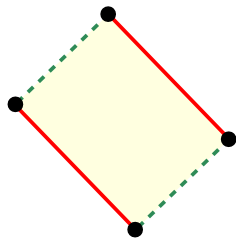
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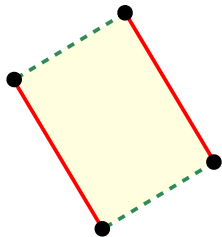
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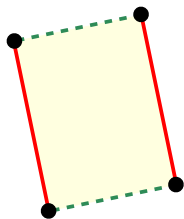
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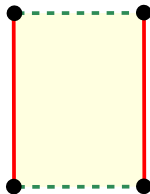


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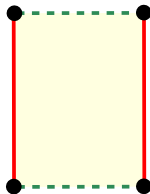




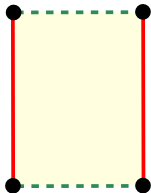
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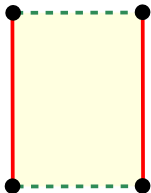
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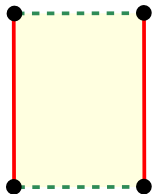
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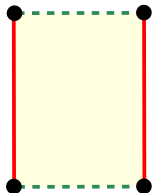
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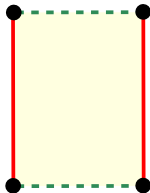
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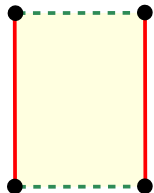
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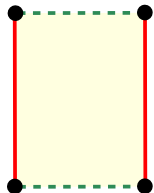


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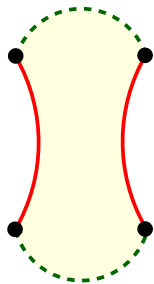




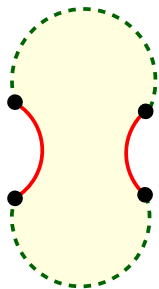
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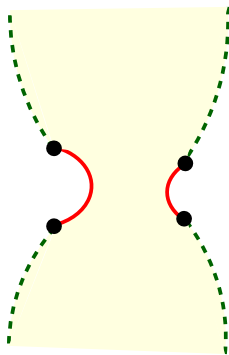
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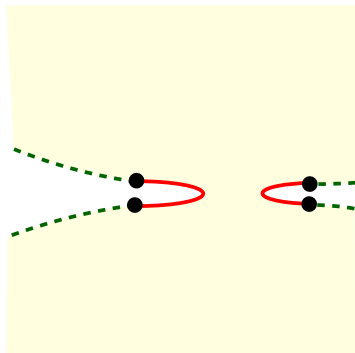
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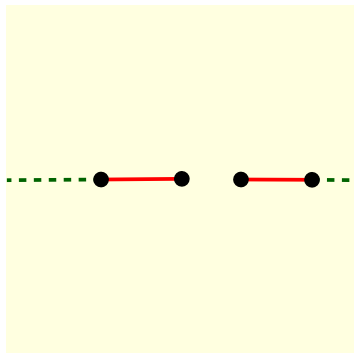
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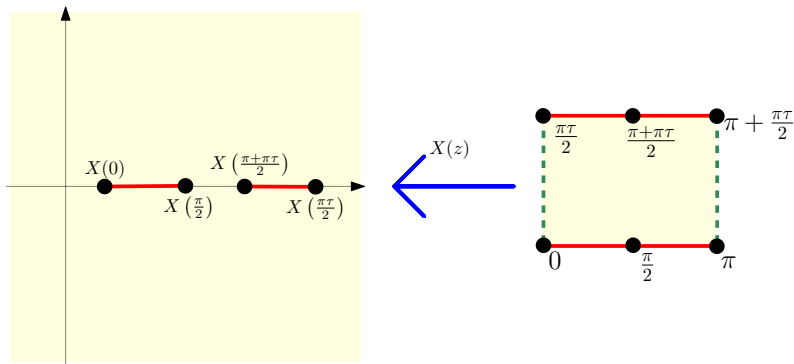
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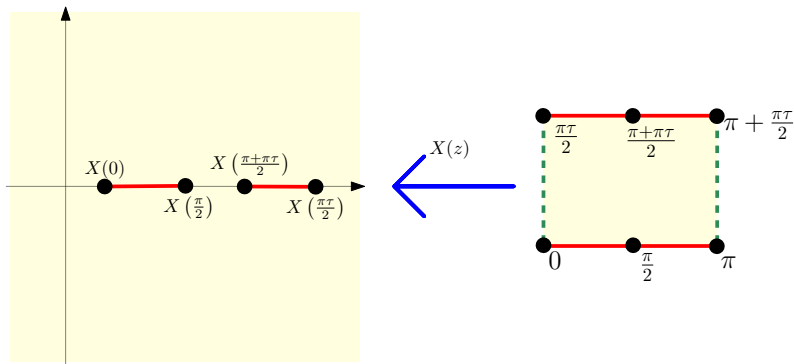
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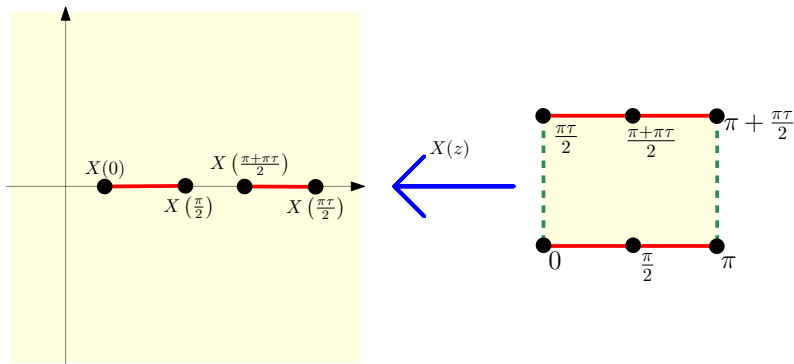


