

# Shift spaces defined by lexicographic inequalities and unique double base expansions

Wolfgang Steiner

(joint work with Vilmos Komornik and Yuru Zou)

arXiv:2209.02373

IRIF, CNRS, Université Paris Cité

Séminaire CALIN, 17 janvier 2023

## $\beta$ -expansions (with digits 0 and 1), $\beta > 1$

$$\pi_\beta(i_1 i_2 \dots) := \sum_{k=1}^{\infty} \frac{i_k}{\beta^k} \in \left[0, \frac{1}{\beta-1}\right], \quad i_1 i_2 \dots \in \{0, 1\}^\infty$$

$$X_\beta := \pi_\beta(\{0, 1\}^\infty), \quad X_\beta = \frac{1}{\beta} X_\beta \cup \frac{1}{\beta} (1 + X_\beta)$$

$$X_\beta = \left[0, \frac{1}{\beta-1}\right] \iff \left[0, \frac{1}{\beta-1}\right] = \left[0, \frac{1}{\beta(\beta-1)}\right] \cup \left[\frac{1}{\beta}, \frac{1}{\beta-1}\right] \iff \beta \leq 2$$

unique  $\beta$ -expansions

$$U_\beta := \{\mathbf{u} \in \{0, 1\}^\infty : \pi_\beta(\mathbf{u}) \neq \pi_\beta(\mathbf{v}) \text{ for all } \mathbf{v} \neq \mathbf{u}\}$$

$$= \{i_1 i_2 \dots \in \{0, 1\}^\infty : \pi_\beta(i_n i_{n+1} \dots) \notin \left[\frac{1}{\beta}, \frac{1}{\beta(\beta-1)}\right] \forall n \geq 1\}$$

$$\stackrel{\beta \leq 2}{=} \{i_1 i_2 \dots \in \{0, 1\}^\infty : i_n i_{n+1} \dots <_{\text{lex}} \mathbf{a}_\beta \text{ or } i_n i_{n+1} \dots >_{\text{lex}} \mathbf{b}_\beta \forall n \geq 1\}$$

$\mathbf{a}_\beta$  quasi-greedy  $\beta$ -expansion of  $\frac{1}{\beta} = \pi_\beta(1\bar{0})$

(lex. largest  $\beta$ -expansion of  $\frac{1}{\beta}$  not ending with  $\bar{0} = 00\dots$ ),

$\mathbf{b}_\beta$  quasi-lazy  $\beta$ -expansion of  $\frac{1}{\beta(\beta-1)} = \pi_\beta(0\bar{1})$

(lex. smallest  $\beta$ -expansion of  $\frac{1}{\beta(\beta-1)}$  not ending with  $\bar{1} = 11\dots$ )

Example:  $\beta = \frac{1+\sqrt{5}}{2}$  ( $\beta^2 = \beta+1$ ),  $\mathbf{a}_\beta = \bar{0}\bar{1} = 0101\dots$ ,  $\mathbf{b}_\beta = \bar{1}\bar{0}$

## Unique $\beta$ -expansions, $\beta \in (1, 2]$

$$\begin{aligned} U_\beta &= \{i_1 i_2 \cdots \in \{0, 1\}^\infty : i_n i_{n+1} \cdots <_{\text{lex}} \mathbf{a}_\beta \text{ or } i_n i_{n+1} \cdots >_{\text{lex}} \mathbf{b}_\beta \ \forall n \geq 1\} \\ &= \{i_1 i_2 \cdots \in \{0, 1\}^\infty : i_n i_{n+1} \cdots <_{\text{lex}} \mathbf{a}_\beta \text{ when } i_n = 0, \\ &\quad i_n i_{n+1} \cdots >_{\text{lex}} \mathbf{b}_\beta \text{ when } i_n = 1\} \end{aligned}$$

$\mathbf{a}_\beta$  quasi-greedy  $\beta$ -exp. of  $\pi_\beta(1\bar{0})$ ,  $\mathbf{b}_\beta$  quasi-lazy  $\beta$ -exp. of  $\pi_\beta(0\bar{1})$

symmetry:  $\mathbf{b}_\beta$  obtained from  $\mathbf{a}_\beta$  by exchanging 0 and 1

Daróczy–Kátai'93, Glendinning–Sidorov'01:

$$U_\beta \neq \{\bar{0}, \bar{1}\} \iff \bar{0}\bar{1} \in U_\beta \iff \mathbf{a}_\beta >_{\text{lex}} \bar{0}\bar{1} \iff \beta^2 > \beta + 1 \iff \beta > \frac{1 + \sqrt{5}}{2}$$

$U_\beta$  uncountable  $\iff \mathbf{a}_\beta \geq 01101001 \cdots$  (Thue–Morse sequence)

