

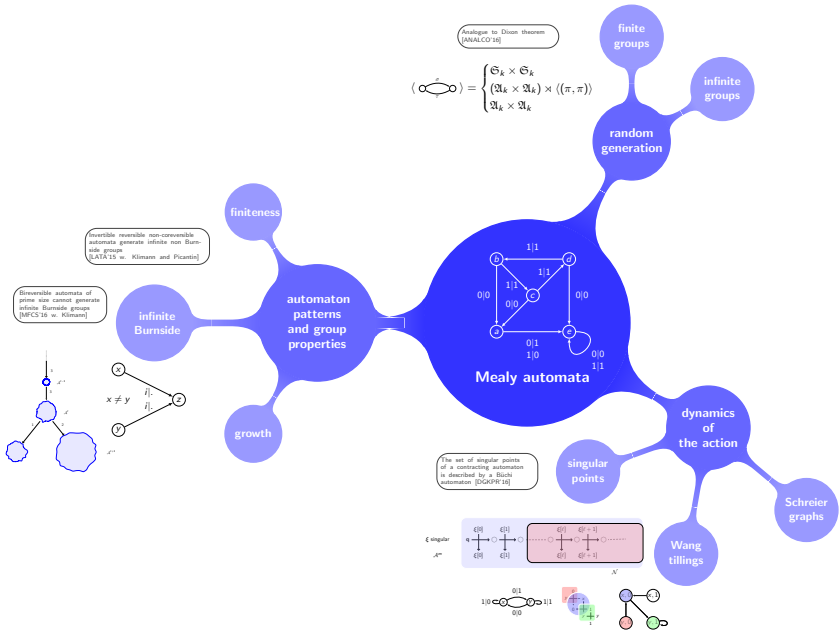
Mealy machines, automaton (semi)groups, decision problems, and random generation

Thibault Godin

Séminaire CALIN Paris 13, October 3, 2017



ANR JCJC 12 JS02 012 01

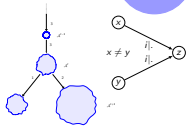


Analogous to Dixon theorem [ANALCO'16]

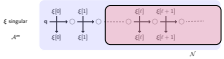
$$(\langle \varphi \rangle) = \begin{cases} \mathfrak{S}_k \times \mathfrak{S}_k \\ (\mathfrak{A}_k \times \mathfrak{A}_k) \times ((\pi, \pi)) \\ \mathfrak{A}_k \times \mathfrak{A}_k \end{cases}$$

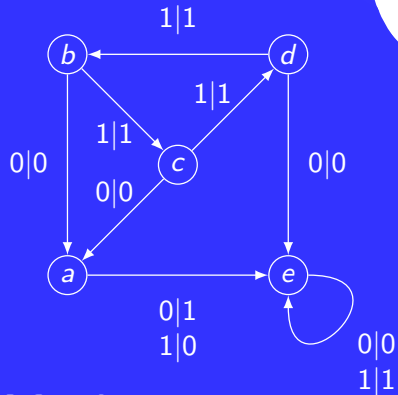
Invertible reversible non-coarsenable automata generate infinite non-Burnside groups [LATA'15 w. Klimann and Picantin]

Bireversible automata of prime size cannot generate infinite Burnside groups [MFCS'16 w. Klimann]



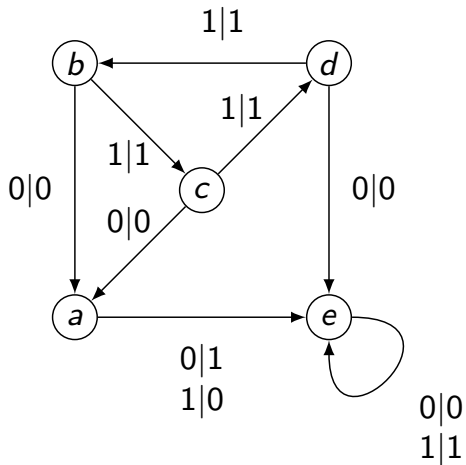
The set of singular points of a contracting automaton is described by a Büchi automaton [DGKPR'16]



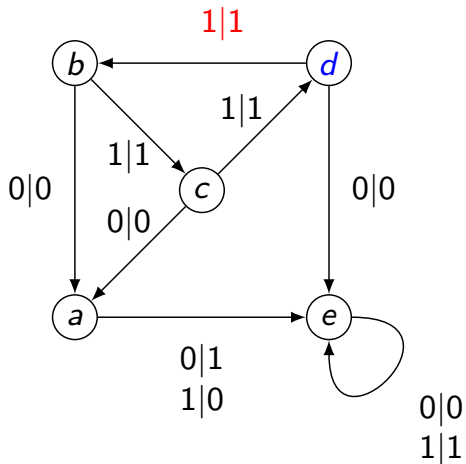


Mealy automata

$$\mathcal{A} = (Q, \Sigma, \delta, \rho)$$



Mealy automaton \mathcal{G}

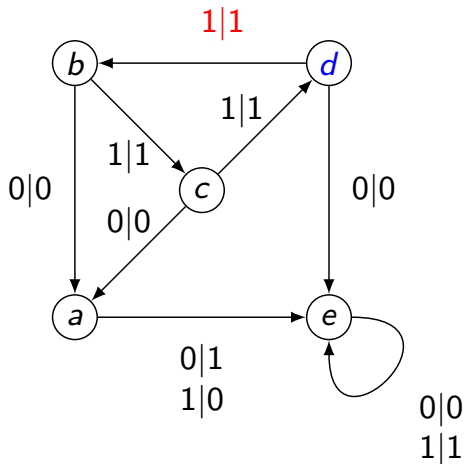


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$$\rho_q : \Sigma \rightarrow \Sigma, q \in Q$$



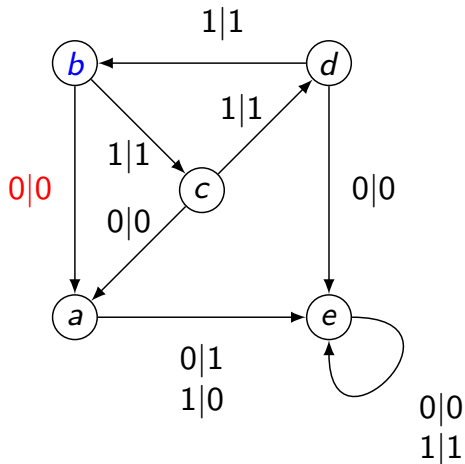


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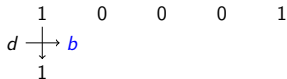
1 0 0 0 1
d

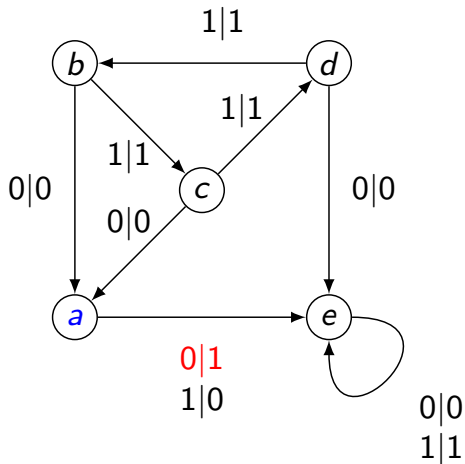


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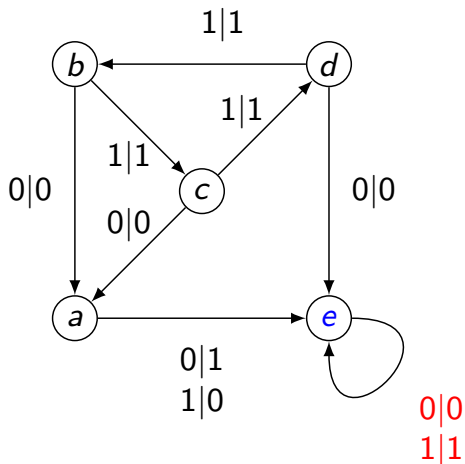


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$$d \begin{array}{c} \xrightarrow{1} \\ \downarrow \\ \xrightarrow{1} \end{array} b \begin{array}{c} \xrightarrow{0} \\ \downarrow \\ \xrightarrow{0} \end{array} a \quad \begin{array}{c} 0 \\ 0 \\ 0 \\ 1 \end{array}$$

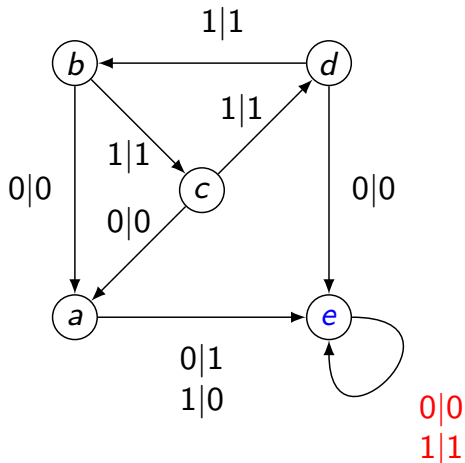


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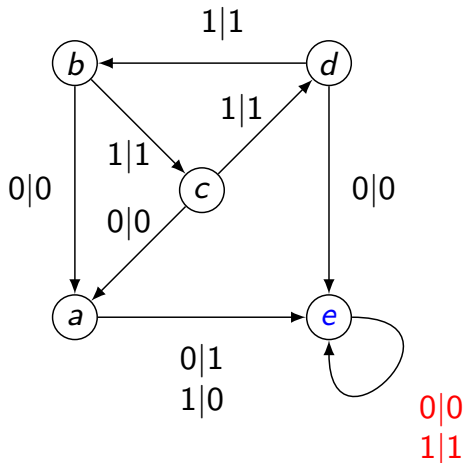


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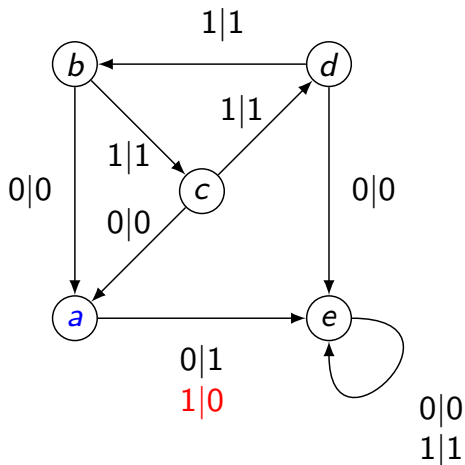


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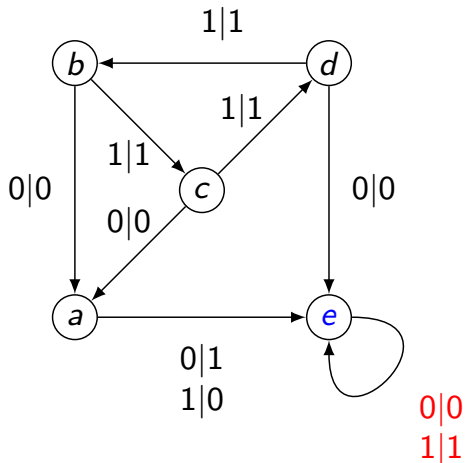
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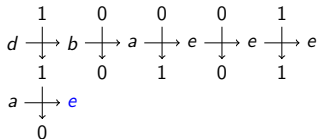
a

