On Quasi-Interpretations, Blind Abstractions and Implicit Complexity∗

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Abstract

Quasi-interpretations are a technique to guarantee complexity bounds on first-order functional programs: with termination orderings they give in particular a sufficient condition for a program to be executable in polynomial time ([1], called here the P-criterion. We study properties of the programs satisfying the P-criterion, in order to better understand its intensional expressive power.

Given a program on binary lists, its blind abstraction is the non-deterministic program obtained by replacing lists by their lengths (natural numbers). A program is blindly polynomial if its blind abstraction terminates in polynomial time. We show that all programs satisfying a variant of the P-criterion are in fact blindly polynomial. Then we give two extensions of the P-criterion: one by relaxing the termination ordering condition, and the other one (the bounded value property) giving a necessary and sufficient condition for a program to be polynomial time executable, with memoisation.

1 Introduction

Implicit computational complexity (ICC) explores machine-free characterizations of complexity classes, without referring to explicit resource bounds but instead by seeing these bounds as consequences of restrictions on program structures. It has been mainly developed in the functional programming paradigm, by taking advantage of ideas from primitive recursion ([2, 3]), proof-theory and linear logic ([4]), rewriting systems or functional programming ([4, 5]), type systems ([6])…

Usually ICC results include a soundness and a completeness statement: the first one says that all programs of a given language (or those satisfying a criterion) admit a certain complexity property, and the latter one that all functions of the corresponding functional complexity class can be programmed in this language. For instance in the case of polynomial time complexity the first statement refers to a polynomial time evaluation of programs, whereas the second, which is of an extensional nature, refers to the class FP of functions computable in polynomial time. Theorems of this kind have been given for many systems, like for instance ramified recursion [7], variants of linear logic, fragments of functional languages…

Expressivity. This line of work is motivating from a programming language perspective, because it suggests ways to control complexity properties of programs, which is a difficult issue because of its infinitistic nature. However, extensional correspondence with complexity classes is usually not enough: a programming language (or a static analysis methodology for it) offering guarantees in terms of program safety is plausible only if it captures enough interesting and natural algorithms.

This issue has been pointed out by several authors ([2, 3]) and advances have been made in the direction of more liberal ICC systems: some examples are type systems for non-size-increasing computation and quasi-interpretations. However it is not always easy to measure the improvement a new ICC system brings

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up. Until now this has been usually illustrated by providing examples.

We think that to compare in a more appropriate way the algorithmic aspects of ICC systems and in particular to understand their limitations, specific methods should be developed. Indeed, what we need are sharp results on the intensional expressive power of existing systems and on the intrinsic limits of implicit complexity as a way to isolate large (but decidable) classes of programs with bounded complexity. For that we aim to establish properties (like necessary conditions) of the programs captured by an ICC system.

**Quasi-interpretations (QI)** can be considered as a static analysis methodology to infer asymptotic resource bounds for first-order functional programs. Used with termination orderings they allow to define various criteria to guarantee either space or time complexity bounds ($\exists, \exists, \exists, \exists, \exists$). They present several advantages: the language for which they can be used is simple to use, and more importantly the class of programs captured by this approach is large compared to that captured by other ICC systems. Indeed, all primitive recursive programs from Bellantoni and Cook’s functional semantics can be easily proved to have a QI ($\exists$). One particularly interesting criterion, that we will call here the $P$-criterion, says that programs with certain QIs and recursive path orderings can be evaluated in polynomial time.

In this paper, we focus our attention on QIs, proving a strong necessary condition for first-order functional programs on lists having a QI. More precisely, a program transformation called blind abstraction is presented. It consists in collapsing the constructors for lists to just one, modifying rewriting rules accordingly. This produces in general non-confluent programs, the efficiency of which can be evaluated by considering all possible evaluations of the program.

In general, the blind abstraction of a polytime first-order functional program on binary lists is not itself polytime: blinding introduces many paths that are not available in the original program. However, we show that under certain assumptions, blinding a program satisfying the $P$-criterion with a (uniform) QI always produces a program which is polytime, independently from non-confluence.

**Outline.** We first describe the syntax and operational semantics of programs (Section 2), before termination orderings and QIs (Section 3). Then blind abstractions are introduced (Section 4) and we give the main property of the $P$-criterion w.r.t. blinding in section 5, with application to safe recursion. Finally we define a generalization of the previous termination ordering and of QIs (bounded values property) which also guarantees the $P$-criterion (Section 6).

## 2 Programs as Term Rewriting Systems

### 2.1 Syntax and Semantics of Programs

We consider first-order term rewriting systems (TRS) with disjoint sets $X, F, C$ resp. of variables, function symbols and constructor symbols.

**Definition 1 (Syntax)** The sets of terms and the equations are defined by:

- **(values)** \( T(C) \ni v::=c | c(v_1, \ldots, v_n) \)
- **(terms)** \( T(C, F, X) \ni t::=c | x | c(t_1, \ldots, t_n) | f(t_1, \ldots, t_n) \)
- **(patterns)** \( P \ni p::=c | x | c(p_1, \ldots, p_n) \)
- **(equations)** \( D \ni d::=f(p_1, \ldots, p_n) \rightarrow t \)

where \( x \in X \), \( f \in F \), and \( c \in C \). We shall use a type writer font for function symbols and a bold face font for constructors.

**Definition 2 (Programs)** A program is a tuple \( \mathcal{E} = \langle X, C, F, \mathcal{E} \rangle \) where \( \mathcal{E} \) is a set of $D$-equations. Each variable in the right hand side (rhs) of an equation also appears in the lhs of the same equation. The program has a main function symbol in $F$, which we shall also call $\mathcal{E}$.

The domain of the computed functions is the constructor algebra $T(C)$. A substitution $\sigma$ is a mapping from variables to terms. We note $\Sigma$ the set of constructor substitutions, i.e. substitutions $\sigma$ with range $T(C)$.

Our programs are not necessarily deterministic, that is the TRS is not necessarily confluent. Non-confluent programs correspond to relations rather than functions.

Firstly, we consider a call by value semantics which is displayed in Figure 1. The meaning of $t \downarrow v$ is that $t$ evaluates to the constructor term $v$. A derivation will
be called a reduction proof. The program \( f \) computes a relation \([\varepsilon] : T(C)^n \rightarrow T(C)\) defined by: for all \( u_i \in T(C), v \in [\varepsilon](u_1, \ldots, u_n) \) iff there is a derivation for \( f(u_1, \ldots, u_n) \downarrow v \).

The size \(|J|\) of a judgement \( J = t \downarrow v \) is the size \(|t|\) of the lhs term.

**Definition 3 (Active rules)** Passive semantics rules are Constructor and Split. The only active rule is Function.

Let \( \pi : t \downarrow v \) be a reduction proof. If we have:

\[
e = g(q_1, \ldots, q_n) \rightarrow r \quad \sigma \in \mathcal{G} \quad q_i \mathcal{G} = u_i \quad r\sigma \downarrow u
\]

then we say that term \( s \) (resp. judgment \( J = s \downarrow u \)) is active. \( e \) is the equation activated by \( s \) (resp. \( J \)) and \( r\sigma \) (resp. \( r\sigma \downarrow u \)) is the activation of \( s \) (resp. \( J \)). Other judgements (conclusions of (Split) or (Constructor) rules) are called passive.

Notice that the set of active terms in a proof \( \pi \) is exactly the set of terms of the form \( f(v_1, \ldots, v_n) \), where \( v_i \) are constructor terms, appearing in \( \pi \). Since the program may be non deterministic, the equation activated by a term \( s \) depends on the reduction and on the occurrence of \( s \) in \( \pi \), and not only on \( s \).

**Lemma 4** For each program, there exists a polynomial \( p : \mathbb{N} \rightarrow \mathbb{N} \) such that for any reduction proof \( \pi \), active term \( t \) in it and \( s \) the activation of \( t \), \( |s| = p(|t|) \).

That is, the size of \( s \) is polynomially bounded by the size of \( t \).

A subderivation of a derivation proof is obtained by recursively taking a judgement and some of its premises. That is, it is a subgraph of the proof tree that is also a tree (but does not necessarily go down to the leaves of the proof).