$\iff \beta \geq 1.78723 \cdots$  (Komornik–Loreti constant)

## Bernoulli convolutions, $\beta \in (1, 2]$

$$\pi_\beta : \{0, 1\}^\infty \rightarrow [0, \frac{1}{\beta-1}], \quad i_1 i_2 \cdots \mapsto \sum_{k=1}^{\infty} \frac{i_k}{\beta^k}$$

$$\nu_\beta = m \circ \pi_\beta^{-1}, \quad \text{with } m \text{ the } (\frac{1}{2}, \frac{1}{2}) \text{ Bernoulli measure on } \{0, 1\}^\infty$$

Jessen–Wintner'35:

$\nu_\beta$  either absolutely continuous w.r.t. Lebesgue or singular

Erdős'39:

singular for Pisot numbers  $\beta \in (1, 2]$

(algebraic integers  $\beta > 1$  s.t.  $|\alpha| < 1$  for all conjugates  $\alpha \neq \beta$ )

Garsia'63, Solomyak'95, Hochman'14, ...

Shmerkin'14:

set of  $\beta \in (1, 2]$  with singular  $\nu_\beta$  has zero Hausdorff dimension

survey by Gouëzel'17/18 (also on dimension of  $\nu_\beta$ )

$(\beta_0, \beta_1)$ -expansions,  $\beta_0, \beta_1 > 1$

$$\pi_{\beta_0, \beta_1}(i_1 i_2 \dots) := \sum_{k=1}^{\infty} \frac{i_k}{\beta_{i_1} \beta_{i_2} \dots \beta_{i_k}} \in \left[0, \frac{1}{\beta_1 - 1}\right], \quad i_1 i_2 \dots \in \{0, 1\}^{\infty}$$

$$X_{\beta_0, \beta_1} := \pi_{\beta_0, \beta_1}(\{0, 1\}^{\infty}), \quad X_{\beta_0, \beta_1} = \frac{1}{\beta_0} X_{\beta_0, \beta_1} \cup \frac{1}{\beta_1} (1 + X_{\beta_0, \beta_1})$$

$$X_{\beta_0, \beta_1} = \left[0, \frac{1}{\beta_1 - 1}\right] \iff \left[0, \frac{1}{\beta_1 - 1}\right] = \left[0, \frac{1}{\beta_0(\beta_1 - 1)}\right] \cup \left[\frac{1}{\beta_1}, \frac{1}{\beta_1 - 1}\right]$$

$$\iff \frac{1}{\beta_0(\beta_1 - 1)} \geq \frac{1}{\beta_1} \iff \beta_0 + \beta_1 \geq \beta_0 \beta_1 \iff \frac{1}{\beta_0} + \frac{1}{\beta_1} \geq 1$$

unique  $(\beta_0, \beta_1)$ -expansions

$$\begin{aligned} U_{\beta_0, \beta_1} &:= \{\mathbf{u} \in \{0, 1\}^{\infty} : \pi_{\beta_0, \beta_1}(\mathbf{u}) \neq \pi_{\beta_0, \beta_1}(\mathbf{v}) \text{ for all } \mathbf{v} \neq \mathbf{u}\} \\ &= \{i_1 i_2 \dots \in \{0, 1\}^{\infty} : \pi_{\beta_0, \beta_1}(i_n i_{n+1} \dots) \notin \left[\frac{1}{\beta_1}, \frac{1}{\beta_0(\beta_1 - 1)}\right] \forall n \geq 1\} \end{aligned}$$

$$\stackrel{\beta_0 + \beta_1 \geq \beta_0 \beta_1}{=} \{i_1 i_2 \dots \in \{0, 1\}^{\infty} : i_n i_{n+1} \dots < \mathbf{a}_{\beta_0, \beta_1} \text{ or } i_n i_{n+1} \dots > \mathbf{b}_{\beta_0, \beta_1} \forall n \geq 1\}$$

$\mathbf{a}_{\beta_0, \beta_1}$  quasi-greedy  $(\beta_0, \beta_1)$ -expansion of  $\frac{1}{\beta_1} = \pi_{\beta_0, \beta_1}(1\bar{0})$ ,

$\mathbf{b}_{\beta_0, \beta_1}$  quasi-lazy  $(\beta_0, \beta_1)$ -expansion of  $\frac{1}{\beta_0(\beta_1 - 1)} = \pi_{\beta_0, \beta_1}(0\bar{1})$

Example:  $\mathbf{a}_{1.5, 2} = \bar{0}\bar{1} = 0101\dots$ ,  $\mathbf{b}_{1.5, 2} = 10\bar{0}\bar{1} = 100101\dots$