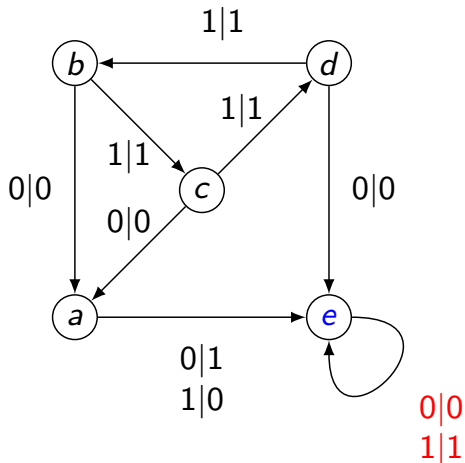


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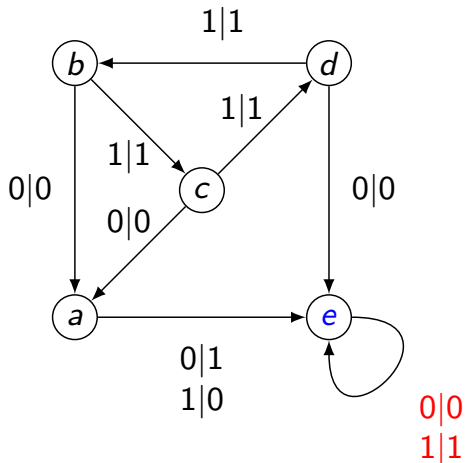


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d	$\xrightarrow{1}$	b	$\xrightarrow{0}$	a	$\xrightarrow{0}$	e	$\xrightarrow{0}$	e	$\xrightarrow{1}$	e
	\downarrow		\downarrow		\downarrow		\downarrow		\downarrow	
	1		0		1		0		1	
a	$\xrightarrow{0}$	e	$\xrightarrow{0}$	e	$\xrightarrow{1}$	e	$\xrightarrow{0}$	e	$\xrightarrow{1}$	e
	\downarrow		\downarrow		\downarrow		\downarrow		\downarrow	
	0		0		1		0		1	



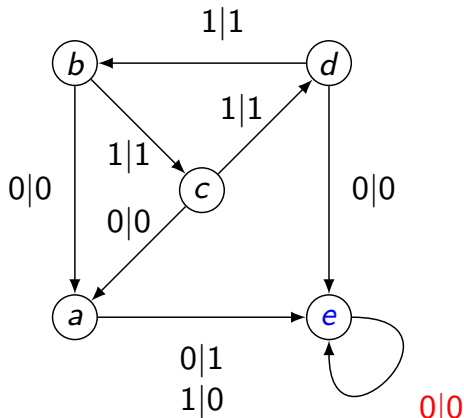
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	\downarrow		\downarrow		\downarrow		\downarrow		\downarrow	
	1		0		1		0		1	
a	$\xrightarrow{1}$	e	$\xrightarrow{0}$	e	$\xrightarrow{1}$	e	$\xrightarrow{0}$	e	$\xrightarrow{1}$	e
	\downarrow		\downarrow		\downarrow		\downarrow		\downarrow	
	0		0		1		0		1	

$$\rho_{da}(10001) = \rho_a(\rho_d(10001))$$



Mealy automaton \mathcal{G}

0|0
1|1

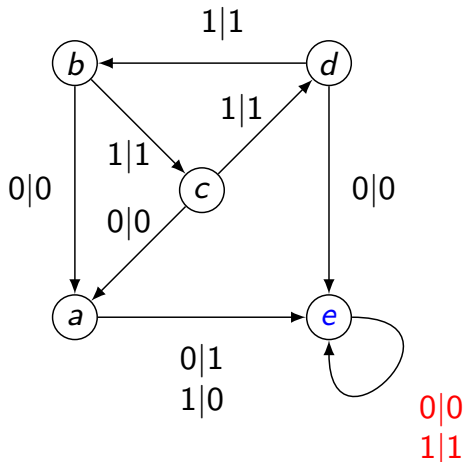
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$$\begin{array}{cccccc} 1 & 0 & 0 & 0 & 1 \\ d \downarrow & b \downarrow & a \downarrow & e \downarrow & e \downarrow & e \\ 1 & 0 & 1 & 0 & 1 \\ a \downarrow & e \downarrow & e \downarrow & e \downarrow & e \downarrow & e \\ 0 & 0 & 1 & 0 & 1 \end{array}$$

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da is a state of \mathcal{G}^2

Growth

Cayley Graph: $\Gamma(G, S)$

$$g \xrightarrow{s} h \quad g \cdot s = h, \quad g, h \in G, \quad s \in S$$

Growth

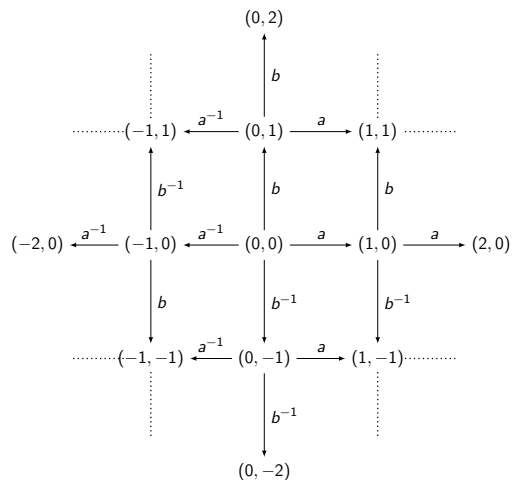
Cayley Graph: $\Gamma(G, S)$ ex : $\mathbb{Z}^2, \{a = (0, 1), b = (1, 0)\}$

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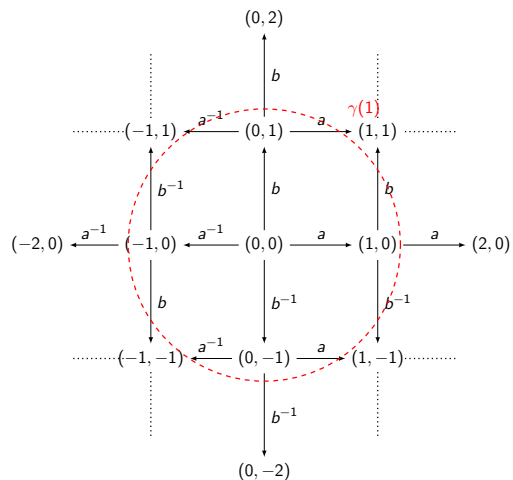


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$$\gamma(0) = 1$$

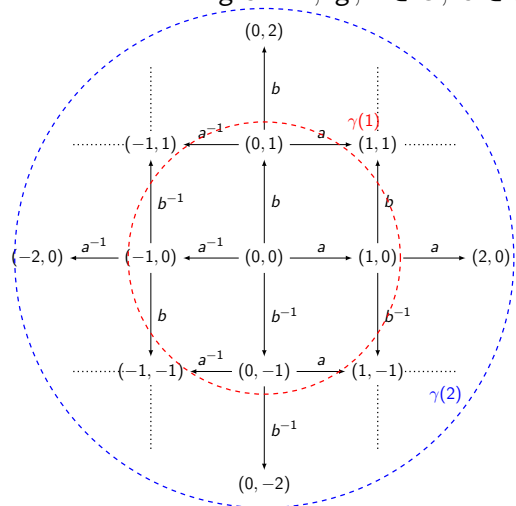
$$\gamma(1) = 5$$

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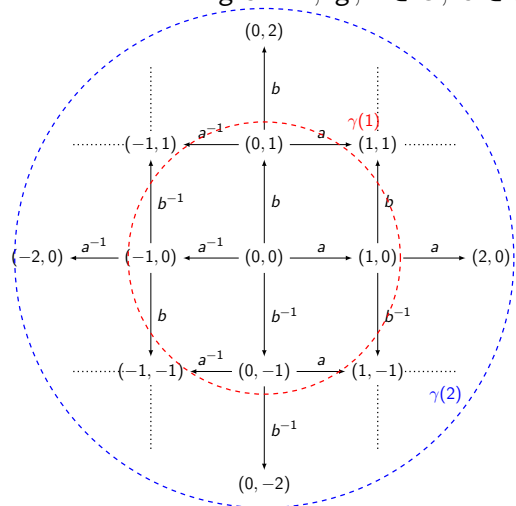
$$\gamma(2) = 13$$

Growth

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\vdots

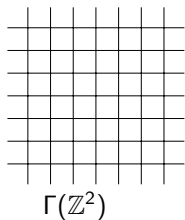
$$\gamma(n) = 2n^2 + 2n + 1$$

Milnor's Problem

- ▶ growth bounded: finite groups

Milnor's Problem

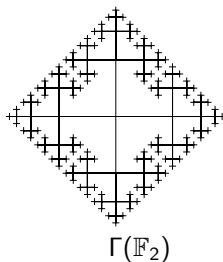
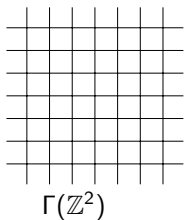
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- ▶ polynomial growth: \mathbb{Z}^d , Abelian groups



Milnor's Problem

- ▶ growth bounded: finite groups
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- ▶ exponential growth: \mathbb{F}_d



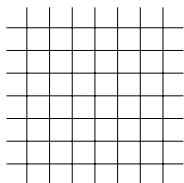
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Milnor's Problem (1968):

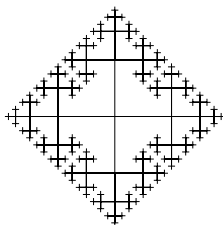
Do groups with growth between polynomial and exponential exist?

- ▶ exponential growth: \mathbb{F}_d



$\Gamma(\mathbb{Z}^2)$

$\Gamma(?)$



$\Gamma(\mathbb{F}_2)$

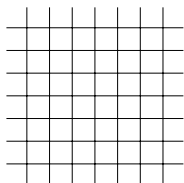
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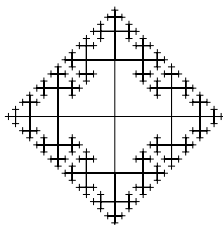
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1983 (Grigorchuk) Yes, automaton-generated example

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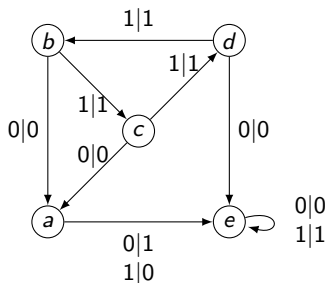
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Milnor's Problem (1968):

Do groups with growth between polynomial and exponential exist?
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- ▶ exponential growth: \mathbb{F}_d



$$e^{n^{0.51}} \leq \gamma(n) \leq e^{n^{0.77}}$$

Order

Order of an element

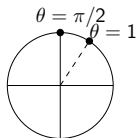
$x \in G$ has finite order if $\exists n \geq 1, x^n = e$

Order

Order of an element

$x \in G$ has finite order if $\exists n \geq 1, x^n = e$

- ▶ $\mathbb{Z}/n\mathbb{Z}$: every element has finite order
- ▶ \mathbb{Z} : 0 is the only element of finite order
- ▶ On the circle $\mathbb{R}/2\pi\mathbb{Z}$: $\pi/2$ has finite order, but 1 has infinite order



The Burnside problem



Burnside (1902):

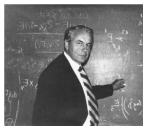
Can a finitely generated group have all elements of finite order and be infinite?