**Definition 5 (Dependences)** Let \( \pi \) be a reduction proof and \( J = t \downarrow v \) be a passive judgement appearing in it. A dependence of \( J \) is a subderivation of \( \pi \) whose root is \( J \) and which contains only passive judgements. The maximum dependence \( D_J \) is the biggest dependence of a judgement, with respect to inclusion.

The following is an example program that we will use throughout the paper (\( i \in \{0, 1\} \)):

\[
f(s_0s_1x) \rightarrow \text{append}(f(s_1x), f(s_1x))
\]

\[
f(s_1x) \rightarrow x
\]

\[
f(\text{nil}) \rightarrow \text{nil}
\]

\[
\text{append}(s_1x, y) \rightarrow s_i\text{append}(x, y)
\]

\[
\text{append}(\text{nil}, y) \rightarrow y
\]

Consider the derivation \( \pi \) from Figure 2.

Active judgements appearing in \( \pi \) are \( f(s_0s_1\text{nil}) \downarrow \text{nil} \), \( f(s_1\text{nil}) \downarrow \text{nil} \) and \( \text{append}(\text{nil}, \text{nil}) \downarrow \text{nil} \). Let \( J = \text{append}(f(s_1\text{nil}), f(s_1\text{nil})) \downarrow \text{nil} \) be a passive judgement. Its maximum dependence \( D_J \) is the subderivation containing \( J \) itself.

**Lemma 6** Let \( \pi \) be a reduction proof and \( J = t \downarrow v \) be a judgement in it.

1. For each judgement \( s \downarrow u \) in a dependence of \( J \), \( s \) is a subterm of \( t \).
2. The depth of any dependence of \( J \) is bounded by the depth of \( t \).
3. The number of judgements in a dependence of \( J \) is bounded by the size of \( t \).

**Proposition 7** For all programs, there exists a polynomial \( p : \mathbb{N} \rightarrow \mathbb{N} \) such that for all derivations \( \pi \) and all active judgement \( J \) in it with a passive activation \( H \), \(|D_H| \leq p(|J|)\). That is, the size of \( D_H \) is polynomially bounded by the size of \( J \) and the polynomial is only dependent on the program.

**Proposition 8** For all programs, there exists a polynomial \( p : \mathbb{N}^2 \rightarrow \mathbb{N} \) such that for all derivation proof \( \pi \), if \( A \) is the number of active judgements in \( \pi \) and \( S \) is the maximum size of an active judgement then \(|\pi| \leq p(A, S)\).

So, to bound the time of a derivation, it is sufficient to bound the number and size of active terms, passive terms playing no real role in it.

Next, we also consider a call by value semantics with memoisation for confluent programs. The idea is to maintain a cache to avoid recomputing the same things several times. Each time a function call is performed, the semantics looks in the cache. If the same call has already been computed, then the result can be given immediately, else, we need to compute the corresponding value and store the result in the cache (for
The computation of \( f \) on Figure 3. The (Update) rule can only be triggered if later reuse). The memoisation semantics is displayed algorithmic technique of dynamic programming.

\[ \sum \frac{t_i \downarrow v_i}{c(t_1, \ldots, t_n) \downarrow \langle v_1, \ldots, v_n \rangle} \] (Constructor)
\[ \frac{\exists j, t_j \notin T(C)}{c(t_1, \ldots, t_n) \downarrow v} \] (Split)
\[ \frac{f(p_1, \ldots, p_n) \rightarrow r \in \mathcal{E}}{f(t_1, \ldots, t_n) \downarrow v} \] (Function)

\[ \exists \pi \notin t \quad \frac{f(v_1, \ldots, v_n) \downarrow v}{f(t_1, \ldots, t_n) \downarrow v} \]

Figure 1. Call by value semantics with respect to a program \( (X, C, F, E) \)

\[ \frac{\text{nil} \downarrow \text{nil}}{f(s_1 \text{nil}) \downarrow \text{nil}} \]
\[ \frac{\text{nil} \downarrow \text{nil}}{f(s_1 \text{nil}) \downarrow \text{nil}} \]
\[ \frac{\text{nil} \downarrow \text{nil}}{\text{append}(f(s_1 \text{nil}), f(s_1 \text{nil})) \downarrow \text{nil}} \]
\[ \frac{\text{nil} \downarrow \text{nil}}{f(s_0 s_1 \text{nil}) \downarrow \text{nil}} \]

Figure 2. Example of reduction proof.

Consider the memoisation semantics on Figure 3. The (Update) rule can only be triggered if (Read) rule cannot, that is if there is no \( v \) such that \((f, v_1, \ldots, v_n, v) \in C\).

Memoisation corresponds to an automation of the algorithmic technique of dynamic programming.

The expression \( (C, t) \downarrow (C', v) \) means that the computation of \( t \) is \( v \), given a program \( f \) and an initial cache \( C \). The final cache \( C' \) contains \( C \) and each call which has been necessary to complete the computation.

Definition 9 (Active rules) Constructor and Split are passive semantic rules. Update is an active rule. Read is a semi-active rule.

Definition 10 (Active terms) Active terms and judgements, activated equations, activations and dependences are defined similarly as for the call by value case. Semi-active terms and judgements are similarly defined for semi-active rules.

Lemma 6 still holds, but we also need to bound the number of semi-active judgements to bound the size of a derivation. However, since they only lead to leaves in the derivation and the arity of the derivation tree is bounded (by \( k \) the maximum arity of a symbol in \( F \cup C \)), there is at most \( k \) times more (Read) rule than the total number of other rules.

Proposition 11 Consider the memoisation semantics of Figure 3. For all programs, there exists a polynomial \( P \) such that for all derivation proof \( \pi \), if \( A \) is the number of active judgements in \( \pi \) and \( S \) is the maximum size of an active judgement then \( |\pi| \leq P(A, S) \).

Lemma 12 Let \( J_1 = (C_1, t) \downarrow (C_1, v) \) be a semi-active judgement in a proof \( \pi : (\emptyset, t) \downarrow (C, v) \), then there exists an active judgement \( J_2 = (C_2, t) \downarrow (C_2, v) \) in \( \pi \).

The naive model where each rules takes unary time to be executed is not very realistic with the memoisation semantics, but even if we consider a cost for (Read) and (Update) rules, using Prop. 11 we still get a polynomial bound on execution time (see Appendix B.1).

Memoisation cannot be used with non-confluent programs. Indeed, the same function call can lead to several different results. Several ideas could be used to define a memoisation semantics for non-confluent programs, but they all have their problems (see discussion in Appendix B.2), hence we won’t use any of them here and only use memoisation when the program is confluent. For sufficient conditions to decide if a program is confluent or not, refer, typically, to [?].

2.2 Call trees, call dags

Following [?], we now consider call-trees. Given a program \( f \), a call-tree gives a static view of an execution and captures all function calls. Hence, we can study dependencies between function calls without all the details of the semantics.

Definition 13 (States) A state is a tuple \( (\hat{f}, v_1, \cdots, v_n) \) where \( \hat{f} \) is a function symbol of
arity $n$ and $v_1, \ldots, v_n$ are constructor terms. Assume that $\eta_1 = \langle f, v_1, \ldots, v_n \rangle$ and $\eta_2 = \langle g, u_1, \ldots, u_m \rangle$ are two states. A transition is a triplet $\eta_1 \rightarrow^* \eta_2$ such that:

1. $e$ is an equation $\xi(p_1, \ldots, p_n) \rightarrow t$ of $\mathcal{E}$,
2. there is a substitution $\sigma$ such that $p_i \sigma = v_i$ for all $1 \leq i \leq n$,
3. there is a subterm $g(s_1, \ldots, s_m)$ of $t$ such that $s_i \sigma \downarrow u_i$ for all $1 \leq i \leq m$.

$\rightarrow^*$ is the reflexive transitive closure of $\cup_{e \in \mathcal{E}} \rightarrow$.

**Definition 14 (Call trees)** Let $\pi : t \downarrow v$ be a reduction proof. Its call trees is the set of tree $\Theta_\pi$ obtained by only keeping active terms in $\pi$.