## Subshift with a (lexicographic) hole

$$\Omega_{\mathbf{a}, \mathbf{b}} := \{\mathbf{u} \in \{0, 1\}^\infty : \Sigma^n(\mathbf{u}) \notin (\mathbf{a}, \mathbf{b}) \quad \forall n \geq 0\}$$

$$\mathbf{a} \in 0\{0, 1\}^\infty, \quad \mathbf{b} \in 1\{0, 1\}^\infty, \quad \Sigma \text{ shift map,}$$

$$(\mathbf{a}, \mathbf{b}) = \{\mathbf{u} \in \{0, 1\}^\infty : \mathbf{a} <_{\text{lex}} \mathbf{u} <_{\text{lex}} \mathbf{b}\}$$

$$\Omega_{\mathbf{a}, \mathbf{b}} = \{i_1 i_2 \cdots \in \{0, 1\}^\infty : i_n i_{n+1} \cdots \leq_{\text{lex}} \mathbf{a} \text{ if } i_n = 0, \\ i_n i_{n+1} \cdots \geq_{\text{lex}} \mathbf{b} \text{ if } i_n = 1\}$$

$$U_{\beta_0, \beta_1} = \Omega_{\mathbf{a}_{\beta_0, \beta_1}, \mathbf{b}_{\beta_0, \beta_1}} \setminus \{0, 1\}^* \{\mathbf{a}_{\beta_0, \beta_1}, \mathbf{b}_{\beta_0, \beta_1}\}$$

Examples:

$$\Omega_{00\bar{1}, 11\bar{0}} = \{\bar{0}, \bar{1}\}$$

$$\Omega_{0\bar{1}, 1\bar{0}} = \{0, 1\}^\infty$$

$$\Omega_{\bar{0}\bar{1}, \bar{1}\bar{0}} = 0^* \bar{0}\bar{1} \cup 1^* \bar{1}\bar{0} \cup \{\bar{0}, \bar{1}\}$$

Subshift contained in a (lexicographic) interval

$$\Theta_{\mathbf{a}, \mathbf{b}} := \{\mathbf{u} \in \{0, 1\}^\infty : \Sigma^n(\mathbf{u}) \in [\mathbf{a}, \mathbf{b}] \quad \forall n \geq 0\}$$

$$\Omega_{0\mathbf{b}, 1\mathbf{a}} = 0^* \Theta_{\mathbf{a}, \mathbf{b}} \cup 1^* \Theta_{\mathbf{a}, \mathbf{b}} \cup \{\bar{0}, \bar{1}\}$$

## Thue–Morse–Sturmian substitutions, $S$ -adic words

$$\begin{array}{lll} L : 0 \mapsto 0 & M : 0 \mapsto 01 & R : 0 \mapsto 01 \\ & 1 \mapsto 10 & 1 \mapsto 10 \\ & & 1 \mapsto 1 \end{array}$$

limit word (or  $S$ -adic word)

$$\begin{aligned} \sigma(\mathbf{u}) &:= \lim_{n \rightarrow \infty} \sigma_1 \sigma_2 \cdots \sigma_n(\mathbf{u}), \\ \sigma &= (\sigma_n)_{n \geq 1} \in \{L, M, R\}^\infty, \mathbf{u} \in \{0, 1\}^\infty \end{aligned}$$

exists because  $L(i), M(i), R(i)$  start with  $i$  for  $i \in \{0, 1\}$

Examples:

$$\begin{aligned} \overline{M}(\overline{0}) &= \overline{M}(\overline{01}) = \overline{M}(\overline{0110}) = \overline{M}(\overline{01101001}) = 0110100110010110 \cdots \\ \overline{LMR}(\overline{0}) &= \overline{LMR}(\overline{01}) = \overline{LM}(\overline{01}) = \overline{L}(\overline{0110}) = 01\overline{010} \end{aligned}$$

$\sigma$  primitive:  $\forall k \geq 1 \exists n \geq k \forall i, j \in \{0, 1\}: \sigma_k \cdots \sigma_n(i)$  contains  $j$   
 $\sigma \in \{L, M, R\}^\infty$  primitive if and only if  $\sigma$  does not end with  $\overline{L}$  or  $\overline{R}$

Theorem (Labarca–Moreira '06, Glendinning–Sidorov '15,  
St–Komornik–Zou)

Let  $\mathbf{a} \in 0\{0, 1\}^\infty$ ,  $\mathbf{b} \in 1\{0, 1\}^\infty$ .