The Burnside problem



Burnside (1902):

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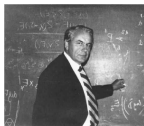
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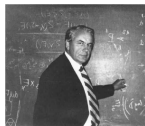
Aleshin+Grigorchuk:
an example
generated by a
Mealy automaton
(1972+1980)

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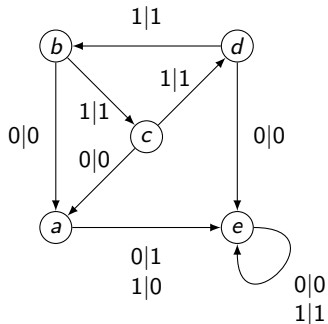
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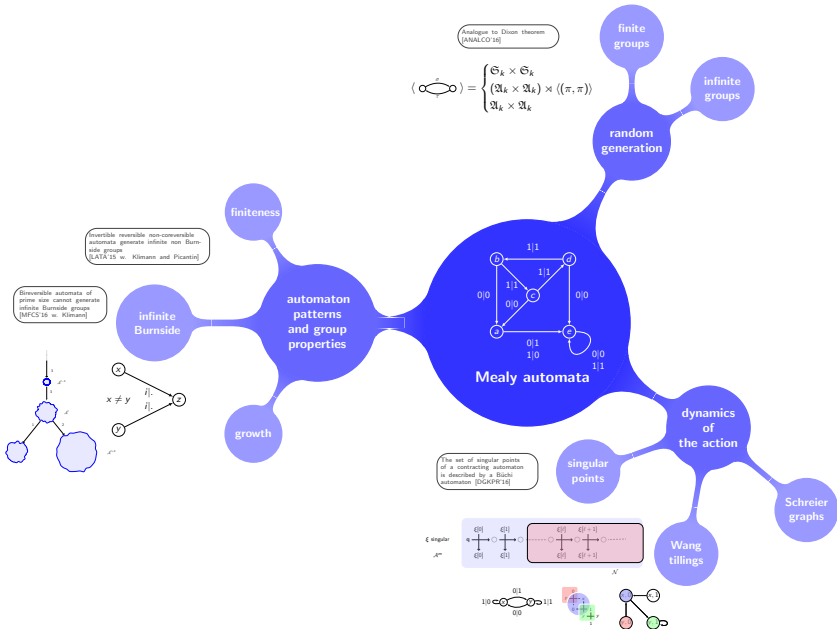


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finiteness

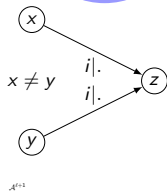
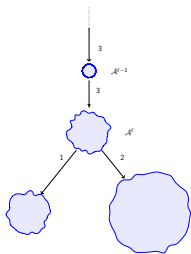
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[LATA'15 w. Klimann and Picantin]

Bireversible automata of prime size cannot generate infinite Burnside groups
[MFCS'16 w. Klimann]

infinite
Burnside

automaton
patterns
and group
properties

growth



The set of
of a contra
is describe
automaton

Mealy automata

1|0 0|0
 1|1

dynamics
of
the action

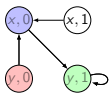
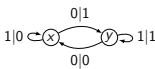
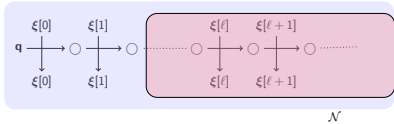
singular
points

Schreier
graphs

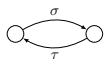
The set of singular points
of a contracting automaton
is described by a Büchi
automaton [DGKPR'16]

Wang
tillings

ξ singular
 \mathcal{A}^m



Analogue to Dixon theorem
[ANALCO'16]

$$\langle \text{graph} \rangle = \begin{cases} \mathfrak{S}_k \times \mathfrak{S}_k \\ (\mathfrak{A}_k \times \mathfrak{A}_k) \rtimes \langle (\pi, \pi) \rangle \\ \mathfrak{A}_k \times \mathfrak{A}_k \end{cases}$$


finite
groups

infinite
groups

random
generation

Finite random groups

Theorem

Any finite group G is a subgroup of $\mathfrak{S}_{|G|}$.

Finite random groups

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First idea

Pick up some permutations $\sigma_1, \dots, \sigma_n$ of $\{1, \dots, k\}$, look at $\langle \sigma_1, \dots, \sigma_n \rangle$.

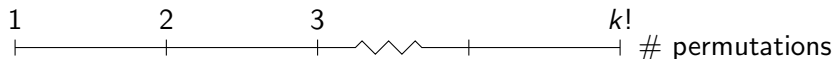
Finite random groups

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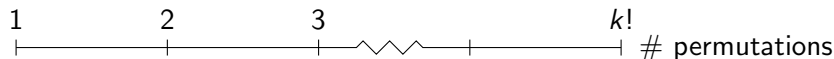
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cyclic
groups

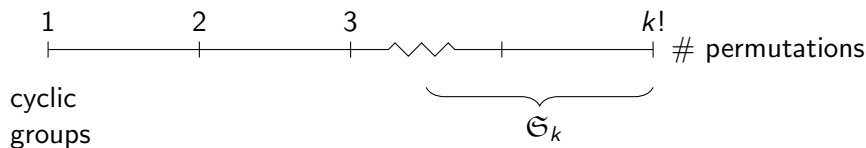
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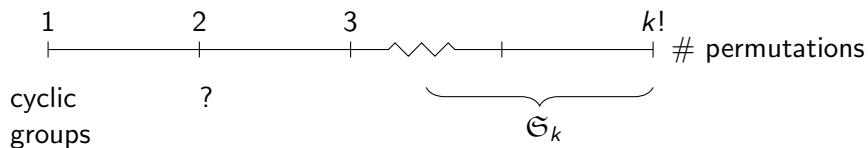
Finite random groups

Theorem

Any finite group G is a subgroup of $\mathfrak{S}_{|G|}$.

First idea

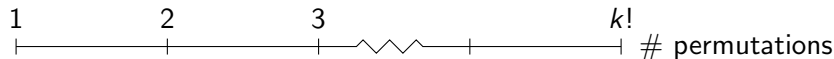
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Finite random groups

Theorem (Dixon, 1969)

$$\text{w.g.p. } \langle \sigma, \tau \rangle = \begin{cases} \mathfrak{S}_k \\ \mathfrak{A}_k \end{cases}$$

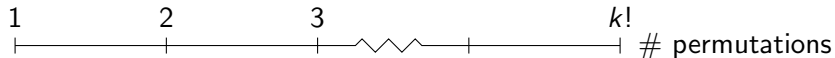
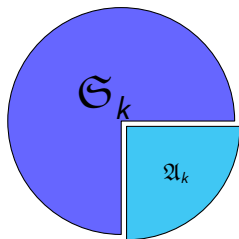


cyclic
groups

Finite random groups

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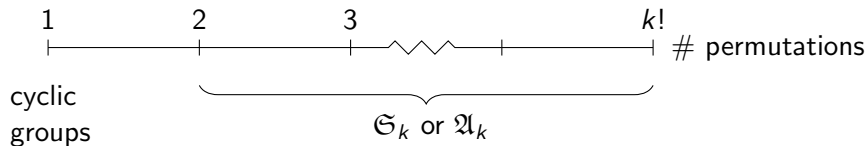
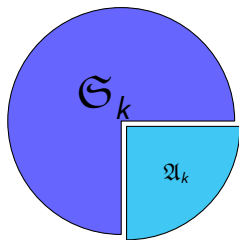


cyclic
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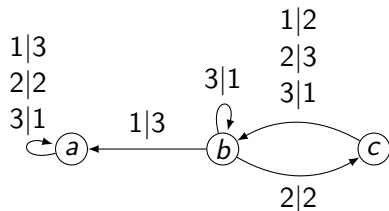
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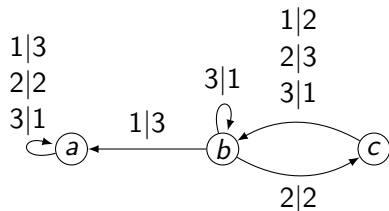


Random automata



Is the generated group finite?

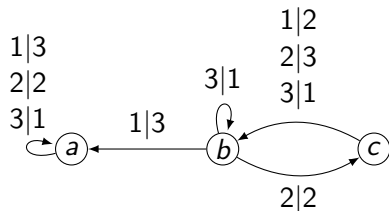
Random automata



Is the generated group finite?

Yes, size $2^{64} \cdot 3^4$.

Random automata



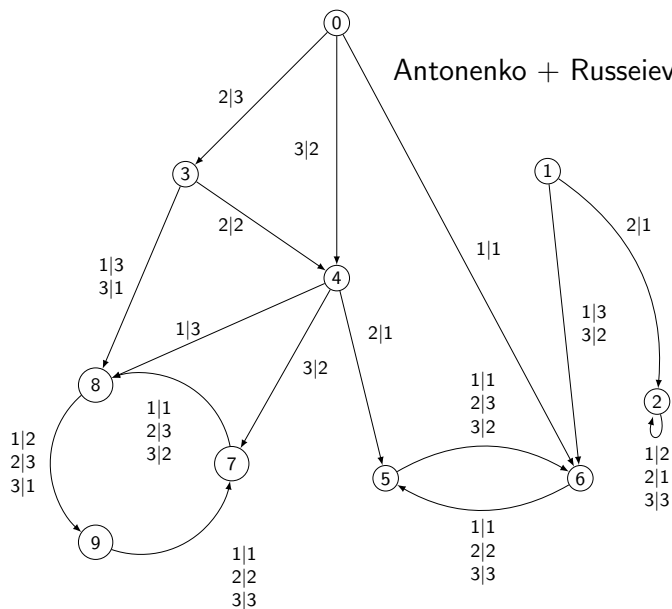
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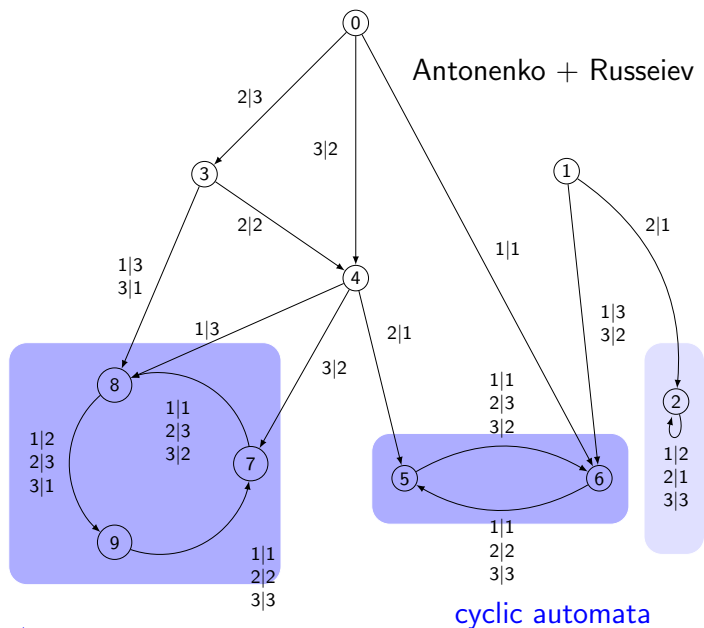
Difficult problem + unefficient rejection sampling.

Random automata

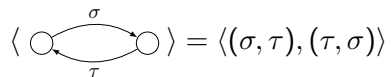
Antonenko + Russeiev



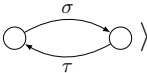
Random automata



Random 2-state cyclic automata

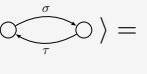


Random 2-state cyclic automata

$$\langle \text{Diagram} \rangle = \langle (\sigma, \tau), (\tau, \sigma) \rangle$$


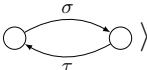
The diagram shows two nodes connected by two directed edges. The top edge is labeled σ and the bottom edge is labeled τ . The edges form a cycle between the two nodes.