That is, if $t$ is passive:

$$b \in \mathcal{F} \cup \mathcal{C} \quad \pi_i : t_i \downarrow v_i$$

then

$$\Theta_\pi = \bigcup \Theta_{\pi_i}.$$  

If $t$ is active:

$$\xi(p_1, \ldots, p_n) \rightarrow r \in \mathcal{E} \quad \sigma \in \mathcal{S} \quad p_i \sigma = v_i$$

then $\Theta_\pi$ only contains the tree whose root is $\langle \xi, v_1, \ldots, v_n \rangle$ and children are $\Theta_\rho$.

When using the semantics with memoisation, the call-dag of a state is defined similarly to the call-tree, but using a directed acyclic graph instead of a tree, that is by adding links from (Read)-judgements to the corresponding (Update)-judgement. Notice that (Read)-judgement are always leaves of the proof so we do not loose any part of the proof by doing so.

**Definition 15 (Call dags)** Let $\pi : \langle C, t \rangle \downarrow \langle C', v \rangle$ be a reduction proof. Its call trees is the set of trees obtained by keeping only active terms and its call dag $\Theta_\pi$ is obtained by keeping only active terms and replacing each semi-active term by a link to the corresponding (via Lemma 12) active term.

Observe that the notion of call-tree introduced here is equivalent to the one from [?] in the deterministic case (see Appendix C).

Call trees and call dags are a tool to easily count the number of active judgements in a derivation. So, now, in order to apply Propositions 8 or 11 we need to (i) bound the size (number of nodes) in the call tree or call dag and (ii) bound the size of the states appearing in the call tree (dag).

3 Ordering, Quasi-Interpretations

3.1 Termination Orderings

**Definition 16 (Precedence)** Let $\mathcal{E} = \langle \mathcal{X}, \mathcal{C}, \mathcal{F}, \mathcal{E} \rangle$ be a program. A precedence $\preceq_\mathcal{F}$ is a partial ordering over $\mathcal{F} \cup \mathcal{C}$. We note $\approx_\mathcal{F}$ the associated equivalence relation. A precedence is compatible with $\mathcal{E}$ if for each equation $\xi(p_1, \ldots, p_n) \rightarrow r$ and each symbol $b$ appearing in $r$, $b \preceq_\mathcal{F} \xi$. It is separating if for each $c \in \mathcal{C}, f \in \mathcal{F}, c \lang \mathcal{F} f$ (that is constructors are the smallest elements of $\lang_\mathcal{F}$ while functions are the biggest). It is fair is for each constructors $c, c'$ with the same arity, $c \approx_\mathcal{F} c'$ and it is strict if for each constructors $c, c', c'$ are incomparable.

**Definition 17 (Product extension)** Let $\prec$ be an ordering over a set $S$. Its product extension is an ordering $\prec_\mathcal{P}$ over tuples of elements of $S$ such that $(m_1, \ldots, m_k) \prec_\mathcal{P} (n_1, \ldots, n_k)$ if and only if (i) $\forall i, m_i \preceq n_i$ and (ii) $\exists j$ such that $m_j \sim n_j$. 

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Definition 18 (PPO) Given a separating precedence $\preceq_{\mathcal{F}}$, the recursive path ordering $\prec_{\text{rpo}}$ is defined in Figure 4.

If $\prec_{\mathcal{F}}$ is strict (resp. fair) and separating, then the ordering is the Product Path Ordering (PPO) (resp. the extended Product Path Ordering EPPO).

This is just a particular case of Recursive Path Orderings (RPO), but here we will only use the (extended) Product Path Ordering.

An equation $l \rightarrow r$ is decreasing if we have $r \prec_{\text{rpo}} l$. A program is ordered by $\prec_{\text{rpo}}$ if there is a separating precedence on $\mathcal{F}$ such that each equation is decreasing. Recall that $\prec_{\text{rpo}}$ guarantees termination ([?]).

Notice that in our case, since patterns cannot contain function symbols, if there is a precedence such that the program is ordered by the corresponding $\prec_{\text{rpo}}$, then there is also a compatible one with the same condition.

Lemma 19 Let $\mathcal{F}$ be a program and $\prec_{\mathcal{F}}$ be a separating precedence compatible with it. Let $\eta = \langle \mathcal{F}, v_1, \cdots, v_n \rangle$ be a state in a call tree (resp. dag) $T$ and $\eta' = \langle g, u_1, \cdots, u_m \rangle$ be a descendant of $\eta$ in $T$. Then $g \preceq_{\mathcal{F}} \mathcal{F}$.

Proposition 20 (Computing by rank) Let $\mathcal{F}$ be a program and $\prec_{\mathcal{F}}$ be a separating precedence compatible with it. Let $T$ be a call tree (resp. dag) and $\eta = \langle \mathcal{F}, v_1, \cdots, v_n \rangle$ be a node in it. Let $A$ be the maximum number of descendants of a node with the same arity:

$$A = \max_{\eta = \langle \mathcal{F}, v_1, \cdots, v_n \rangle \in T} \# \{ \eta' = \langle g, u_1, \cdots, u_m \rangle\mid \eta' \text{ is an ancestor of } \eta \text{ and } g \preceq_{\mathcal{F}} \mathcal{F} \}$$

The size of $T$ is polynomially bounded by $A$.

Thus to bound the number of active rules in a derivation (hence bound the derivation’s size by Prop. 8 or 11) it suffices to establish the bound rank by rank.

Proposition 21 Let $\mathcal{F}$ be a program terminating by PPO, $T$ be a call dag and $\eta = \langle \mathcal{F}, v_1, \cdots, v_n \rangle$ be a node in $T$. The number of descendants of $\eta$ in $T$ with the same rank as $\eta$ is polynomially bounded by $|\eta|$.

This is point (2) in the proof of Lemma 51 in [?]. Notice that it only works on a call dag, because identical nodes are identified, and not on a call tree.

### 3.2 Quasi-interpretations

We restrict ourselves to additive QIs as defined in [?].

Definition 22 (Assignment) An assignment of a symbol $b \in \mathcal{F} \cup \mathcal{C}$ whose arity is $n$ is a function $\langle b \rangle : (\mathbb{R})^n \rightarrow \mathbb{R}$ such that:

(Subterm) $\langle b \rangle(X_1, \cdots, X_n) \geq X_i$ for all $1 \leq i \leq n$.

(Weak Monotonicity) $\langle b \rangle$ is increasing (not strictly) wrt each variable.

(Polynomial) $\langle b \rangle$ is bounded by a polynomial.

We extend assignments $\langle \cdot \rangle$ to terms canonically.

Given a term $t$ with $n$ variables, the assignment $\langle t \rangle$ is a function $(\mathbb{R})^n \rightarrow \mathbb{R}$ defined by the rules:

$$(\langle b \rangle(t_1, \cdots, t_n)) = \langle b \rangle(\langle t_1 \rangle, \cdots, \langle t_n \rangle)$$

Given two functions $f : (\mathbb{R})^n \rightarrow \mathbb{R}$ and $g : (\mathbb{R})^m \rightarrow \mathbb{R}$ such that $n \geq m$, we say that $f \geq g$ iff $\forall X_1, \ldots, X_n : f(X_1, \ldots, X_n) \geq g(X_1, \ldots, X_m)$.

Consequently we have: $\langle s \rangle \geq \langle t \rangle$ if $t$ is a subterm of $s$. Then, for every substitution $\sigma$, $\langle s \rangle \geq \langle t \rangle$ implies that $\langle s\sigma \rangle \geq \langle t\sigma \rangle$.

Definition 23 (Quasi-interpretation) A program assignment $\langle \cdot \rangle$ is an assignment of each program symbol. An assignment $\langle \cdot \rangle$ of a program is a quasi-interpretation (QI) if for each equation $l \rightarrow r$, $\langle l \rangle \geq \langle r \rangle$.

In the following, $\langle \cdot \rangle$ will always denote a QI.

Lemma 24 Let $v$ be a constructor term, $|v| \leq \langle v \rangle \leq a |v|$ for a constant $a$.