- (i)  $\Omega_{\mathbf{a}, \mathbf{b}} \neq \{\bar{0}, \bar{1}\}$  if and only if  $\mathbf{a} = 0\bar{1}$ , or  $\mathbf{b} = 1\bar{0}$ , or  $\mathbf{a} \geq \sigma(\bar{0})$ ,  $\mathbf{b} \leq \sigma(\bar{1})$  for some  $\sigma \in \{L, R\}^*M$ , or  $\mathbf{a} = \sigma(\bar{0})$ ,  $\mathbf{b} = \sigma(\bar{1})$  for some primitive  $\sigma \in \{L, R\}^\infty$ .
- (ii)  $\Omega_{\mathbf{a}, \mathbf{b}} = \{\bar{0}, \bar{1}\}$  if and only if  $\mathbf{a} < \sigma(\bar{0})$ ,  $\mathbf{b} \geq \sigma(1\bar{0})$  for some  $\sigma \in \{L, R\}^*M$ , or  $\mathbf{a} \leq \sigma(0\bar{1})$ ,  $\mathbf{b} > \sigma(\bar{1})$  for some  $\sigma \in \{L, R\}^*M$ .
- (iii)  $\Omega_{\mathbf{a}, \mathbf{b}}$  is uncountable with positive entropy if and only if  $\mathbf{a} \geq \sigma(\bar{0})$ ,  $\mathbf{b} < \sigma(1\bar{0})$  for some  $\sigma \in \{L, M, R\}^*M$ , or  $\mathbf{a} > \sigma(0\bar{1})$ ,  $\mathbf{b} \leq \sigma(\bar{1})$  for some  $\sigma \in \{L, M, R\}^*M$ .
- (iv)  $\Omega_{\mathbf{a}, \mathbf{b}}$  is uncountable with zero entropy if and only if  $\mathbf{a} = \sigma(\bar{0})$ ,  $\mathbf{b} = \sigma(\bar{1})$  for some primitive  $\sigma \in \{L, M, R\}^\infty$ .
- (v)  $\Omega_{\mathbf{a}, \mathbf{b}}$  is countable if and only if  $\mathbf{a} \leq \sigma(01\bar{0})$ ,  $\mathbf{b} \geq \sigma(1\bar{0})$  for some  $\sigma \in \{L, M, R\}^*$ , or  $\mathbf{a} \leq \sigma(0\bar{1})$ ,  $\mathbf{b} \geq \sigma(10\bar{1})$  for some  $\sigma \in \{L, M, R\}^*$ .



## Elements of the proof

- ▶  $\sigma$  order-preserving for all  $\sigma \in \{L, M, R\}^*$

$$\begin{array}{lll} L : 0 \mapsto 0 & M : 0 \mapsto 01 & R : 0 \mapsto 01 \\ & 1 \mapsto 10 & 1 \mapsto 1 \end{array}$$

- ▶  $\mathbf{u} \in \Omega_{\sigma(\mathbf{a}),\sigma(\mathbf{b})} \setminus \{\bar{0}, \bar{1}\}$ ,  $\sigma \in \{L, M, R\} \implies \mathbf{u} \in \{0, 1\}^* \sigma(\Omega_{\mathbf{a},\mathbf{b}})$   
 $\Omega_{\mathbf{a},\mathbf{b}} = \{\mathbf{u} \in \{0, 1\}^\infty : \Sigma^n(\mathbf{u}) \notin (\mathbf{a}, \mathbf{b}) \ \forall n \geq 0\}$

$$\mathbf{u} \in \Omega_{L(0\bar{1}),L(1\bar{0})} = \Omega_{\bar{0}\bar{1},1\bar{0}} \implies \mathbf{u} \in 1^*\{0, 10\}^\infty \cup \{\bar{1}\}$$

$$\mathbf{u} \in \Omega_{R(0\bar{1}),R(1\bar{0})} = \Omega_{0\bar{1},1\bar{0}} \implies \mathbf{u} \in 0^*\{1, 01\}^\infty \cup \{\bar{0}\}$$

$$\mathbf{u} \in \Omega_{M(0\bar{1}),M(1\bar{0})} = \Omega_{0\bar{1}\bar{1}\bar{0},10\bar{0}\bar{1}} \implies \mathbf{u} \in 0^*\{01, 10\}^\infty \cup 1^*\{01, 10\}^\infty \cup \{\bar{0}, \bar{1}\}$$

- ▶  $\Omega_{M(\bar{0}),M(\bar{1})} = 0^*\bar{0}\bar{1} \cup 1^*\bar{1}\bar{0} \cup \{\bar{0}, \bar{1}\} \neq \{\bar{0}, \bar{1}\}$

- ▶  $\Omega_{M(\bar{0}),\mathbf{b}}$ ,  $\mathbf{b} < M(1\bar{0})$ , contains  $\{0(01)^k, 0(01)^{k+1}\}^\infty$  for some  $k \geq 0$ ,  
 $\Omega_{\mathbf{a},M(\bar{1})}$ ,  $\mathbf{a} > M(0\bar{1})$ , contains  $\{1(10)^k, 1(10)^{k+1}\}^\infty$  for some  $k \geq 0$   
 $\implies$  uncountable with positive entropy