Contribution

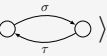
$$\langle \text{Diagram} \rangle = \begin{cases} \mathfrak{S}_k \times \mathfrak{S}_k \\ (\mathfrak{A}_k \times \mathfrak{A}_k) \rtimes \langle (\pi, \pi) \rangle \\ \mathfrak{A}_k \times \mathfrak{A}_k \end{cases}$$


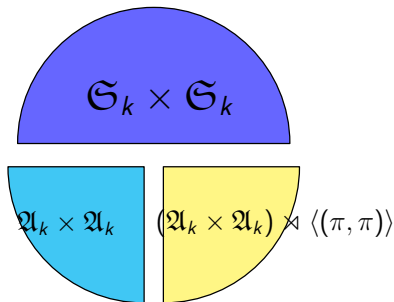
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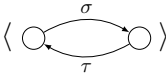
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Contribution

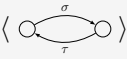
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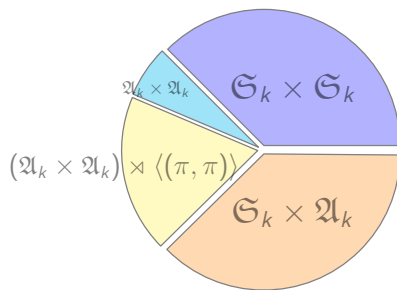
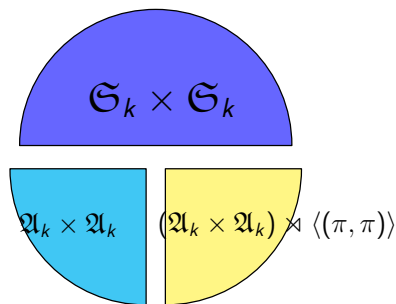


Random 2-state cyclic automata

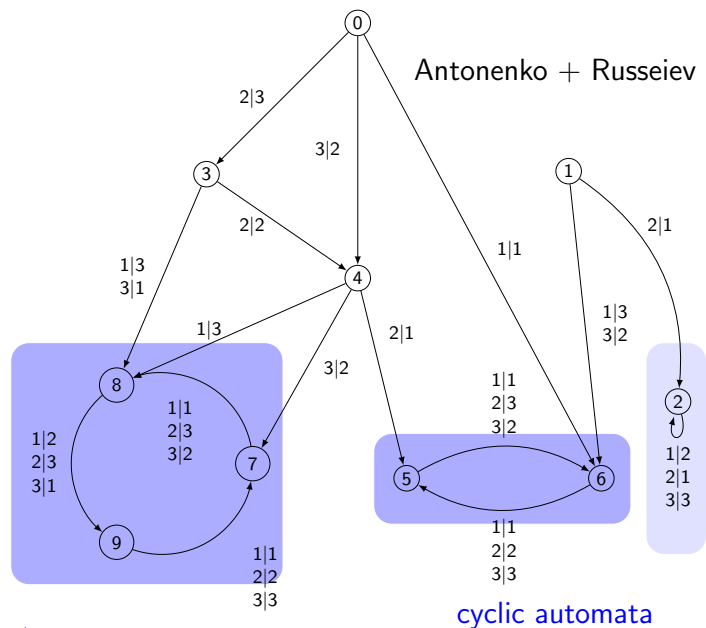
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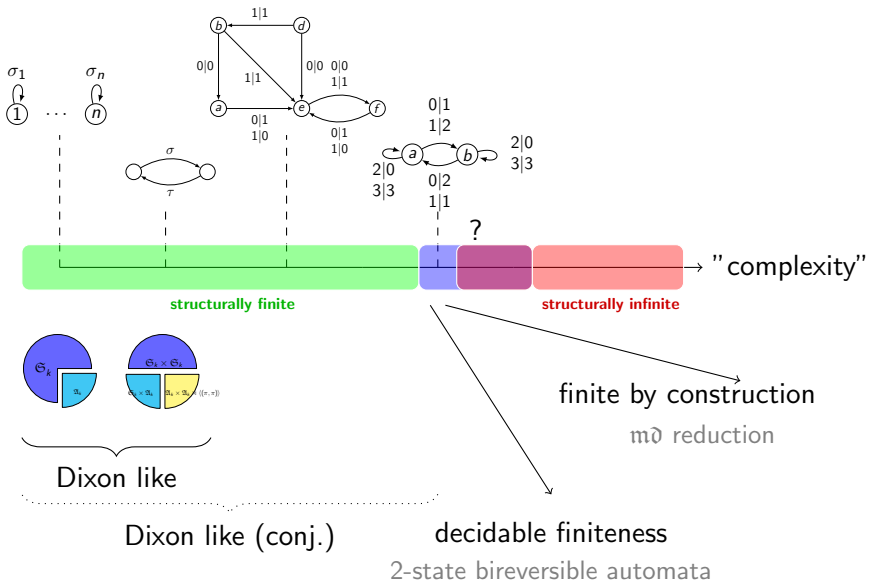
Contribution

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Random automata





Asymptotics

Theorem (Dixon 1969,2005)

$$\mathbb{P}(\langle \sigma, \tau \rangle = \mathfrak{S} \text{ or } \mathfrak{A}) \sim 1 - 1/k - 1/k^2 - 4/k^3 - 23/k^4 - 171/k^5 - \dots$$

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Lemma

$$\mathbb{P}(|\sigma| = |\tau|) \rightarrow 0$$

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proof: [Erdős, Turán 1967]

$$\frac{\log |\sigma| - 1/2 \log^2 k}{1/\sqrt{3} \log^{3/2} k} \rightarrow \mathcal{N}(0, 1)$$

Asymptotics

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rmq:

$$\mathbb{P}(|\sigma| = p) \sim \frac{1}{\sqrt{p}} k^{k(1-1/p)} \exp(-k(1-1/p) + k^{1/p})$$

Mealy automata

1|0 0|0
 1|1

dynamics
of
the action

singular
points

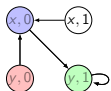
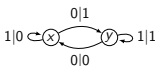
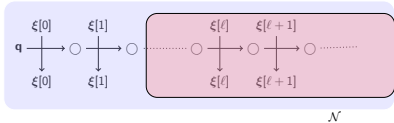
Schreier
graphs

Wang
tillings

The set of singular points
of a contracting automaton
is described by a Büchi
automaton [DGKPR'16]

ξ singular

\mathcal{A}^m

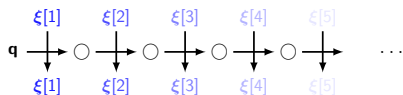


Stabilisers and singular points

The stabilisers of an infinite point ξ is $\text{Stab}_{\langle \mathcal{A} \rangle}(\xi) = \{g \in \langle \mathcal{A} \rangle \mid g(\xi) = \xi\}$

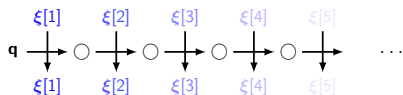
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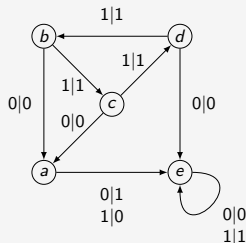


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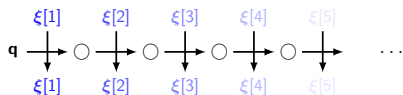
Example



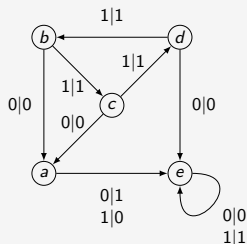
$\rho_e, \rho_b, \rho_c, \rho_d \in \text{Stab}_{\langle \mathcal{G} \rangle}(1^\omega)$
studied by Y. Vorobets

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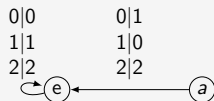


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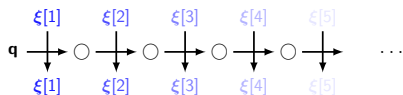
Interesting elements



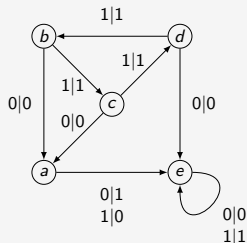
2^ω is stabilised by ρ_a

Stabilisers and singular points

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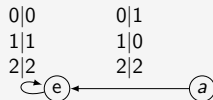


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Interesting elements



2^ω is stabilised by ρ_a

Singular points

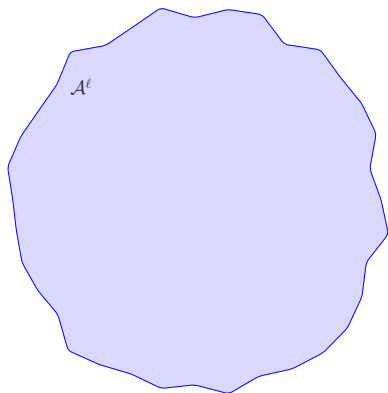
ξ singular if $\exists g$ stabilizing ξ and avoiding ending in e

Contracting automata

\mathcal{A} contracting $\iff \exists$ finite \mathcal{N} , $\forall \mathbf{q}, \forall \xi, \exists n, \delta_{\xi[:n]}(\mathbf{q}) \in \mathcal{N}$

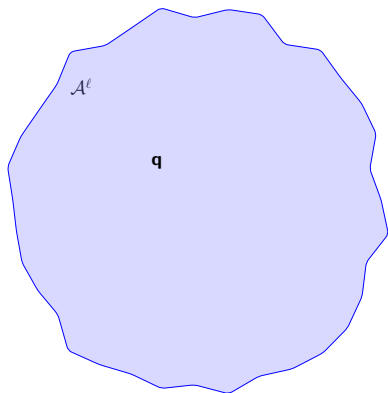
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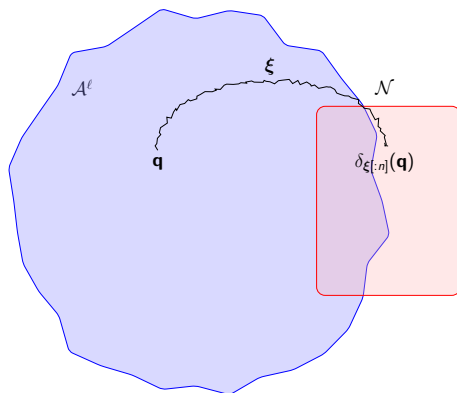
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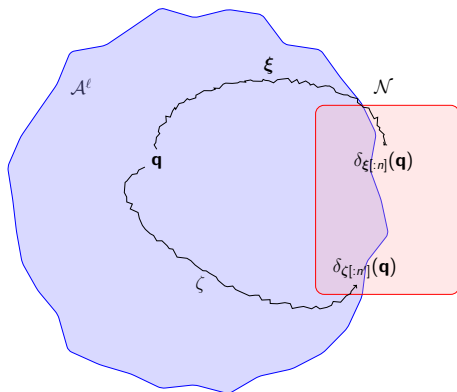
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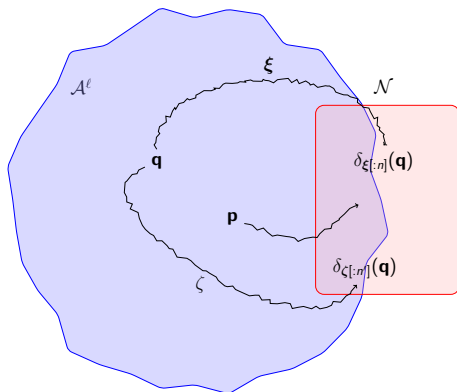
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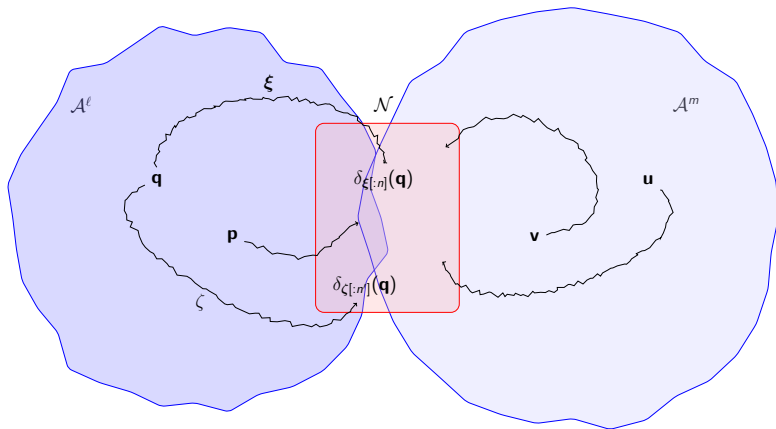
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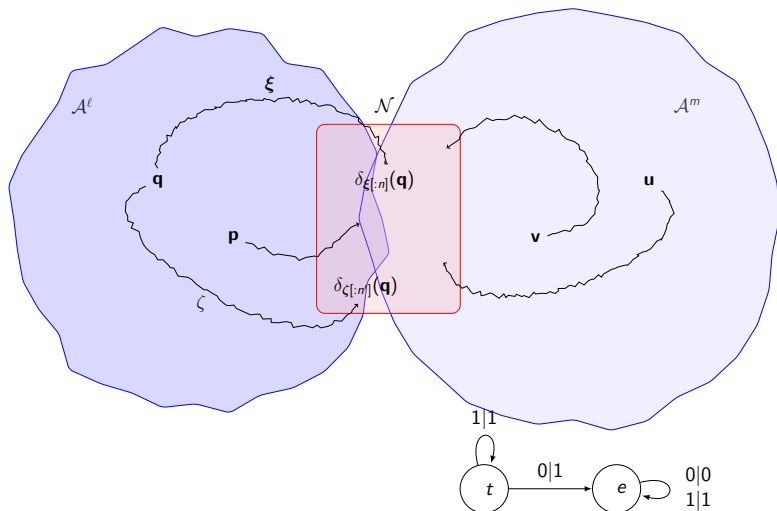
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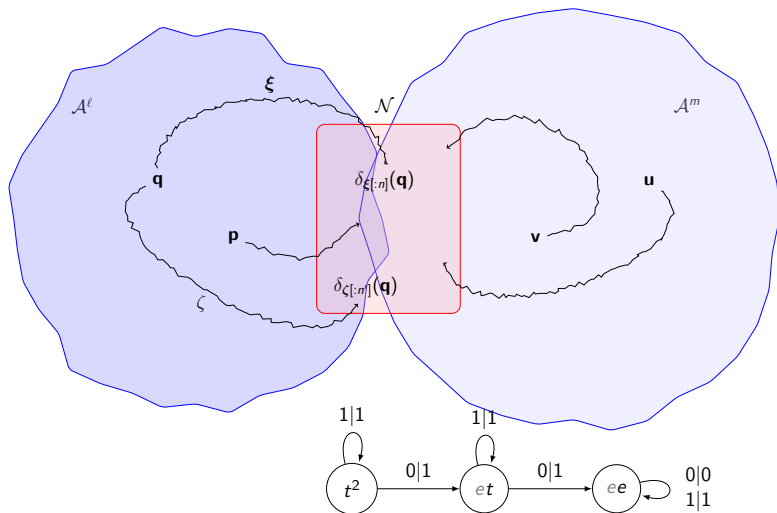
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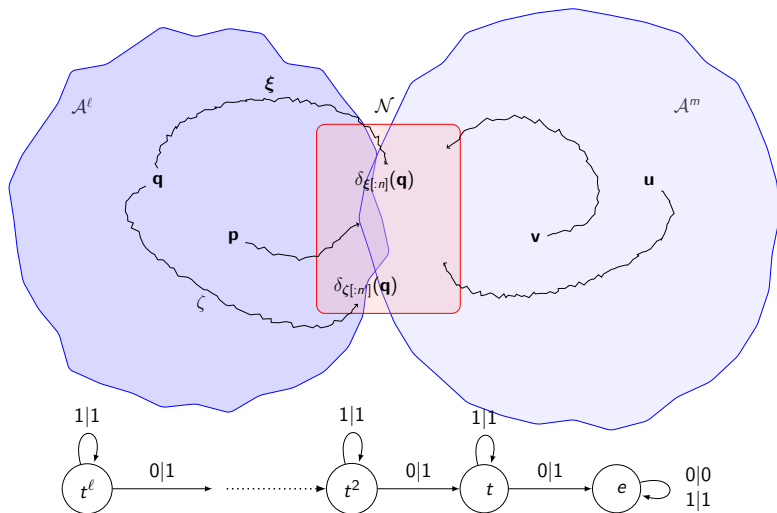
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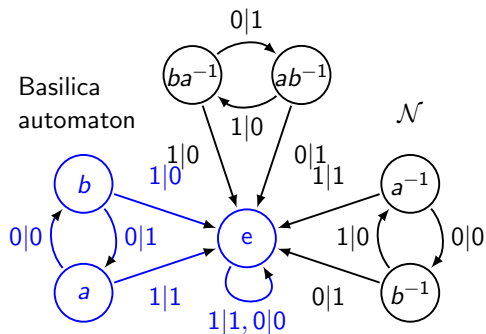