Lemma 25 Assume $\mathcal{F}$ has a QI. Let $\eta = \langle \mathcal{F}, v_1, \cdots, v_n \rangle$ and $\eta' = \langle g, u_1, \cdots, u_m \rangle$ be two states such that $\eta \rightarrow^* \eta'$. Then, $\langle g(u_1, \cdots, u_m) \rangle \leq \langle \mathcal{F}(v_1, \cdots, v_n) \rangle$.

Corollary 26 Let $\mathcal{F}$ be a program admitting a QI and $\pi : (C, t = \mathcal{F}(v_1, \cdots, v_n)) \Downarrow (C', v)$ be a derivation. The size of any active term in $\pi$ is bounded by $P(|v|)$ for a given polynomial $P$. 

6
\[
\begin{align*}
    s = t_i \text{ or } s & \prec_{\text{rpo}} t_i & f \in \mathcal{F} \cup \mathcal{C} \\
    s & \prec_{\text{rpo}} f(t_1, \ldots, t_n) & \forall i \; s_i \prec_{\text{rpo}} f(t_1, \ldots, t_n) & g \prec_{\mathcal{F}} f & f, g \in \mathcal{F} \cup \mathcal{C} \\
    (s_1, \ldots, s_n) & \prec_{\text{rpo}}^{\mathcal{F}} (t_1, \ldots, t_n) & f \equiv_{\mathcal{F}} g & \forall i \; s_i \prec_{\text{rpo}} f(t_1, \ldots, t_n) & g(s_1, \ldots, s_n) \prec_{\text{rpo}} f(t_1, \ldots, t_n) & f, g \in \mathcal{F} \cup \mathcal{C}
\end{align*}
\]

Figure 4. Definition of \( \prec_{\text{rpo}} \)

Now, if we combine this bound on the size of active terms together with the bound on the number of active terms of Proposition 21, we can apply Proposition 11 and conclude that programs terminating by PPO and admitting a QI are \( \text{PTIME} \) computable. Actually, the converse is also true:

**Theorem 27 (P-criterion, ([?]))** The set of functions computable by programs that (i) terminate by PPO and (ii) admit a QI, is exactly \( \text{PTIME} \).

In order to achieve the polynomial bound, it is necessary to use the cbv semantics with memoisation.

### 4 Blind Abstractions of Programs

#### 4.1 Definitions

Our idea is to associate to a given program \( f \) an abstract program \( \overline{f} \) obtained by forgetting each piece of data and replacing it by its size as a unary integer. In this way, even if \( f \) is deterministic, the associated \( \overline{f} \) will in general not be deterministic.

For that we first define a target language:

- variables: \( \overline{\lambda} = \lambda \)
- function symbols: \( \overline{\mathcal{F}} = \{ \overline{f}, f \in \mathcal{F} \} \)
- constructor symbols: \( \overline{\mathcal{C}} = \{ s, 0 \} \) where \( s \) (resp. 0) has arity 1 (resp. 0).

This language defines a set of constructor terms \( T(\overline{\mathcal{C}}) \), a set of terms \( T(\overline{\mathcal{C}}, \overline{\mathcal{F}}, \overline{\lambda}) \) and a set of patterns \( \overline{\mathcal{P}} \).

The **blinding map** is the natural map \( B : T(\mathcal{C}, \mathcal{F}, \lambda) \rightarrow T(\overline{\mathcal{C}}, \overline{\mathcal{F}}, \overline{\lambda}) \) obtained by replacing constructors of arity 1 with \( s \), and those of arity 0 by \( 0 \). It induces similar maps on constructor terms and patterns. We will write \( \overline{t} \) (resp. \( \overline{p} \)) for \( B(t) \) (resp. \( B(p) \)).

The blinding map extends to *equations* in the expected way; given a equation \( d = p \rightarrow t \) of the language \( (\lambda, \mathcal{C}, \mathcal{F}) \), we set \( \overline{d} = B(d) = \overline{p} \rightarrow \overline{t} \). Finally, given a program \( f = (\lambda, \mathcal{C}, \mathcal{F}, \mathcal{E}) \), its blind image is

\( \overline{f} = (\overline{\lambda}, \overline{\mathcal{C}}, \overline{\mathcal{F}}, \overline{\mathcal{E}}) \) where the equations are obtained by: \( \overline{\mathcal{E}} = \{ \overline{d} : d \in \mathcal{E} \} \). Observe that even if \( f \) is an orthogonal program, this will not necessarily be the case of \( \overline{f} \), because some patterns are identified by \( B \).

The denotational semantics of \( \overline{f} \) can be seen as a relation over the domain of tally integers.

#### 4.2 Complexity Definitions

**Definition 28 (Strongly polynomial)** We say a non-deterministic program \( f \) (of arity \( n \)) is strongly polynomial if there exists a polynomial \( p : \mathbb{N} \rightarrow \mathbb{N} \) such that for every sequence \( v_1, \ldots, v_n \), and a sequence \( \pi : f(v_1, \ldots, v_n) \downarrow u \), it holds that \( |\pi| \leq p(\sum_{i=1}^{n} |v_i|) \).

Of course similar definitions would also make sense for other complexity bounds than polynomial. In the case of a deterministic program, this definition coincides with that of a polynomial time program (in the model where rewriting steps are counted as unit step).

**Definition 29 (Blindly polynomial)** A program \( f \) is blindly polynomial if its blind abstraction \( \overline{f} \) is strongly polynomial.

Observe that:

**Fact 1** If a program \( f \) is blindly polynomial, then it is polynomial time (with the call-by-value semantics).

Indeed, it is sufficient to observe that any reduction sequence of \( f \) can be mapped by \( B \) to a reduction sequence of \( \overline{f} \). The converse property is not true. Observe for that our running example in Figure 5: note that \( f \) terminates in polynomial time but this is not the case for \( \overline{f} \). Indeed if we denote \( n = \sum_{i=1}^{n} |v_i| \) we have that \( \overline{f(n)} \) can be reduced in an exponential number of steps, with a \( \pi : \overline{f(n)} \downarrow 2^n \).

Note that the property of being blindly polynomial is indeed a strong condition, because it means in some
sense that the program will terminate with a polynomial bound for reasons which are indifferent to the actual content of the input but only depend on its size.

Now we want to discuss the behavior of the blinding map with respect to criteria on TRS based on recursive path orderings (RPO) and QIs ([?]).

### 4.3 Blinding and Recursive Path Orderings

**Lemma 30** Let \( \mathcal{F} \) be a program: if \( \mathcal{F} \) terminates by PPO then \( \bar{\mathcal{F}} \) terminates by PPO.

Indeed \( \mathcal{F} \) and \( \bar{\mathcal{F}} \) are in one-one correspondence, and it is easy to observe that: if \( \prec_{\mathcal{F}} \) is a precedence which gives a PPO ordering for \( \mathcal{F} \), then the corresponding \( \prec_{\bar{\mathcal{F}}} \) does the same for \( \bar{\mathcal{F}} \).

The converse is not true, see for example Figure 5 where the first equation does terminate by PPO on the blind side but not on the non-blind side. However, we have:

**Proposition 31** Let \( f \) be a program. The three following statements are equivalent: (i) \( f \) terminates by EPPO, (ii) \( \bar{f} \) terminates by EPPO, (iii) \( \bar{\mathcal{F}} \) terminates by PPO.

### 4.4 Blinding and Quasi-interpretations

Assume the program \( f \) admits a quasi-interpretation \( \langle \cdot \rangle \). Then in general this does not imply that \( \bar{f} \) admits a quasi-interpretation. Indeed one reason why \( \langle \cdot \rangle \) cannot be simply converted into a quasi-interpretation for \( \bar{f} \) is because a quasi-interpretation might in general give different assignments to several constructors of the same arity, for instance when \( \langle s_0 \rangle (X) = X + 1 \) and \( \langle s_1 \rangle (X) = X + 2 \). Then when considering \( \bar{f} \) there is no natural choice for \( \langle \cdot \rangle \).