## Partitions

$$(\sigma(01\bar{0}), \sigma(0\bar{1})) = \underbrace{(\sigma(01\bar{0}), \sigma(\bar{0}\bar{1}))}_{(\sigma L(01\bar{0}), \sigma L(0\bar{1}))} \cup \underbrace{[\sigma(\bar{0}\bar{1}), \sigma(011\bar{0})]}_{[\sigma M(\bar{0}), \sigma M(0\bar{1})]} \cup \underbrace{(\sigma(011\bar{0}), \sigma(0\bar{1}))}_{(\sigma R(01\bar{0}), \sigma R(0\bar{1}))}$$

$$(01\bar{0}, 0\bar{1}) = \bigcup_{\sigma \in \{L, R\}^* M} [\sigma(\bar{0}), \sigma(0\bar{1})] \cup \{\sigma(\bar{0}) : \sigma \in \{L, R\}^\infty \text{ primitive}\}$$

$$\begin{aligned} (\sigma(01\bar{0}), \sigma(0\bar{1})) &= \underbrace{(\sigma(01\bar{0}), \sigma(\bar{0}\bar{1}))}_{(\sigma L(01\bar{0}), \sigma L(0\bar{1}))} \cup \underbrace{[\sigma(\bar{0}\bar{1}), \sigma(0110\bar{0}\bar{1})]}_{[\sigma M(\bar{0}), \sigma M(01\bar{0})]} \\ &\cup \underbrace{(\sigma(0110\bar{0}\bar{1}), \sigma(011\bar{0}))}_{(\sigma M(01\bar{0}), \sigma M(0\bar{1}))} \cup \underbrace{\{\sigma(011\bar{0})\}}_{\sigma M(0\bar{1})} \cup \underbrace{(\sigma(011\bar{0}), \sigma(0\bar{1}))}_{(\sigma R(01\bar{0}), \sigma R(0\bar{1}))} \end{aligned}$$

$$(01\bar{0}, 0\bar{1}) = \bigcup_{\sigma \in \{L, M, R\}^* M} ([\sigma(\bar{0}), \sigma(01\bar{0})] \cup \{\sigma(0\bar{1})\}) \cup \{\sigma(\bar{0}) : \sigma \in \{L, M, R\}^\infty \text{ primitive}\}$$

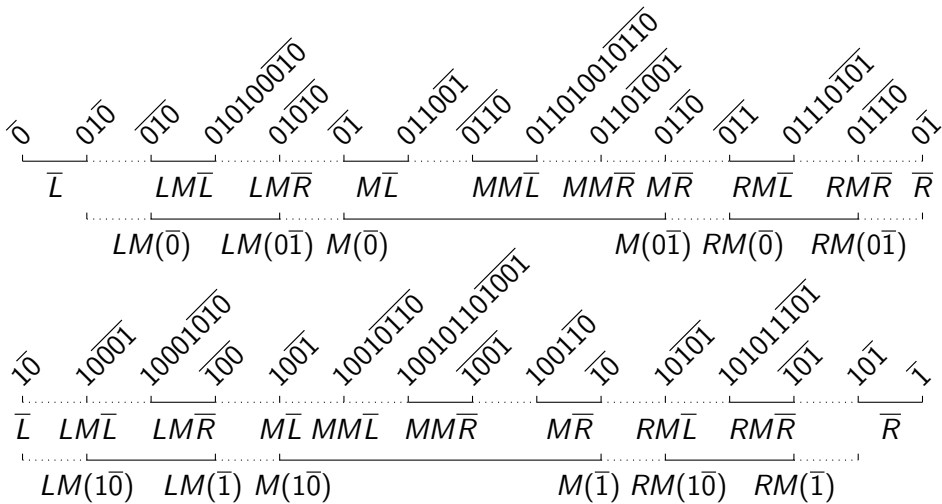
$$\begin{aligned} [\bar{0}, 0\bar{1}] &= \bigcup_{\sigma \in \{L, M, R\}^\infty \setminus \{L, M, R\}^* \{L\bar{R}, R\bar{L}\}} [\sigma(\bar{0}), \sigma(0\bar{1})] \\ &\sigma \in \{L, M, R\}^\infty \setminus \{L, M, R\}^* \{L\bar{R}, R\bar{L}\} \end{aligned}$$

$$\sigma <_{\text{lex}} \tau \Rightarrow \sigma(0\bar{1}) <_{\text{lex}} \tau(\bar{0}) \quad (\sigma, \tau \in \{L, M, R\}^\infty \setminus \{L, M, R\}^* \{L\bar{R}, R\bar{L}\})$$