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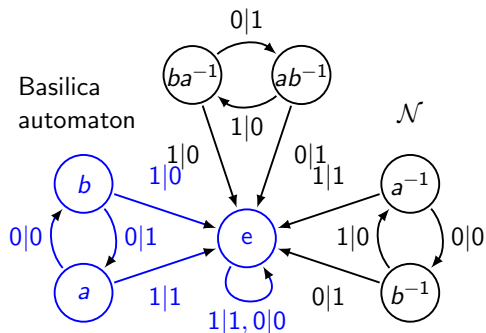


Contracting automata and singular points

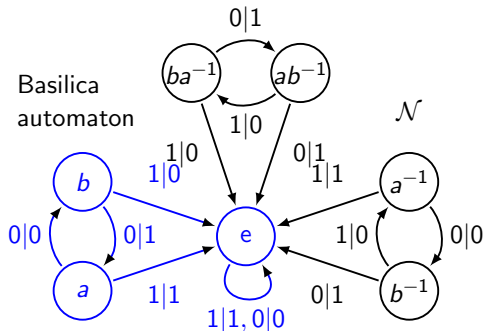
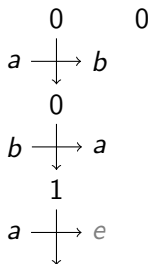


Contracting automata and singular points

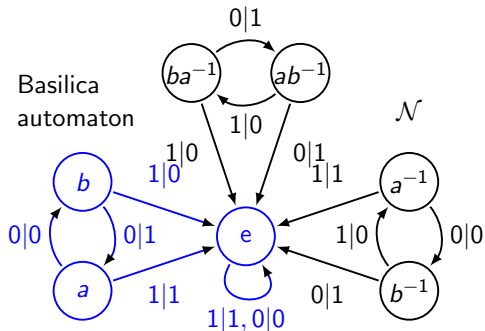
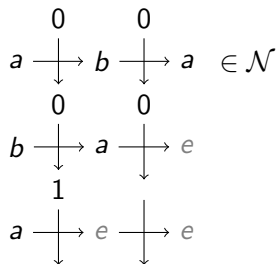
0 0
a
b
a



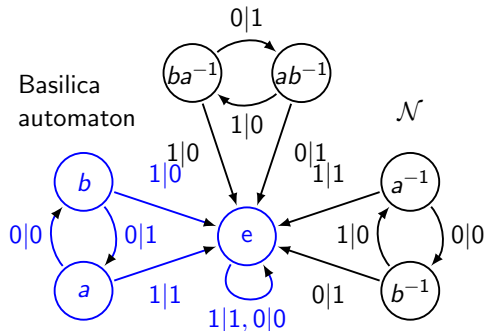
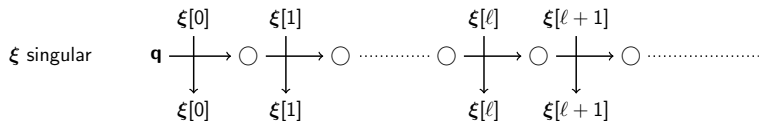
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Contracting automata and singular points



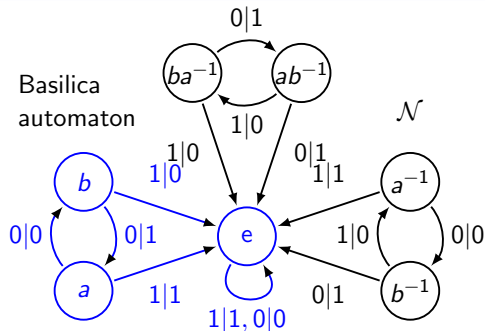
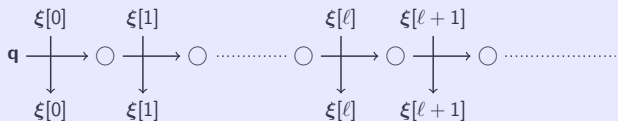
Contracting automata and singular points



Contracting automata and singular points

ξ singular

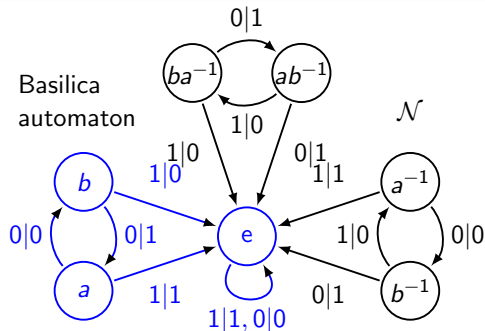
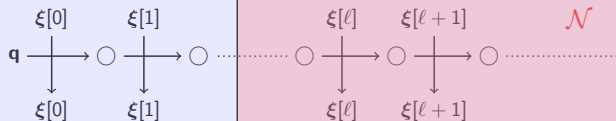
\mathcal{A}^m



Contracting automata and singular points

ξ singular

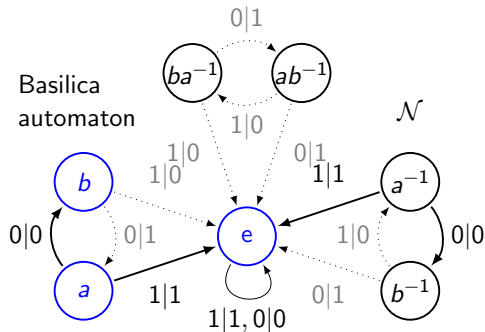
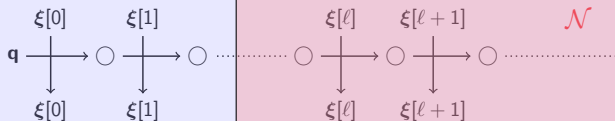
\mathcal{A}^m



Contracting automata and singular points

ξ singular

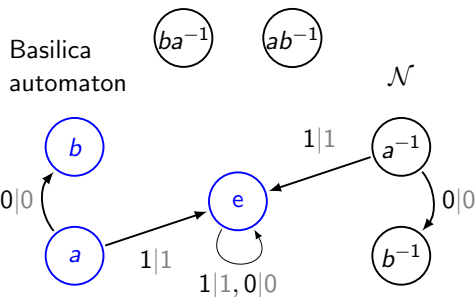
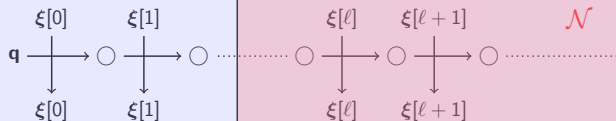
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Contracting automata and singular points

ξ singular

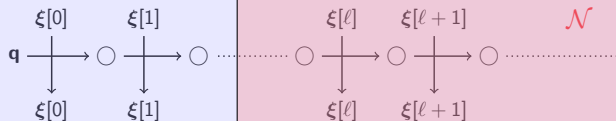
\mathcal{A}^m



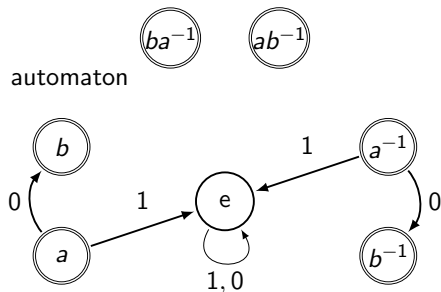
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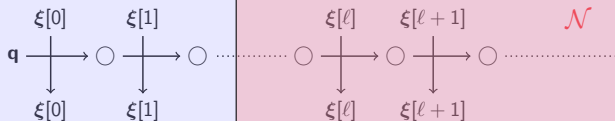
Büchi automaton



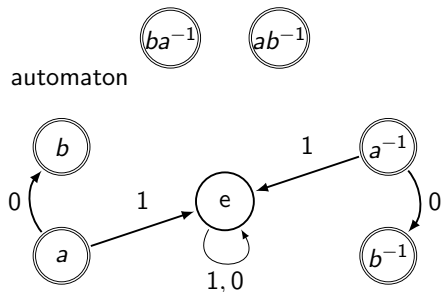
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Büchi automaton