However, in most examples in practice, a restricted class of quasi-interpretations is used:

**Definition 32 (Uniform assignments)** An assignment for \( \bar{f} = (X, C, \mathcal{F}, \mathcal{E}) \) is uniform if all constructors of same arity have the same assignment: for each \( c, c' \in C, \text{arity}(c) = \text{arity}(c') \) implies \( \langle c \rangle = \langle c' \rangle \). A quasi-interpretation of \( f \) is uniform if it is defined by a uniform assignment.

Now we have:

**Proposition 33** The program \( f \) admits a uniform quasi-interpretation if \( \bar{f} \) admits a quasi-interpretation.

### 5 Linear Programs and Call-by-Value Evaluation

Now we want to use the blinding transformation to examine properties of programs satisfying the P-criterion (Theorem 27).

#### 5.1 Definitions and Main Property

**Definition 34 (Linearity)** Let \( f \) be a program terminating by a RPO and \( g \) be a function symbol in \( \bar{f} \). We say \( g \) is linear in \( f \) if, in the rhs term of any equation for \( g \), there is at most one occurrence of a function symbol \( h \) with same precedence as \( g \). The program \( f \) is linear if all its function symbols are linear.

**Theorem 35** Let \( f \) be a (possibly non deterministic) program which i) terminates by PPO, ii) admits a quasi-interpretation, iii) is linear. Then \( f \) is strongly polynomial.

Note that the differences with the P-criterion Theorem from [?] (Theorem 27) are that: the program here needs not be deterministic, but linearity is assumed for all function symbols. As a result the bound
holds not only for the memoisation semantics, but for the plain call-by-value semantics (and for all execution sequences). Observe that linearity is here a sufficient condition to avoid the use of memoisation, which is problematic with non-determinism (see the end of Section 2.1). As blinding produces non-deterministic programs we thus consider blinding of linear programs.

**Proposition 36** Let \( \varphi \) be a (possibly non-deterministic) program which i) terminates by PPO, ii) admits a uniform quasi-interpretation, iii) is linear. Then \( \varphi \) is blindly polynomial.

### 5.2 Bellantoni-Cook Programs

Let BC the class of Bellantoni-Cook programs, as defined in [7]. Each BC definition can be turned into a linear program terminating by PPO, following [7]. As suggested in [7], we can build an additive quasi-interpretation for each such program, which happens to be uniform. As a consequence, we have:

**Theorem 37** If \( \varphi \) is a program of BC, then \( \varphi \) is blindly polynomial.

### 6 Extending the P-criterion

Blind abstraction suggest to consider not only the PPO ordering from the P-criterion, but also an extension which is invariant by the blinding map, the EPPO ordering (see Subsection 4.3). It is thus natural to ask whether EPPO enjoys the same property as PPO. We prove in this section that with EPPO we can still bound the size of the call-dag and thus generalize the P-criterion. Then, we will also consider the bounded value property which is an extension of the notion of QI. Here, we bound the number of nodes in the call-dag with a given precedence. Then, Prop. 20 bounds the total number of nodes in the call-dag.

**Fact 2** Since we’re working over words (unary constructors), patterns are either constructors terms (that is, words), or have the form \( p = s_1(s_2 \ldots s_n(x) \ldots) \). In the second case, we will write \( p = \Omega(x) \) with \( \Omega = s_1 s_2 \ldots s_n. \)

The length of a pattern is the length of the corresponding word: \(|p| = |\Omega|\)

**Proposition 38** In a program terminating by PPO or EPPO, the only calls at the same precedence that can occur are of the form

\[
\varphi(p_1, \ldots, p_n) \rightarrow C[g_1(q_1^1, \ldots, q_{m_1}^1), \ldots, g_p(q_1^p, \ldots, q_{m_p}^p)]
\]

where \( C[\cdot] \) is some context, \( \varphi \approx \approx \) \( g^k \) and \( p_i, q_i \) are patterns. Moreover, each variable appearing in a \( q_i \) appears in \( p_i \).

Since we will only consider individual calls, we will put in the context all but one of the \( g_k \):

\[
\varphi(p_1, \ldots, p_n) \rightarrow C[g(q_1, \ldots, q_m)]
\]

**Definition 39 (Production size)** Let \( \varphi \) be a program terminating by EPPO. Let \( \varphi(p_1, \ldots, p_n) \rightarrow C[g(q_1, \ldots, q_m)] \) be a call in it where \( \varphi \approx \approx g \). The production size of this call is \( \max_i \{|q_i|\} \). The production size of an equation is the greatest production size of any call (at the same precedence) in it. That is if we have an equation \( e = \varphi(p_1, \ldots, p_n) \rightarrow C[g(q_1, \ldots, q_m)] \) where \( \varphi \approx \approx g_k \) then its production size is \( K_e = \max \max_{g \approx g_k} \max_{e \rightarrow K_e} \). The production size of a function symbol is the maximum production size of any equation defining a function with the same precedence: \( K_h = \max_{g \approx \approx h} \max_{e \rightarrow K_e} \).

**Definition 40 (Normality)** Let \( \varphi \) be a program. A function symbol \( h \) in it is normal if the patterns in the definitions of functions with the same precedence are bigger than its production size:

\[
\forall g \approx \approx h, \forall g(q_1, \ldots, q_m) \rightarrow r \in E, |q_i| \geq K_h
\]

Let \( \varphi \) be a program. It is normal if all function symbols in it are normal.

This means that during recursive calls, every constructor produced at a given moment will be consumed by the following pattern matching.

**Lemma 41** A EPPO-program can be normalised with an exponential growth in the size of the program.

The exponential is in the difference between the size of the biggest production and the size of the smallest pattern (with respect to each precedence).
Notice that the exponential growth is indeed in the initial size of the program and does not depend on the size of any input. Since the size of the call-dag is bounded by the size of the inputs, this does not hamper the polynomial bound. The normalization process preserves termination by PPO and EPPO, semantics and does not decrease time complexity. Hence, bounding the time complexity of the normalized program is sufficient to bound the time complexity of the initial program. In the following, we only consider normal programs.

Let \( f \) be a program and \( g \) be a function, we will enumerate all the symbol of same precedence as \( g \) in the rhs of \( f \) and label them \( g^1, \ldots, g^n \). This is simply an enumeration, not a renaming of the symbols and if a given symbol appears several times (in several equations or in the same one), it will be given several labels (one for each occurrence) with this enumeration. Now, a path in the call-dag staying only at the same precedence as \( g \) is canonically identified by a word over \( \{g^1, \ldots, g^n\} \). We write \( \eta \rightrightarrows \eta' \) to denote that \( \eta \) is an ancestor of \( \eta' \) and \( \omega \) is the path between them.

**Lemma 42** Let \( \eta_1 = (f, v_1, \ldots, v_n) \) and \( \eta_2 = (g, u_1, \ldots, u_m) \) be two states such that \( \eta_1 \rightrightarrows \eta_2 \) and both function symbols have the same precedence. Then \( |v_i| \geq |u_i| \) for all \( i \) and there exists \( j \) such that \( |v_j| > |u_j| \).

**Corollary 43** Let \( \eta = (f, v_1, \ldots, v_n) \) be a state. Any branch in the call-dag starting from \( \eta \) has at most \( n \times (\max |v_i|) \) nodes with the same precedence as \( f \).

**Lemma 44** Suppose that we have labels \( \alpha, \beta \) and \( \gamma \) and nodes such that \( \eta \rightrightarrows \eta_1 \rightrightarrows \eta_1' \rightrightarrows \eta_1'' \) and \( \eta \rightrightarrows \eta_2 \rightrightarrows \eta_2' \rightrightarrows \eta_2'' \). Then \( \eta_1'' = \eta_2'' \).

**Corollary 45** Let \( \omega_1, \omega_2 \) be words and \( \alpha \) be a label such that: \( \eta \rightrightarrows \eta_1 \rightrightarrows \eta_1' \) and \( \eta \rightrightarrows \eta_2 \rightrightarrows \eta_2' \). If \( \omega_1 \) and \( \omega_2 \) have the same commutative image, then \( \eta_1' = \eta_2' \).

So, when using the semantics with memoisation, the number of nodes (at a given precedence) in the call-dag is roughly equal to the number of paths modulo commutativity. So any path can be associated with the vector whose components are the number of occurrences of the corresponding label in it.