$$s : \{0, 1\}^\infty \rightarrow \{L, M, R\}^\infty \setminus \{L, M, R\}^* \{L\bar{R}, R\bar{L}\},$$

$$\mathbf{u} \mapsto \sigma \quad \text{if } \mathbf{u} \in [\sigma(\bar{0}), \sigma(0\bar{1})] \cup [\sigma(1\bar{0}), \sigma(\bar{1})],$$

monotonically increasing on  $0\{0, 1\}^\infty, 1\{0, 1\}^\infty$

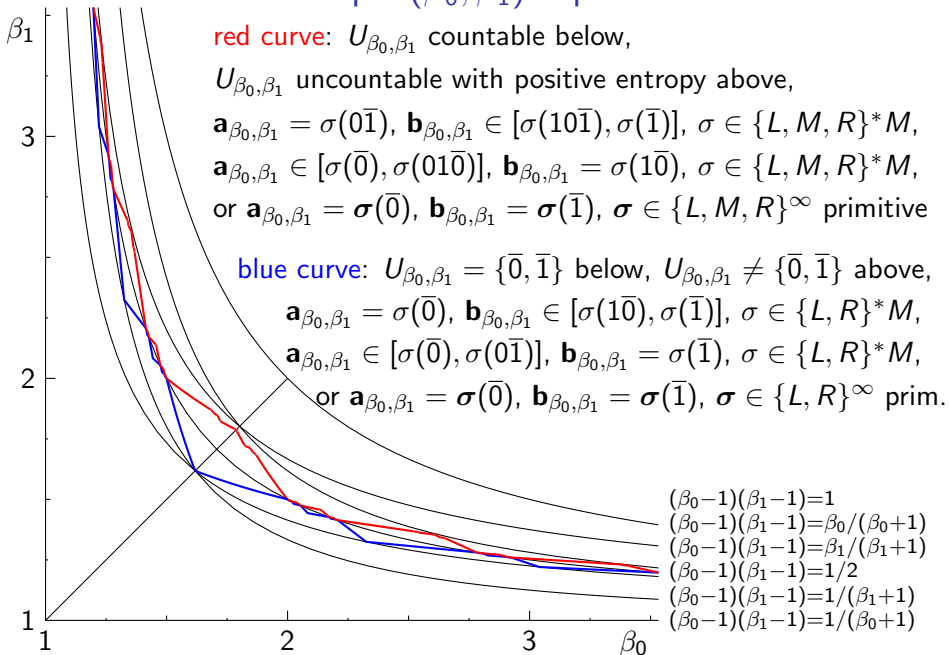


## Theorem (reformulation)

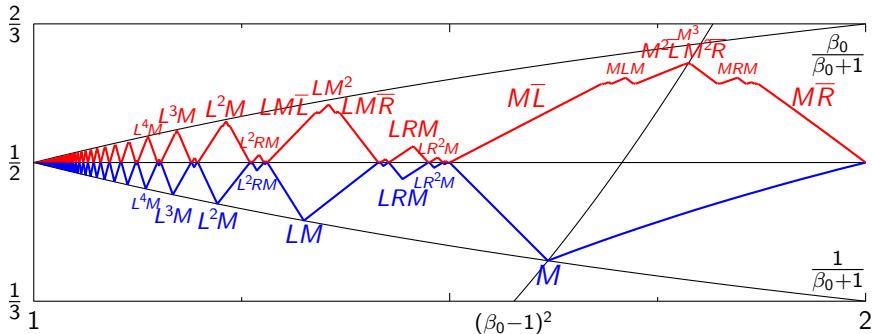
Let  $\mathbf{a} \in 0\{0, 1\}^\infty$ ,  $\mathbf{b} \in 1\{0, 1\}^\infty$ .

- (i)  $\Omega_{\mathbf{a}, \mathbf{b}} \neq \{\bar{0}, \bar{1}\}$  if and only if  $\mathbf{a} = 0\bar{1}$ , or  $\mathbf{b} = 1\bar{0}$ , or  $\mathbf{a} \geq \sigma(\bar{0})$ ,  $\mathbf{b} \leq \sigma(\bar{1})$  for some  $\sigma \in \{L, R\}^*M$ , or  $\mathbf{a} = \sigma(\bar{0})$ ,  $\mathbf{b} = \sigma(\bar{1})$  for some primitive  $\sigma \in \{L, R\}^\infty$ .
- (ii)  $\Omega_{\mathbf{a}, \mathbf{b}} = \{\bar{0}, \bar{1}\}$  if and only if  $\mathbf{a} < \sigma(\bar{0})$ ,  $\mathbf{b} \geq \sigma(1\bar{0})$  for some  $\sigma \in \{L, R\}^*M$ , or  $\mathbf{a} \leq \sigma(0\bar{1})$ ,  $\mathbf{b} > \sigma(\bar{1})$  for some  $\sigma \in \{L, R\}^*M$ .
- (iii')  $\Omega_{\mathbf{a}, \mathbf{b}}$  is uncountable with positive entropy if and only if  $s(\mathbf{a}) > s(\mathbf{b})$ .
- (iv')  $\Omega_{\mathbf{a}, \mathbf{b}}$  is uncountable with zero entropy if and only if  $s(\mathbf{a}) = s(\mathbf{b})$  is primitive.
- (v')  $\Omega_{\mathbf{a}, \mathbf{b}}$  is countable if and only if  $s(\mathbf{a}) < s(\mathbf{b})$  or  $s(\mathbf{a}) = s(\mathbf{b})$  ends with  $\bar{L}$  or  $\bar{R}$ .