By symmetry, for  $r \in \mathbb{R}$ :

- $X(r) = X(\pi - r) = X(-r)$
- $X(\frac{\pi\tau}{2} + r) = X(\frac{\pi\tau}{2} - r)$



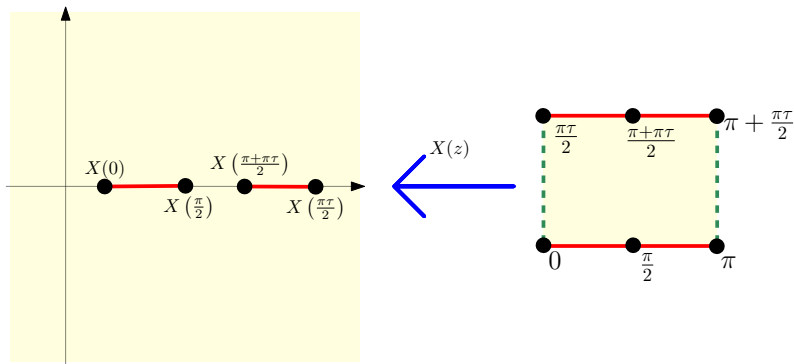
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For  $z \in \mathbb{C}$ :

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- $X(z) = X(\pi\tau - z)$

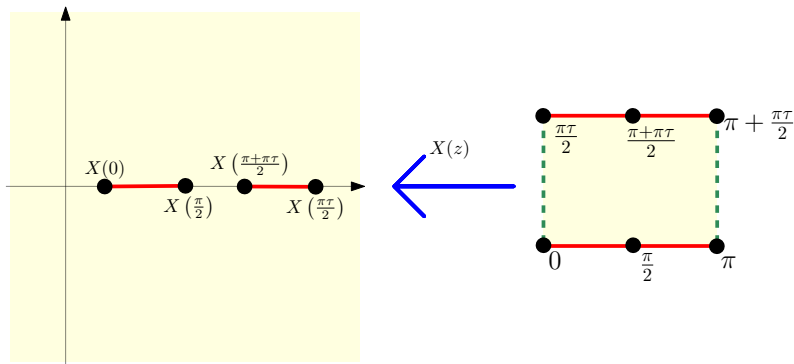
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For  $z \in \mathbb{C}$ :

- $X(z) = X(\pi - z) = X(-z) = X(\pi\tau + z)$
- $X(z) = X(\pi\tau - z)$

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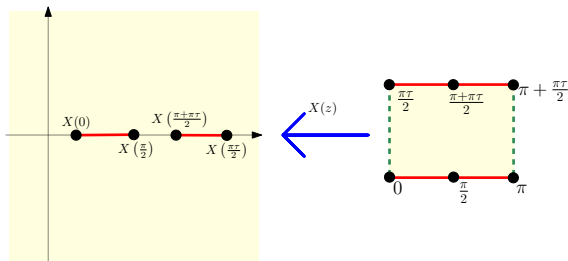


For  $z \in \mathbb{C}$ :

- $X(z) = X(\pi - z) = X(-z) = X(\pi\tau + z)$

$$X(z) = c \frac{\vartheta(z - \alpha)\vartheta(z + \alpha)}{\vartheta(z - \beta)\vartheta(z + \beta)}$$

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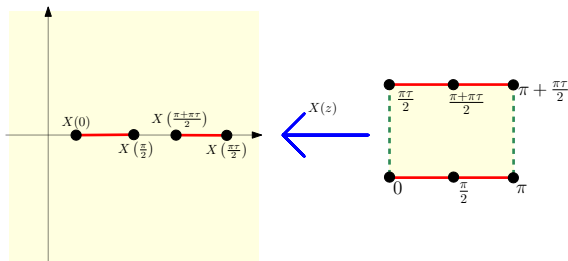
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$$y(x) = \frac{-B(x) \pm \sqrt{B(x)^2 - 4A(x)C(x)}}{2A(x)}.$$

Consider  $Y(z) = y(X(z))$ . By symmetry, for  $r \in \mathbb{R}$ :

- $X(r) = X(-r)$ , so  $Y(r) + Y(-r) = -\frac{B(X(r))}{A(X(r))}$ .
- Similarly,  $Y\left(\frac{\pi\tau}{2} + r\right) + Y\left(\frac{\pi\tau}{2} - r\right) = -\frac{B\left(X\left(\frac{\pi\tau}{2} + r\right)\right)}{A\left(X\left(\frac{\pi\tau}{2} + r\right)\right)}$ .