**Proposition 46** Let \( T \) be a call-dag and \( \eta = (f, v_1, \ldots, v_n) \) be a node in it. Let \( I = n \times (\max |v_j|) \) and \( M \) be the number of functions with the same precedence as \( f \). The number of descendants of \( \eta \) in \( T \) with the same precedence as \( f \) is bounded by \( (I+1)^M \), that is a polynomial in \( |\eta| \).

**Definition 47 (Bounded Values)** A program \( f = (X, C, F, E) \) has polynomially bounded values iff for every function symbol \( g \in F \), there is a polynomial \( p_g : \mathbb{N} \rightarrow \mathbb{N} \) such that for every state \( \eta' \) appearing in a call tree for \( \eta = (g, v_1, \ldots, v_n) \), \( |\eta'| \leq p_g(|\eta|) \).

**Theorem 48** The set of functions computed by programs terminating by EPPO and having polynomially bounded values is exactly PTIME.

**Theorem 49** Let \( f \) be a deterministic program terminating by EPPO. Then the following two conditions are equivalent:
1. \( f \) has polynomially bounded values;
2. \( f \) is polytime in the call-by-value semantics with memoisation.

7 Conclusions

In this paper, blind abstractions of first-order functional programs have been introduced and exploited in understanding the intensional expressive power of quasi-interpretations. In particular, being blindly polytime has been proved to be a necessary condition in presence of linear programs, product path-orderings and uniform quasi-interpretations. This study has lead us to some other interesting results about the lattice-structure of uniform quasi-interpretations and the possibility of extending product path-orderings preserving polytime soundness.

Further work includes investigations on conditions characterizing the class of programs (or proofs) captured by quasi-interpretation. In particular, it is still open whether being blindly polytime is a necessary and sufficient conditions for a program to have a quasi-interpretation (provided some sort of path-ordering for it exists).
References


APPENDIX

A Proofs of Section 2

Proof of Lemma 4: Proof: Because there is only a finite set of equations in a program, each of them leading to at most a polynomial increase in size. □

Proof of Lemma 6: Proof: We can either do a quick induction or look at the rules.
1. Because each passive rule decreases the depth of the term.
2. Because passive rules only produce proper subterms or active terms.
3. It is the number of subterms of t.

Proof of Proposition 7: Proof: Obtained by combining the results of Lemmas 4 and 6. □

Proof of Proposition 11:
Proof: Proposition 7 still allows to bound the size of dependences, hence the number of passive judgements. Since semi-active judgements form a subset of the set of leaves in π and since the number of premises of a rule is statically bounded (by k, the maximum arity of a symbol), the number of semi-active judgements is polynomially bounded by the number of non-leaves judgements in π, hence by the number of active and passive judgements.

Proof of Lemma 12:
Proof: Because the couple can only be in the cache if an active judgement put it there. □

B Semantics

B.1 Discussion on cost for memoisation semantics

The naive model where each rules takes unary time to be executed is not very realistic with the memoisation semantics. Indeed, each (Read) and (Update) rule needs to perform a lookup in the cache and this would take time proportional to the size of the cache (and the size of elements in it). However, the size of the final cache is exactly the number of (Update) rules in the proof (because only (Update) modify the cache) and the size of terms in the cache is bounded by the size of active terms (only active terms are stored in the cache).
So Proposition 11 yields to a polynomial bound on the execution time.

### B.2 Non-determinism and memoisation

Memoisation cannot be used with non-confluent programs. Indeed, the same function call can lead to several different results. Several ideas could be used to define a memoisation semantics for non-confluent programs, but they all have their problems, hence we won’t use any of them here and only use memoisation when the program is confluent. For sufficient conditions to decide if a program is confluent or not, refer, typically, to Huet’s work [?].

Here are, nevertheless, several different hints on how to design a memoisation semantics for non-confluent programs.

- **(No lookup):** The cache is never used and everything is recomputed every time. This is clearly not satisfactory since this is exactly the same thing as the cbv semantics.

- **(Cache first):** If a function call is in the cache, use it. This is clearly not satisfactory because two identical calls will lead to the same result even if there was some non confluence involved.

- **(Random lookup):** When performing a call, randomly choose between using a result in the cache and doing the computation. This is not satisfactory because we can choose to always recompute things, hence exactly mimicking the cbv semantics and the worst case will be the same (no time is gained).

- **(Random lookup with penalties):** Same as random lookup, but after (re)computing a function call, check if is was already in the cache. If so, abort (because one should have looked for the result in the cache rather than recomputing it). This seems rather satisfactory but brings in lots of problems for analysis. In particular, calls following different paths but leading to the same result will be identified even if they shouldn’t.

### C Call trees, call dags

**Fact 3 (call tree arity)** Let \( f \) be a program. There exists a fixed integer \( k \), such that given a derivation \( \pi \) of a term of the program, and a tree \( T \) of \( \Theta_\pi \), all nodes in \( T \) have at most \( k \) sons.

**Proof:** For each rhs term \( r \) of an equation of \( f \) consider the number of maximal subterms of \( r \) with a function as head symbol; let then \( k \) be the maximum of these integers over the (finite) set of equations of \( f \). □

**Lemma 50** Let \( \pi \) be a proof, \( T \) be a call-tree (call-dag) in \( \Theta_\pi \) and consider two states \( \eta = \langle f, v_1, \cdots, v_n \rangle, \eta' = \langle g, u_1, \cdots, u_m \rangle \) such that \( \eta' \) is a child of \( \eta \). Let \( t = f(v_1, \cdots, v_n) \) be the active term corresponding to \( \eta \) and \( s = g(u_1, \cdots, u_m) \) be the active term corresponding to \( \eta' \). Let \( e \) be the equation activated by \( t \) in \( \pi \). Then, \( \eta \overset{e}{\Rightarrow} \eta' \).

Conversely, if \( \eta \overset{e}{\Rightarrow} \eta' \) and \( e \) is activated by \( t \) then \( \eta' \) is a child of \( \eta \) in \( T \).

**Proof:** Condition 1 and 2 correspond to the application of the active rule. Condition 3 correspond to the application of several passive rules to get ride of the context and evaluate the parameters of \( g \). □

This means that our definition of call trees is equivalent to the one in [?]. However, we need an alternate definition in order to deal with non determinism.

### D Proofs of Section 3

**Proof of Proposition 20:**

**Proof:** Let \( f \) be a function symbol. Its rank is \( rk(f) = \max_{g < f} rk(g) + 1 \).

Let \( d \) be the maximum number of function symbols in a rhs of \( f \) and \( k \) be the maximum rank. We will prove by induction that there are at most \( B_i = \sum_{j<i} d^{k-j} \times A^{k-j+1} \) nodes in \( T \) at rank \( i \).

The root has rank \( k \). Hence, there are at most \( A = d^{k-k} A^{k-k+1} = B_k \) nodes at rank \( k \).

Suppose that the hypothesis is true for all ranks \( j > i \). Each node has at most \( d \) children. Hence, there are at most \( d \sum_{j>i} B_j \) nodes at rank \( i \) whose parent has rank \( \neq i \). Each of these nodes has at most \( A \) descendants at rank \( i \), hence there are at most \( d \times A \times \sum_{j>i} B_j < B_i \) nodes at rank \( i \).

Since \( B_i < (k-i+1) \times d^k A^{k+1}, \sum B_i \) is polynomially bounded in \( A \) and so is the size of the call tree (dag). □

**Proof of Proposition 21:**

**Proof:** Because of the termination ordering, if \( \eta' = \langle g, u_1, \cdots, u_m \rangle \) is a descendant of \( \eta \) with \( f \approx_F g \),
then \(u_i\) is a subterm of \(v_i\). There are at most \(|v_i|\) such subterms and thus \(c\Pi(|v_i| + 1)\) possible nodes (where \(c\) is the number of functions with the same precedence as \(f\)).

Proof of Lemma 24:
Proof: By induction, the constant \(a\) depends on the constants in the QI of constructors.