# Critical curves for unique $(\beta_0, \beta_1)$ -expansions



# Critical values $(\beta_0, (\beta_0-1)(\beta_1-1))$ for unique $\beta$ -expansions



**red curve:**  $U_{\beta_0, \beta_1}$  countable below,  $U_{\beta_0, \beta_1}$  positive entropy above,

$\mathbf{a}_{\beta_0, \beta_1} = \sigma(0\bar{1})$ ,  $\mathbf{b}_{\beta_0, \beta_1} \in [\sigma(10\bar{1}), \sigma(\bar{1})]$ ,  $\sigma \in \{L, M, R\}^* M$ ,

$\mathbf{a}_{\beta_0, \beta_1} \in [\sigma(\bar{0}), \sigma(01\bar{0})]$ ,  $\mathbf{b}_{\beta_0, \beta_1} = \sigma(1\bar{0})$ ,  $\sigma \in \{L, M, R\}^* M$ ,

or  $\mathbf{a}_{\beta_0, \beta_1} = \sigma(\bar{0})$ ,  $\mathbf{b}_{\beta_0, \beta_1} = \sigma(\bar{1})$ ,  $\sigma \in \{L, M, R\}^\infty$  primitive

**blue curve:**  $U_{\beta_0, \beta_1} = \{\bar{0}, \bar{1}\}$  below,  $U_{\beta_0, \beta_1} \neq \{\bar{0}, \bar{1}\}$  above,

$\mathbf{a}_{\beta_0, \beta_1} = \sigma(\bar{0})$ ,  $\mathbf{b}_{\beta_0, \beta_1} \in [\sigma(1\bar{0}), \sigma(\bar{1})]$ ,  $\sigma \in \{L, R\}^* M$ ,

$\mathbf{a}_{\beta_0, \beta_1} \in [\sigma(\bar{0}), \sigma(0\bar{1})]$ ,  $\mathbf{b}_{\beta_0, \beta_1} = \sigma(\bar{1})$ ,  $\sigma \in \{L, R\}^* M$ ,

or  $\mathbf{a}_{\beta_0, \beta_1} = \sigma(\bar{0})$ ,  $\mathbf{b}_{\beta_0, \beta_1} = \sigma(\bar{1})$ ,  $\sigma \in \{L, R\}^\infty$  primitive

## Unique $\beta$ -expansions with digits 0, 1, 2

$$X_\beta = \frac{1}{\beta}X_\beta \cup \frac{1}{\beta}(1 + X_\beta) \cup \frac{1}{\beta}(2 + X_\beta)$$

$$\beta \leq 3: [0, \frac{2}{\beta-1}] = [0, \frac{2}{\beta(\beta-1)}] \cup [\frac{1}{\beta}, \frac{1}{\beta} + \frac{2}{\beta(\beta-1)}] \cup [\frac{2}{\beta}, \frac{2}{\beta-1}]$$

$$U_\beta(\{0, 1, 2\}) = \{i_1 i_2 \dots \in \{0, 1, 2\}^\infty : i_n i_{n+1} \dots \notin [\mathbf{a}_\beta, \mathbf{b}_\beta] \cup [\mathbf{c}_\beta, \mathbf{d}_\beta] \forall n \geq 1\}$$
$$= \{i_1 i_2 \dots \in \{0, 1, 2\}^\infty : \pi_\beta(i_n i_{n+1} \dots) \notin [\frac{1}{\beta}, \frac{2}{\beta(\beta-1)}] \cup [\frac{2}{\beta}, \frac{1}{\beta} + \frac{2}{\beta(\beta-1)}] \forall n \geq 1\}$$

$\mathbf{a}_\beta$  quasi-greedy exp. of  $\pi_\beta(1\bar{0})$ ,  $\mathbf{b}_\beta$  quasi-lazy exp. of  $\pi_\beta(0\bar{2})$ ,