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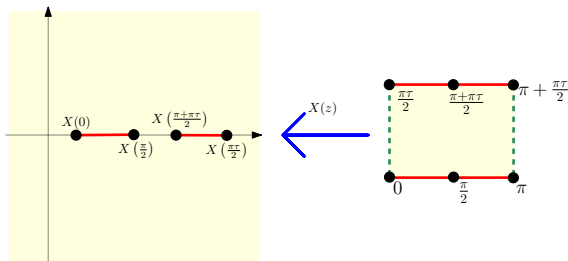
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- $Y(z) + Y(-z) = -\frac{B(X(z))}{A(X(z))}.$
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For  $z \in \mathbb{C}$ :

- $Y(z) + Y(-z) = -\frac{B(X(z))}{A(X(z))}$ .
- $Y(z) + Y(\pi\tau - z) = -\frac{B(X(z))}{A(X(z))}$ .

So  $Y(z) = Y(z + \pi\tau) = Y(z + \pi)$

$$\Rightarrow Y(z) = c \frac{\vartheta(z - \gamma)\vartheta(z - \delta)}{\vartheta(z - \epsilon)\vartheta(z - \gamma - \delta + \epsilon)}.$$

## BONUS SLIDE: PARAMETERIZATION OF $K(x, y) = 0$

Equation characterising  $Q(x, y) \equiv Q(t, x, y)$  for quadrant walks:

$$K(x, y)Q(x, y) + R(x, y) = 0.$$

$K(x, y) = 0$  is parameterised by

$$X(z) = c_1 \frac{\vartheta(z - \alpha_1)\vartheta(z - \beta_1)}{\vartheta(z - \gamma_1)\vartheta(z - \delta_1)} \quad \text{and} \quad Y(z) = c_2 \frac{\vartheta(z - \alpha_2)\vartheta(z - \beta_2)}{\vartheta(z - \gamma_2)\vartheta(z - \delta_2)},$$

where the constants satisfy  $\alpha_j + \beta_j = \gamma_j + \delta_j$  for  $j = 1, 2$ .

So,  $R(X(z), Y(z)) = 0$ .

## BONUS SLIDE: PARAMETERIZATION OF $K(x, y) = 0$

**In general:**  $K(x, y) = 0$  is parameterised by

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## BONUS SLIDE: PARAMETERIZATION OF $K(x, y) = 0$

**For Kreweras paths:**

$$Q(x, y) = 1 + xytQ(x, y) + \frac{t}{x} (Q(x, y) - Q(0, y)) + \frac{t}{y} (Q(x, y) - Q(x, 0)).$$

Then  $K(x, y) = xy - tx^2y^2 - tx - ty = 0$  is parameterised by

$$X(z) = c_1 \frac{\vartheta(z - \alpha_1)\vartheta(z - \beta_1)}{\vartheta(z - \gamma_1)\vartheta(z - \delta_1)} \quad \text{and} \quad Y(z) = c_2 \frac{\vartheta(z - \alpha_2)\vartheta(z - \beta_2)}{\vartheta(z - \gamma_2)\vartheta(z - \delta_2)},$$

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with  $\alpha_j + \beta_j = \gamma_j + \delta_j$  for  $j = 1, 2$ .

- $K(0, 0) = 0$ , so WLOG  $\alpha_1 = \alpha_2 = 0$ .

## BONUS SLIDE: PARAMETERIZATION OF $K(x, y) = 0$

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## BONUS SLIDE: PARAMETERIZATION OF $K(x, y) = 0$

Then  $K(x, y) = xy - tx^2y^2 - tx - ty = 0$  is parameterised by

$$X(z) = \frac{e^{-\frac{4\pi\tau i}{3}} \vartheta(z, 3\tau) \vartheta(z - \pi\tau, 3\tau)}{\vartheta(z + \pi\tau, 3\tau) \vartheta(z - 2\pi\tau, 3\tau)} \quad \text{and} \quad Y(z) = X(z + \pi\tau),$$

where

$$t = \frac{1}{X(z)Y(z) + X(z)^{-1} + Y(z)^{-1}}.$$

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where

$$t = e^{-\frac{\pi\tau i}{3}} \frac{\vartheta'(0, 3\tau)}{4i\vartheta(\pi\tau, 3\tau) + 6\vartheta'(\pi\tau, 3\tau)}.$$

# Part 4: Analysis of solutions