Proof of Lemma 25:
Proof: Because \(g(u_1, \ldots, u_m)\) is a subterm of a term obtained by reduction from \(f(v_1, \ldots, v_n)\).

Proof of Corollary 26:
Proof: The size of an active term \(s = g(u_1, \ldots, u_m)\) is bounded by \(m \max |u_i| \leq m|s|\). By the previous Lemma, \(|s| \leq |t|\). But by polynomiality of QIs, \(|t| \leq Q(|v_i|)\). Since \(v_i\) are constructor terms, \(|v_i| \leq a|v_i|\).

E Proofs of Section 5

Proof of Theorem 35:
Proof: The quasi-interpretation provides a bound on the size of active judgements via Corollary 26. Linearity of the program ensures that the set of descendants of \(\eta = (f, v_1, \ldots, v_n)\) in a call tree with the same precedence as \(f\) is a branch, that is has size bounded by its depth. Termination by PPO ensure that if \(\eta' = (g, u_1, \ldots, u_m)\) is the child of \(\eta\) with \(f \approx g\) then \(|u_i| \leq |v_i|\) and there is at least one \(j\) such that \(|u_j| < |v_j|\). So, the number of descendants of \(\eta\) with the same precedence is bounded by \(\sum |v_i|\). This bounds the number of active judgements by rank.

So we can now use Propositions 20 and 8 and conclude that the size (number of rules) of any derivation \(t: t \downarrow v\) is polynomially bounded by \(|t|\).

Proof of Proposition 36:
Proof: Note that:
- \(f\) terminates by PPO, so \(\bar{f}\) also, by Lemma 30;
- the quasi-interpretation for \(f\) is uniform, so \(\bar{f}\) admits a quasi-interpretation, by Prop. 33;
- \(f\) is linear, so \(\bar{f}\) is also linear.

So by Theorem 35 we deduce that \(\bar{f}\) is strongly polynomial. Therefore \(f\) is blindly polynomial.

F Definitions and Proofs from Section 5.2

Let BC the class of Bellanoni-Cook programs, as defined in [?] written in a Term Rewriting System framework as in [?]. Function arguments are separated into either safe or normal arguments, recurrences can only occur over normal arguments and their result can only be used in a safe position. We use here a semi-colon to distinguish between normal (on the left) and safe (on the right) parameters.

Definition 51 (Bellanoni-Cook programs) The class BC is the smallest class of programs containing:
- (Constant) 0
- (Successors) \(s_i(x), i \in \{0, 1\}\)
- (Initial functions):
- (Projection) \(\pi_j^{n,m}(x_1, \ldots, x_n; x_{n+1}, \ldots, x_{n+m}) \rightarrow x_j\)
- (Predecessor) \(p(0) \rightarrow 0\) \(p(s_i(x)) \rightarrow x\)
- (Conditional) \(C(0, x, y) \rightarrow x\) \(C(s_0, x, y) \rightarrow y\)

and is closed by:
- (Safe recursion)

\[
\begin{aligned}
&f(0, x_1, \ldots, x_n; y_1, \ldots, y_m) \\
&g(x_1, \ldots, x_n; y_1, \ldots, y_m) \\
&f(s_i(z), x_1, \ldots, x_n; y_1, \ldots, y_m) \\
&h_i(z, x_1, \ldots, x_n; y_1, \ldots, y_m, \\
&f(z, x_1, \ldots, x_n; y_1, \ldots, y_m), i \in \{0, 1\}
\end{aligned}
\]

with \(g, h_i \in BC\) (previously defined);
- (Safe composition)

\[
\begin{aligned}
&f(x_1, \ldots, x_n; y_1, \ldots, y_m) \\
&g(h_1(x_1, \ldots, x_n), \ldots, h_p(x_1, \ldots, x_n); \\
&l_1(x_1, \ldots, x_n; y_1, \ldots, y_m) \\
&\vdots \\
&l_q(x_1, \ldots, x_n; y_1, \ldots, y_m)
\end{aligned}
\]

with \(g, h_i, l_j \in BC\);

It is easy to see that any BC program terminates by PPO and is linear.

Definition 52 (Quasi-interpretations for BC-programs) A BC-program admits the following quasi-interpretation:
- \(\langle 0 \rangle = 1\)
- \(\langle s_i \rangle(X) = X + 1\)
- \(\langle \pi \rangle(X_1, \ldots, X_{n+m}) = \max(X_1, \ldots, X_{n+m})\)
- \(\langle g \rangle(X) = X\)
- \(\langle C \rangle(X, Y, Z) = \max(X, Y, Z)\)
For functions defined by safe recursion of composition, \( \langle f \rangle(X_1, \ldots, X_n; Y_1, \ldots, Y_m) = q_f(X_1, \ldots, X_n) + \max(Y_1, \ldots, Y_m) \) with \( q_f \) defined as follows:

- \( q_f(A, X_1, \ldots, X_n) = A(q_{\eta_0}(A, X_1, \ldots, X_n) + q_{\eta_1}(A, X_1, \ldots, X_n)) \) if \( f \) is defined by safe recursion;
- \( q_f(X_1, \ldots, X_n) = \sum q_{\eta_i}(X_1, \ldots, X_n) \) if \( f \) is defined by safe composition.

Theorem 37 If \( f \) is a program of BC, then \( f \) is blindly polynomial.

Proof: It is sufficient to observe that if \( f \) is a BC program, then it is linear and terminates by PPO, and the quasi-interpretation given above is uniform. Therefore by Proposition 36, \( f \) is blindly polynomial. \( \Box \)

G Proofs of Section 6

Sketch of proof for Lemma 41: Proof: The idea is to extend the small pattern matchings so that their length reaches the length of the biggest production. This is illustrated by the following example:

\[
\begin{align*}
    f(s_1(s_1(x)))) & \rightarrow f(s_0(s_0(x))) \\
    f(s_0(x)) & \rightarrow f(x)
\end{align*}
\]

In this case, the biggest production has size 2 but the shortest pattern matching has only size 1. We can normalise the program as follows:

\[
\begin{align*}
    f(s_1(s_1(x)))) & \rightarrow f(s_0(s_0(x))) \\
    f(s_0(s_0(x))) & \rightarrow f(s_0(x)) \\
    f(s_0(s_1(x))) & \rightarrow f(s_1(x))
\end{align*}
\]

Even if the process does extend productions as well as patterns, it does terminate because only the smallest patterns, hence the smallest productions (due to termination ordering) are extended this way. \( \Box \)

Proof of Lemma 44: Proof: Since labels are unique, the function symbols in \( \eta''_1 \) and \( \eta''_2 \) are the same. It is sufficient to show that the \( i \)th components are the same and apply the same argument for the other parameters.

Let \( q, v, v'', u, u', u'' \) be the \( i \)th parameters of \( \eta, \eta_1, \eta''_1, \eta_2, \eta''_2 \) respectively. Since we’re working on words, an equation \( e \) has, with respect to the \( i \)th parameter, the form:

\[
f(\ldots, \Omega_e(x), \ldots) \rightarrow C(g(\ldots, \Omega'_e(x), \ldots))
\]

and normalization implies that \( |\Omega'_e| \leq |\Omega_e| \) (previous lemma).

So, in our case, we have:

\[
\begin{align*}
    q &= \Omega_a(x) \rightarrow \Omega'_a(x) = v = \Omega_b(x') \rightarrow \Omega'_b(x') \\
    q' &= v' = \Omega'_a(x'') = v'' \\
    q &= \Omega_b(y) \rightarrow \Omega'_b(y) = u = \Omega_a(y') \rightarrow \Omega'_a(y') \\
    q' &= u' = \Omega'_b(y'') = u''
\end{align*}
\]

Because of normalization, \( |\Omega_b| \geq |\Omega'_a| \). Hence \( x' \) is a suffix of \( x \), itself a suffix of \( q \). Similarly, \( x'' \) and \( y'' \) are suffixes of \( q \).