$\mathbf{c}_\beta$  quasi-greedy exp. of  $\pi_\beta(2\bar{0})$ ,  $\mathbf{d}_\beta$  quasi-lazy exp. of  $\pi_\beta(1\bar{2})$ ,

$\mathbf{a}_\beta$  equal to  $\mathbf{c}_\beta$  up to first letter,  $\mathbf{b}_\beta$  equal to  $\mathbf{d}_\beta$  up to first letter,

symmetry:  $\mathbf{c}_\beta, \mathbf{d}_\beta$  obtained from  $\mathbf{b}_\beta, \mathbf{a}_\beta$  by exchanging 0 and 2

$$U_\beta(\{0, 1, 2\}) \neq \{\bar{0}, \bar{2}\} \Leftrightarrow \bar{1} \in U_\beta(\{0, 1, 2\}) \Leftrightarrow \frac{2}{\beta(\beta-1)} < \frac{1}{\beta-1} < \frac{2}{\beta} \Leftrightarrow \beta > 2$$

Allouche '83, Komornik–Loreti '02, Allouche–Frougny '09:

$$U_\beta(\{0, 1, 2\}) \text{ uncountable} \iff \mathbf{a}_\beta \geq \iota(0\bar{N}(\bar{2})) = 0210201210120210 \dots$$

$$N: 0 \mapsto 01_2 \quad 1_0 \mapsto 02 \quad 1_2 \mapsto 20 \quad 2 \mapsto 21_0$$

$$\iota: 0 \mapsto 0 \quad 1_0 \mapsto 1 \quad 1_2 \mapsto 1 \quad 2 \mapsto 2$$

## Subshifts with two holes

$$\Omega_{\mathbf{a},\mathbf{b},\mathbf{c},\mathbf{d}} := \{\mathbf{u} \in \{0,1,2\}^\infty : \Sigma^n(\mathbf{u}) \notin (\mathbf{a}, \mathbf{b}) \cup (\mathbf{c}, \mathbf{d}) \quad \forall n \geq 0\}$$

$(\mathbf{a} \in 0\{0,1,2\}^\infty, \mathbf{b} \in 1\{0,1,2\}^\infty, \mathbf{c} \in 1\{0,1,2\}^\infty, \mathbf{d} \in 2\{0,1,2\}^\infty)$

$$U_\beta(\{0,1,2\}) = \Omega_{\mathbf{a}_\beta, \mathbf{b}_\beta, \mathbf{c}_\beta, \mathbf{d}_\beta} \setminus \{0,1,2\}^* \{\mathbf{a}_\beta, \mathbf{b}_\beta, \mathbf{c}_\beta, \mathbf{d}_\beta\}$$

$$\Sigma \mathbf{a}_\beta = \Sigma \mathbf{c}_\beta, \quad \Sigma \mathbf{b}_\beta = \Sigma \mathbf{d}_\beta, \quad \mathbf{d}_\beta = F(\mathbf{a}_\beta), \quad \mathbf{c}_\beta = F(\mathbf{b}_\beta)$$

( $F$  exchanges letters 0 and 2)

$$\Omega_{\mathbf{a},\mathbf{b},\mathbf{c},\mathbf{d}} \text{ uncountable, } \Sigma \mathbf{a} = \Sigma \mathbf{c}, \quad \Sigma \mathbf{b} = \Sigma \mathbf{d}, \quad \mathbf{d} = F(\mathbf{a}), \quad \mathbf{c} = F(\mathbf{b})$$

$$\iff \mathbf{a} \geq \iota(0\overline{N}(\overline{2})) = \iota\overline{N'N''}(\overline{0}) = 0210201210120210 \dots$$

$$\iota : 0 \mapsto 0 \quad N' : 0 \mapsto 02 \quad N'' : 0 \mapsto 01_0$$

$$1_0 \mapsto 1 \quad 1_0 \mapsto 1_00 \quad 1_0 \mapsto 1_01_2$$

$$1_2 \mapsto 1 \quad 1_2 \mapsto 1_22 \quad 1_2 \mapsto 1_21_0$$

$$2 \mapsto 2 \quad 2 \mapsto 20 \quad 2 \mapsto 21_2$$

Conditions for non-triviality and uncountability of  $\Omega_{\mathbf{a},\mathbf{b},\mathbf{c},\mathbf{d}}$  when  $\Sigma \mathbf{a} = \Sigma \mathbf{c}$ ,  $\Sigma \mathbf{b} = \Sigma \mathbf{d}$  OR  $\mathbf{d} = F(\mathbf{a})$ ,  $\mathbf{c} = F(\mathbf{b})$ , general  $\mathbf{a}, \mathbf{b}, \mathbf{c}, \mathbf{d}$ ?