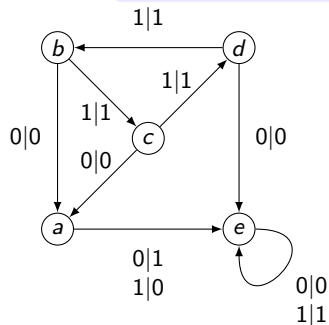
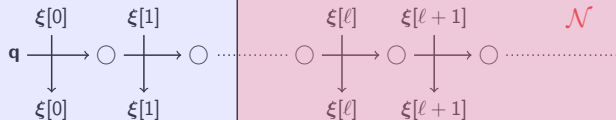
Contribution

$\text{Sing}(\mathcal{B}) = \emptyset.$

Contracting automata and singular points

ξ singular

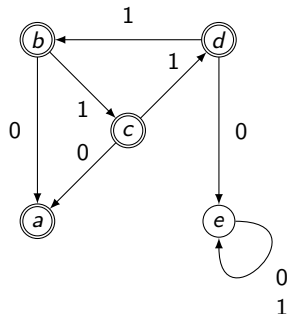
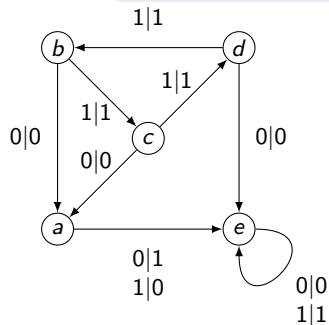
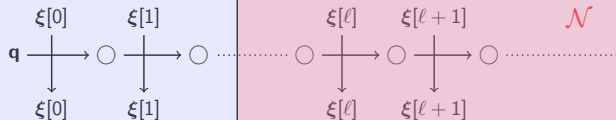
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Contracting automata and singular points

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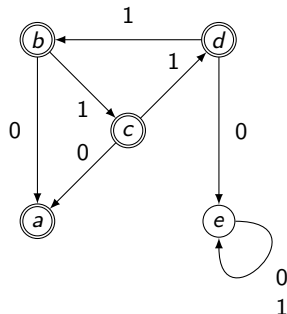
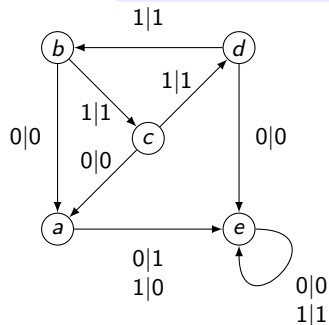
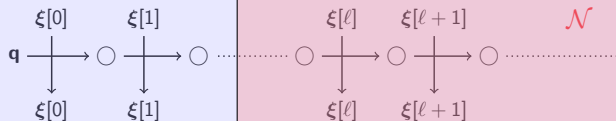
A^m



Contracting automata and singular points

ξ singular

\mathcal{A}^m



Proposition [Vorobets, DGKPR]

$$\text{Sing}(\mathcal{G}) = (0 + 1)^* 1^\omega.$$

finiteness

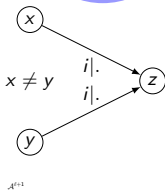
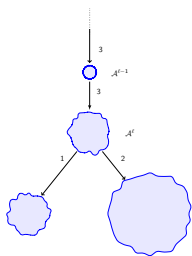
Invertible reversible non-coreversible automata generate infinite non Burnside groups
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infinite Burnside

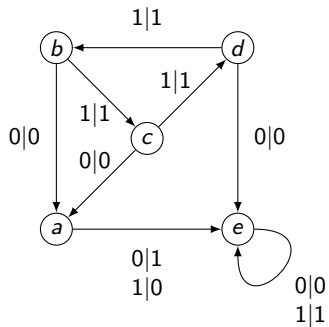
automaton patterns and group properties

growth



The set of
of a contra
is describe
automaton

About the Grigorchuk automaton



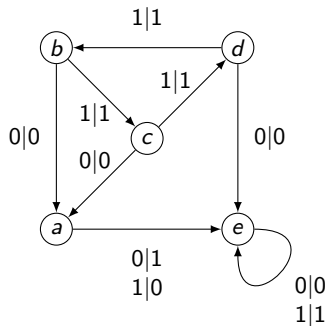
About the Grigorchuk automaton

Actions of the states on the letters:

$$\rho_a : 0 \mapsto 1 \mapsto 0$$

$$\rho_b, \rho_c, \rho_d, \rho_e : 0 \mapsto 0; \quad 1 \mapsto 1$$

→ permutations



About the Grigorchuk automaton

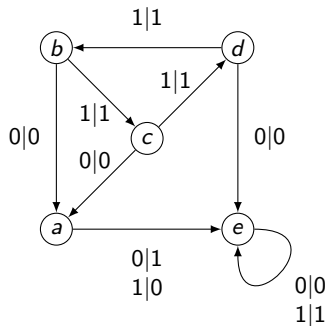
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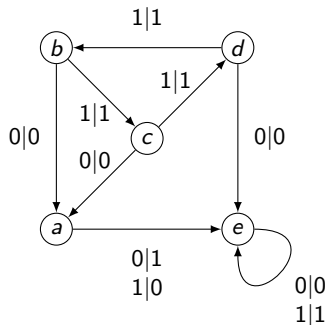
→ **permutations**

→ **invertible**

Action of a letter on the states:

$$\delta_0 : a, d, e \mapsto e; \quad b, c \mapsto a$$

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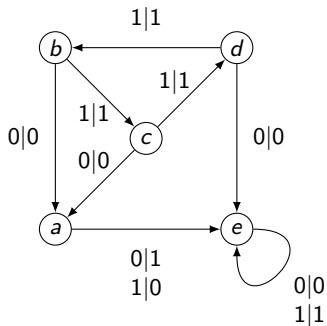
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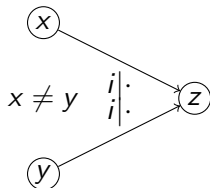
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Each input letter permutes the stateset.



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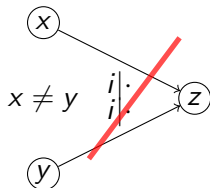
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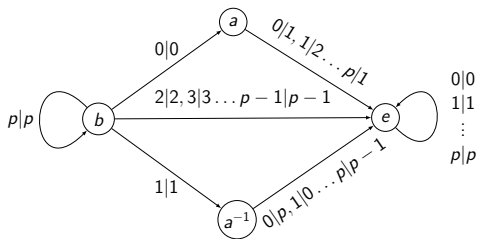
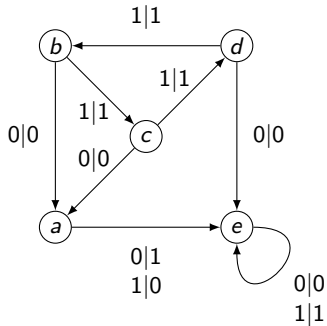
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→ **not a permutation**

→ **non-reversible**

Observation

Every known automaton generating an infinite Burnside group happens to be non-reversible.



Question

Can a reversible automaton generate an infinite Burnside group?

Theorem(s)

An invertible and reversible automata which is:

cannot generate an infinite Burnside group.

Theorem(s)

An invertible and reversible automata which is:

2-state

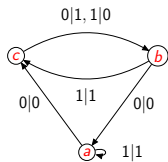
[Klimann]

STACS'13

cannot generate an infinite Burnside group.

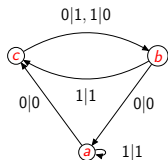
Schreier tree

\mathcal{A}

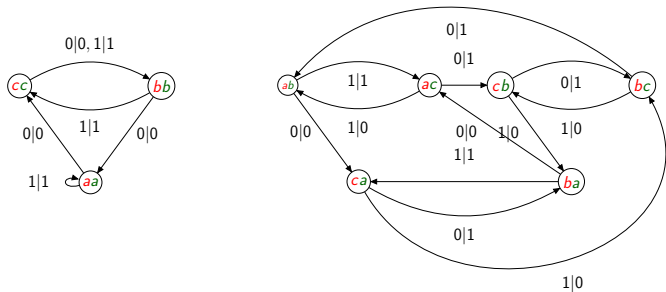


Schreier tree

\mathcal{A}

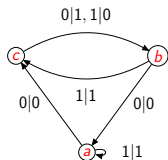


\mathcal{A}^2



Schreier tree

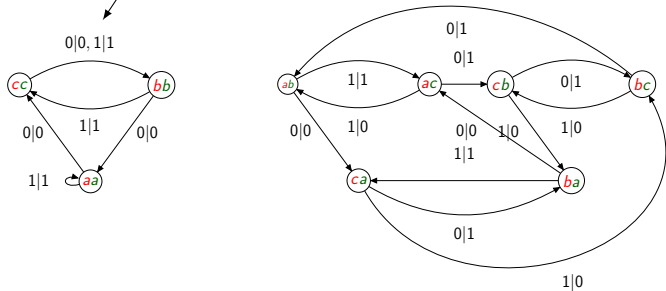
\mathcal{A}



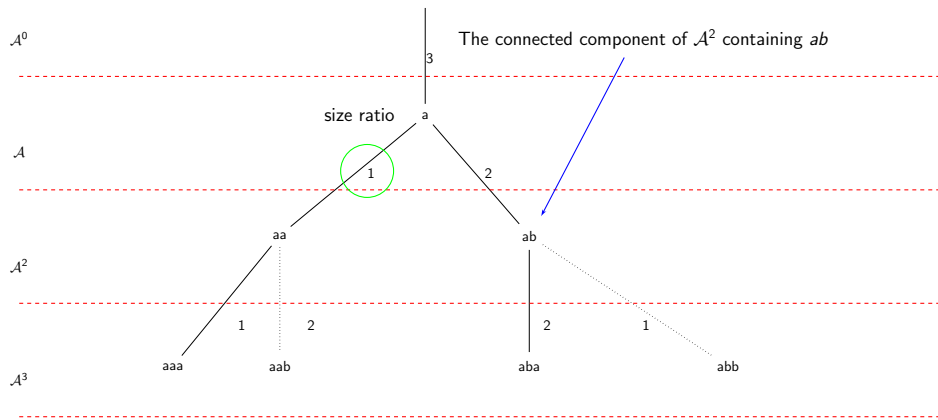
1

2

\mathcal{A}^2



Schreier tree

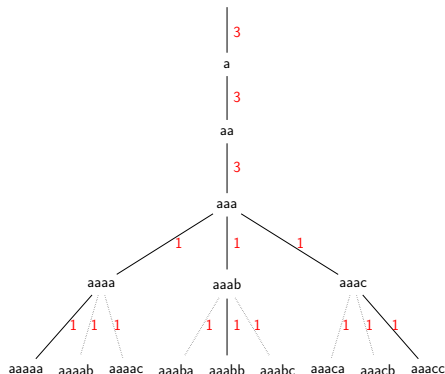


⋮

Boundedness

Proposition

$\langle \mathcal{A} \rangle$ is finite iff the labels of the cc of $(\mathcal{A}^n)_n$ are ultimately 1.



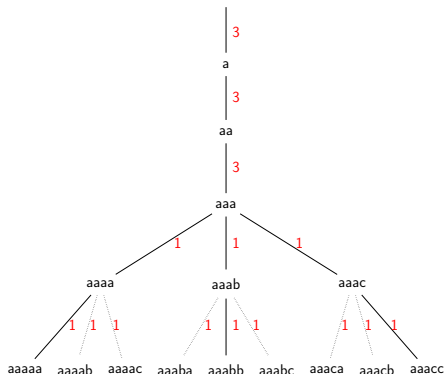
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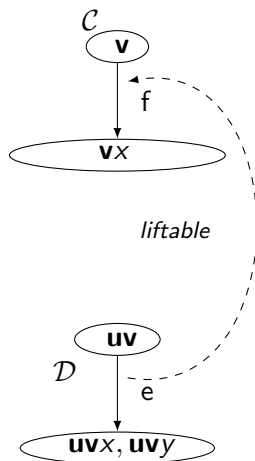
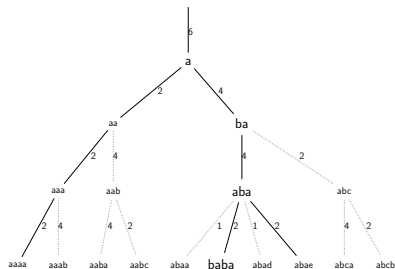
ρ_q has finite order iff the labels of the cc containing q^n are ultimately 1.