Since they’re both suffixes of the same word, it is sufficient to show that they have the same length in order to show that they are identical.

\[
\begin{align*}
    |x| &= |q| - |\Omega_a| \\
    |x'| &= |v| - |\Omega_b| = |q| - |\Omega_a| + |\Omega'_a| - |\Omega_b| \\
    |x''| &= |q| - |\Omega_a| + |\Omega'_a| - |\Omega_b| + |\Omega'_b| - |\Omega_a| \\
    |y''| &= |q| - |\Omega_b| + |\Omega'_b| - |\Omega_a| + |\Omega'_a| - |\Omega_b|
\end{align*}
\]

So \( x'' = y'' \) and thus \( v'' = u'' \). \( \Box \)

Proof of Corollary 45: Proof: This is a generalization of the previous proof, not an induction on it. If \( \omega_1 = \alpha_1 \ldots \alpha_n \) then the size in the last node is:

\[
|x'| = |q| - \sum |\Omega_{\alpha_i}| + \sum |\Omega'_{\alpha_i}| - |\Omega_\omega|
\]

which is only dependent on the commutative image of \( \omega_1 \). \( \Box \)

Proof of Proposition 46: Proof: Any descendant of \( \eta \) with the same precedence can be identified with a word over \( \{ f^1, \ldots, f^M \} \). By Corollary 43 we know that these words have length at most \( I \) and by Corollary 45 we know that it is sufficient to consider these words modulo commutativity.

Modulo commutativity, words can be identified to vectors with as many components as the number of letters in the alphabet and whose sum of components is equal to the length of the word.
Let $D^n_i$ be the number of elements from $\mathbb{N}^n$ whose sum is $i$. This is the number of words of length $i$ over a $n$-ary alphabet modulo commutativity.

Clearly, $D^n_i = D^n_{i-1}$ (take all the $n$-uple whose sum is $i - 1$, add 1 to the first component, you obtain $D^n_i$ different $n$-uple whose sum of components is $i$).

Now, to count $D^n_i$, proceed as follows: Choose a value $j$ for the first component, then you have to find $n - 1$ components whose sum is $i - j$, there are $D^n_{i-j}$ such elements.

$$D^n_i = \sum_{0 \leq j \leq i} D^n_{i-j} = \sum_{0 \leq j \leq i} D^n_{i-1} \leq (i + 1) \times D^n_{i-1} \leq (i + 1)^{n-1} \times D^1_i \leq (i + 1)^n$$

□

H Semi-lattices of Quasi-Interpretations

The study of necessary conditions on programs satisfying the P-criterion has drawn our attention to uniform quasi-interpretations. This suggests to consider quasi-interpretations with fixed assignments for constructors and to examine their properties as a class.

Definition 53 (Compatible assignments) Let $\mathcal{F}$ be a program and $\langle \cdot \rangle_1, \langle \cdot \rangle_2$ be two assignments for $\mathcal{F}$. We say that they are compatible if for any constructor symbol $c$ we have:

$$\langle c \rangle_1 = \langle c \rangle_2.$$  

A family of assignments for $\mathcal{F}$ is compatible if its elements are pairwise compatible. We use these same definitions for quasi-interpretations.

Each choice of assignments for constructors thus defines a maximal compatible family of quasi-interpretations for a program $\mathcal{F}$: all quasi-interpretations for $\mathcal{F}$ which take these values on $C$.

We consider on assignments the extensional order $\leq$:

$$\langle \cdot \rangle_1 \leq \langle \cdot \rangle_2 \iff \forall f \in \mathcal{C} \cup \mathcal{F}, \forall \vec{x} \in (\mathbb{R}^+)^k, \quad \langle f \rangle_1(\vec{x}) \leq \langle f \rangle_2(\vec{x}).$$

Given two compatible assignments $\langle \cdot \rangle_1, \langle \cdot \rangle_2$ we denote by $\langle \cdot \rangle_1 \wedge \langle \cdot \rangle_2$ the assignment $\langle \cdot \rangle_0$ defined by:

$$\forall c \in C, \langle c \rangle_0 = \langle c \rangle_1 \wedge \langle c \rangle_2$$

$$\forall f \in F, \langle f \rangle_0 = \langle f \rangle_1 \wedge \langle f \rangle_2$$

where $\alpha \wedge \beta$ denotes the greatest lower bound of $\{\alpha, \beta\}$ in the pointwise order. Then we have:

Proposition 54 Let $\mathcal{F}$ be a program and $\langle \cdot \rangle_1, \langle \cdot \rangle_2$ be two quasi-interpretations for it, then $\langle \cdot \rangle_1 \wedge \langle \cdot \rangle_2$ is also a quasi-interpretation for $\mathcal{F}$.

To establish this Proposition we need intermediary Lemmas. We continue to denote $\langle \cdot \rangle_0 = \langle \cdot \rangle_1 \wedge \langle \cdot \rangle_2$:

Lemma 55 For any $f$ of $\mathcal{F}$ we have that $\langle f \rangle_0$ is monotone and satisfies the subterm property.

Proof: To prove monotonicity, assume $\vec{x} \leq \vec{y}$, for the product ordering. Then, for $i = 1$ or 2: $\langle f \rangle_0(\vec{x}) = \langle f \rangle_1(\vec{x}) \wedge \langle f \rangle_2(\vec{y}) \leq \langle f \rangle_1(\vec{y}) \wedge \langle f \rangle_2(\vec{y}) = \langle f \rangle_0(\vec{y})$. It is also easy to check that $\langle f \rangle_0$ satisfies the subterm property.

□

Lemma 56 Let $t$ be a term. We have: $\langle t \rangle_0 \leq \langle t \rangle_i$, for $i = 1, 2$.

Proof: By induction on $t$, using the definition of $\langle f \rangle_0$ and $\langle c \rangle_0$, and the monotonicity property of Lemma 55.

□

Lemma 57 Let $g(p_1, \cdots, p_n) \rightarrow t$ be an equation of the program $f$. We have:

$$\langle g \rangle_0 \circ (\langle p_1 \rangle_0, \ldots, \langle p_n \rangle_0) \geq \langle t \rangle_0.$$  

Proof: As patterns only contain constructor and variable symbols, and by definition of $\langle \cdot \rangle_0$, if $p$ is a pattern we have: $\langle p \rangle_0 = \langle p \rangle_1 = \langle p \rangle_2$. Let $i = 1$ or 2; we have:

$$\langle g \rangle_1(\langle p_1 \rangle_1(\vec{x}), \ldots, \langle p_n \rangle_1(\vec{x})) \geq \langle t \rangle_1(\vec{x})$$

because $\langle \cdot \rangle_1$ is a QI,

$$\geq \langle t \rangle_0(\vec{x})$$

with Lemma 56.

So:

$$\langle g \rangle_1(\langle p_1 \rangle_0(\vec{x}), \ldots, \langle p_n \rangle_0(\vec{x})) \geq \langle t \rangle_0(\vec{x}), \quad \text{as} \quad \langle p_j \rangle_i = \langle p_j \rangle_0.$$
Write $\vec{y} = (y_1)_0(x), \ldots, (y_n)_0(x))$. As $(g)_1(\vec{y}) \geq (t)_0(x)$ and $(g)_2(\vec{y}) \geq (t)_0(x)$, by definition of $(g)_0$ we get $(g)_0(\vec{y}) \geq (t)_0(x)$, which ends the proof. \qed

Now we can proceed with the proof of Prop. 54:

**Proof:** [Proof of Prop. 54] Observe that Lemma 55 ensures that $(.)_0$ satisfies the monotonicity and the subterm conditions, and Lemma 57 that it satisfies the condition w.r.t. the equations of the program. The conditions for the constructors are also satisfied by definition. Therefore $(.)_0$ is a quasi-interpretation. \qed

**Proposition 58** Let $\mathfrak{f}$ be a program and $Q$ be a family of compatible quasi-interpretations for $\mathfrak{f}$, then $\land_{\{\} \in Q}()$ is a quasi-interpretation for $\mathfrak{f}$. Therefore maximal compatible families of quasi-interpretations for $\mathfrak{f}$ have an inferior semi-lattice structure for $\leq$.

**Proof:** It is sufficient to generalize Lemmas 55 and 57 to the case of an arbitrary family $Q$ and to apply the same argument as for the proof of Prop. 54. \qed