Liftable

Proposition

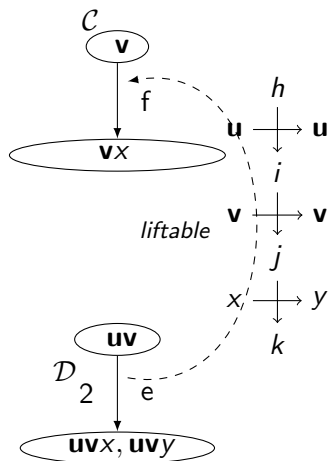
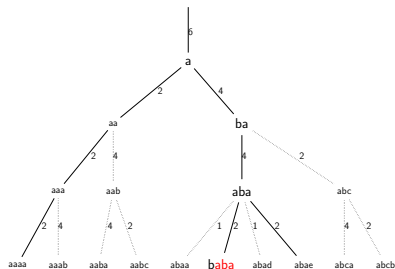
e liftable to $f \Rightarrow \text{label}(e) \leq \text{label}(f)$.



Liftable

Proposition

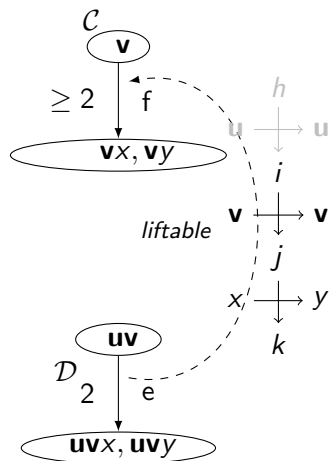
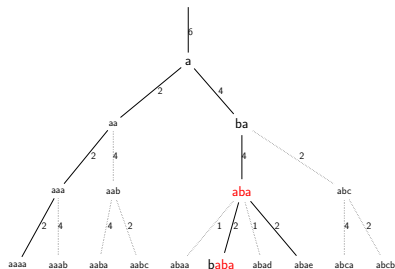
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Liftable

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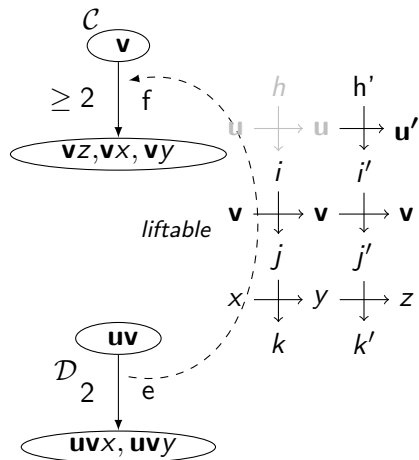
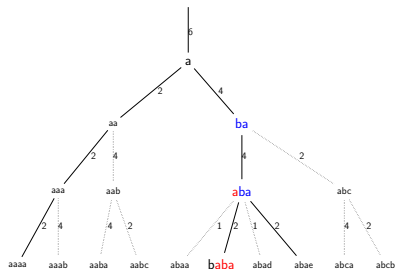
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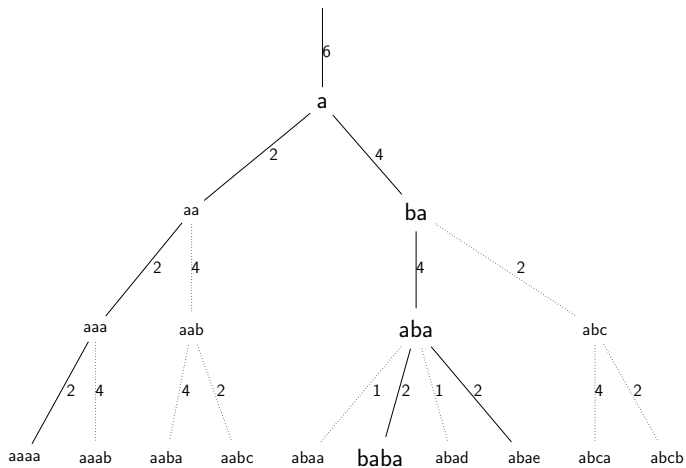
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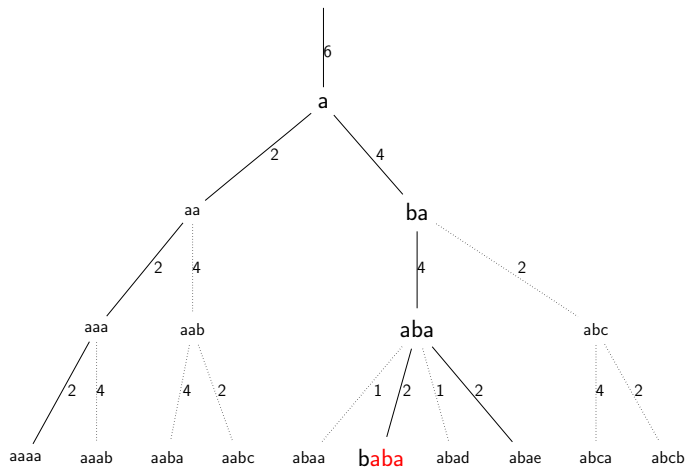
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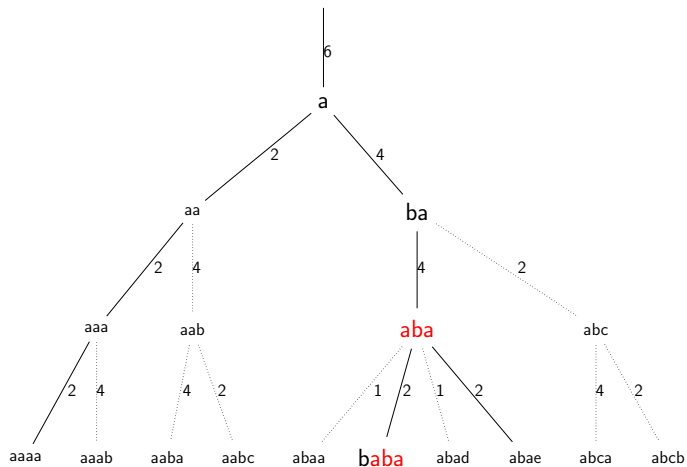
Liftable paths



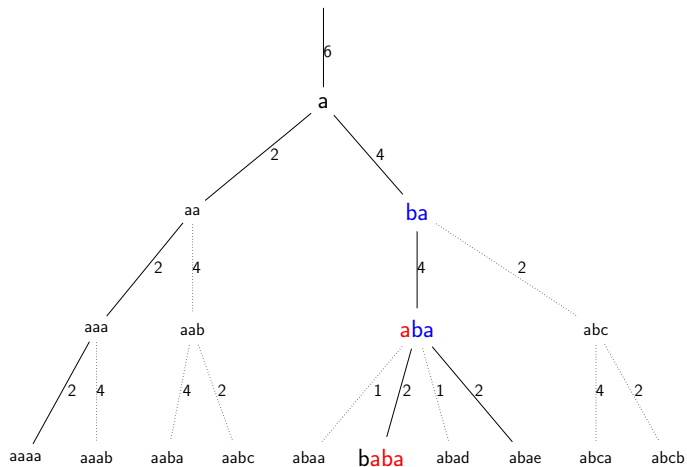
Liftable paths



Liftable paths



Liftable paths



Jungle tree

active \equiv labels not ending with 1^ω .

If active liftable path \checkmark : not Burnside.

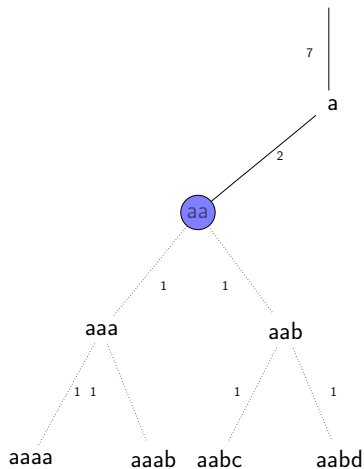
Otherwise :

Jungle tree

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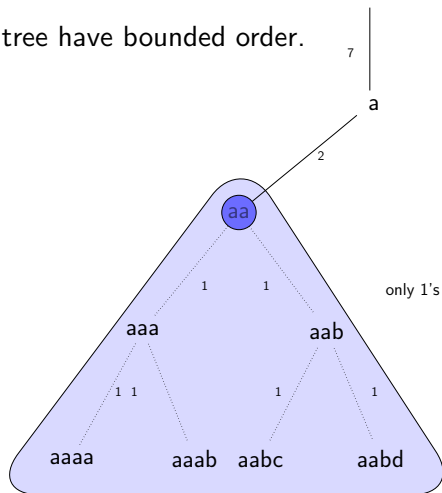
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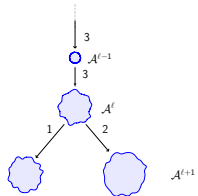
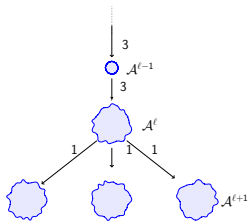
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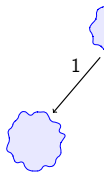
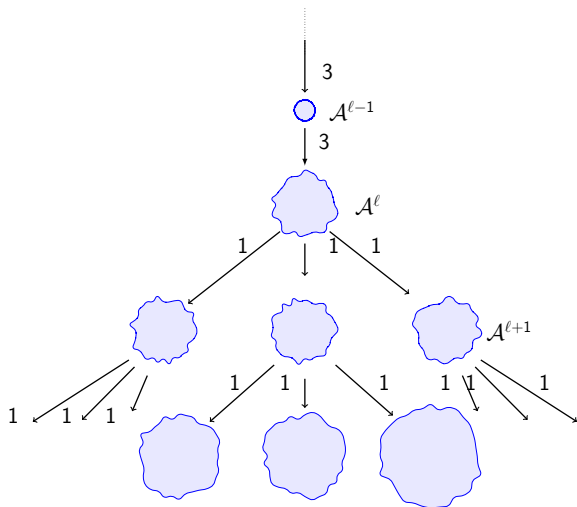
words in the jungle tree have bounded order.



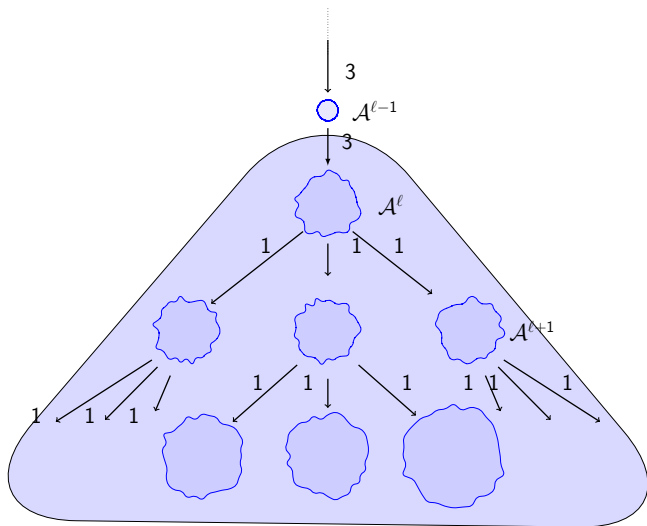
3-state case



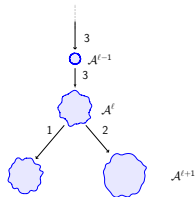
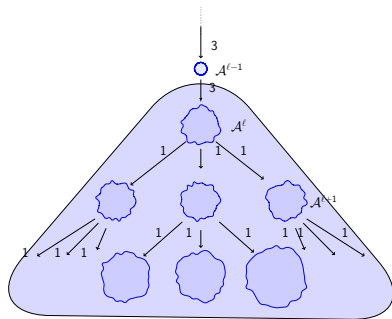
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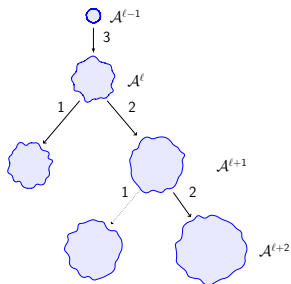
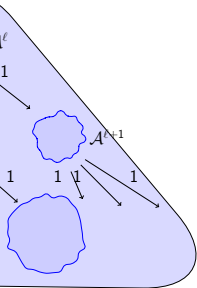
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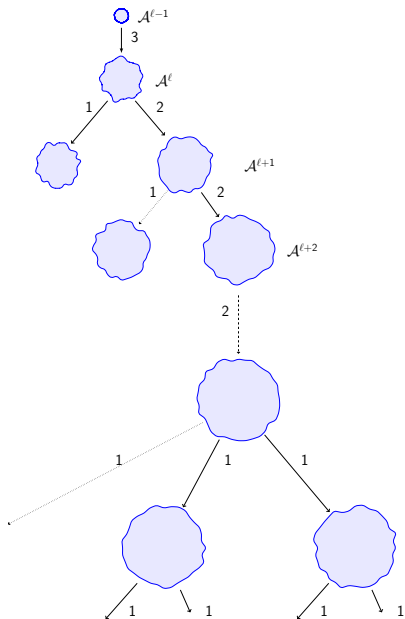
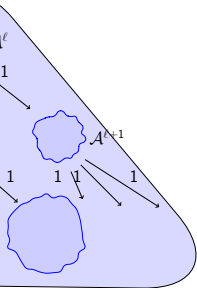
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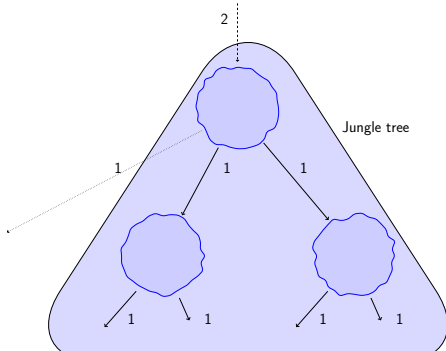
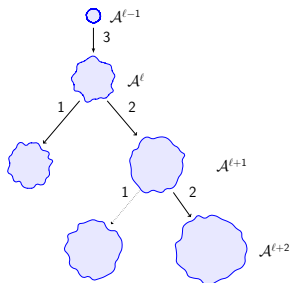
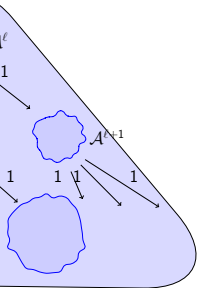
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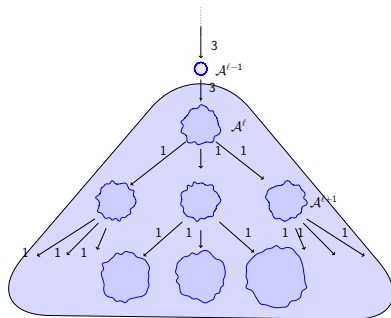
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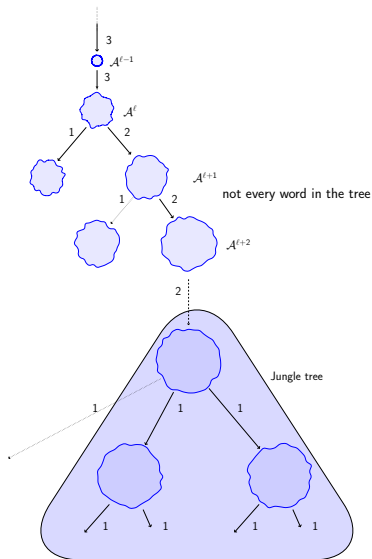
3-state case



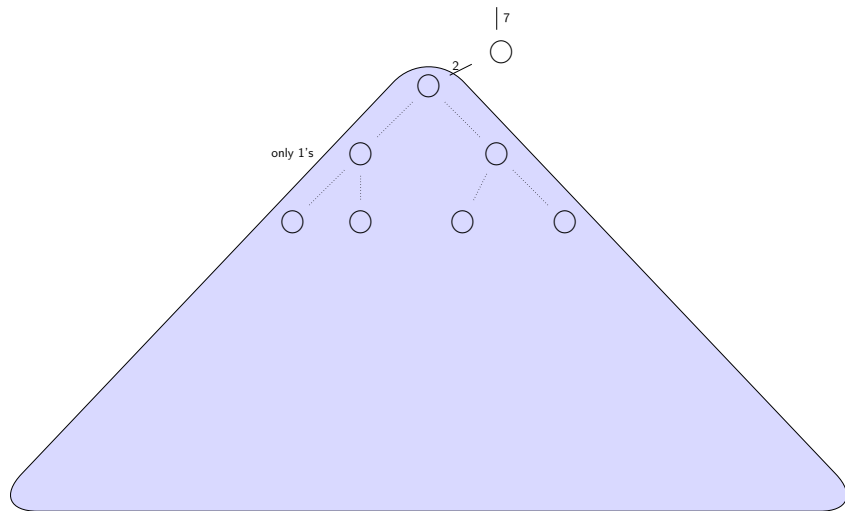
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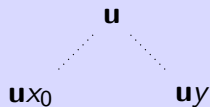
Every word in the tree ✓



Looking for (equivalent) words

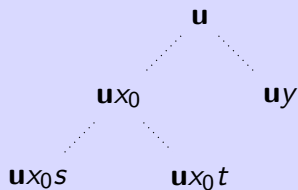


Looking for (equivalent) words



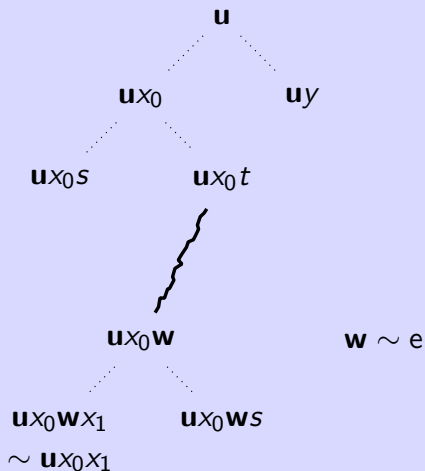
Idea: $\forall x_0 x_1 x_2 \dots$ find a word with same action in the jungle tree

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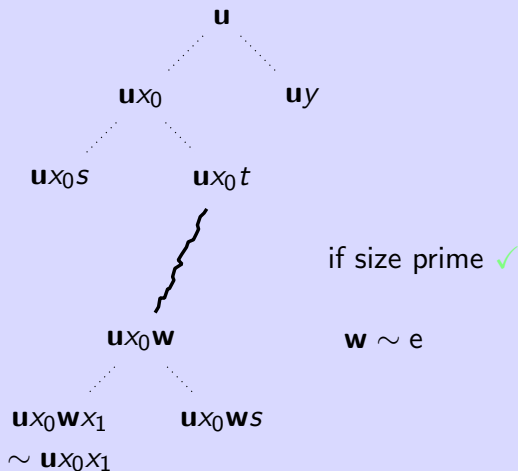
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finiteness

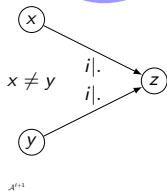
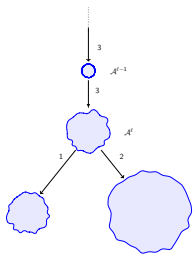
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infinite
Burnside

automaton
patterns
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properties

growth



The set of
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automaton

finiteness

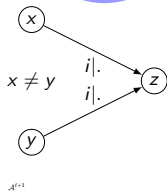
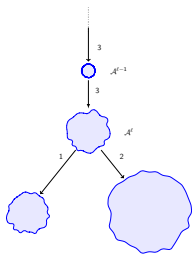
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infinite
Burnside

automaton
patterns
and group
properties

growth



Bireversible automata with an element of infinite order have exponential growth
[Klimann'17+]

The set of
of a con
is describ
automaton

Structure properties

Invertibility:

Each state permutes the alphabet

Reversibility:

Each input letter permutes the stateset

Coreversibility:

Each output letter permutes the stateset

Structure properties

Invertibility:

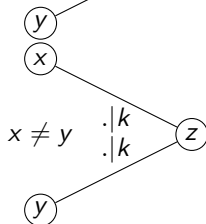
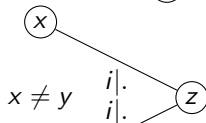
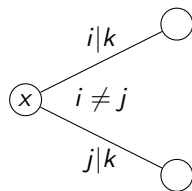
Each state permutes the alphabet

Reversibility:

Each input letter permutes the stateset

Coreversibility:

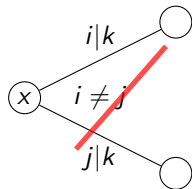
Each output letter permutes the stateset



Structure properties

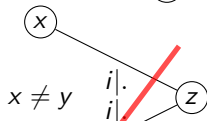
Invertibility:

Each state permutes the alphabet



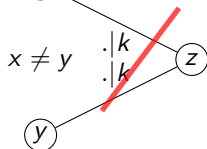
Reversibility:

Each input letter permutes the stateset



Coreversibility:

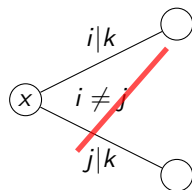
Each output letter permutes the stateset



Structure properties

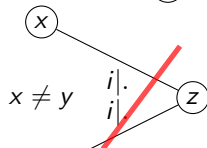
Invertibility:

Each state permutes the alphabet



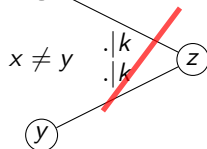
Reversibility:

Each input letter permutes the stateset



Coreversibility:

Each output letter permutes the stateset



Question

How to enumerate or/and (randomly) generate bireversible Mealy automata?

finiteness

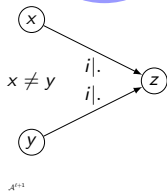
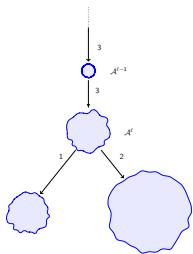
automaton
patterns
and group
properties

growth

infinite
Burnside

Invertible reversible non-coreversible
automata generate infinite non Burnside
groups
[LATA'15 w. Klimann and Picantin]

Bireversible automata of
prime size cannot generate
infinite Burnside groups
[MFCS'16 w. Klimann]



Bireversible automata with an element
of infinite order have exponential growth
[Klimann'17+]

The set of
of a con
is describ
automaton

Mealy automata

1|0 0|0
 1|1

dynamics
of
the action

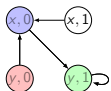
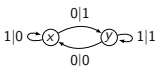
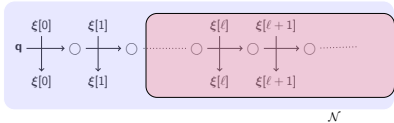
singular
points

Schreier
graphs

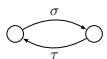
Wang
tillings

The set of singular points
of a contracting automaton
is described by a Büchi
automaton [DGKPR'16]

ξ singular
 \mathcal{A}^m



Analogue to Dixon theorem
[ANALCO'16]

$$\langle \text{graph} \rangle = \begin{cases} \mathfrak{S}_k \times \mathfrak{S}_k \\ (\mathfrak{A}_k \times \mathfrak{A}_k) \rtimes \langle (\pi, \pi) \rangle \\ \mathfrak{A}_k \times \mathfrak{A}_k \end{cases}$$


finite
groups

infinite
groups

random
generation

Thanks!