

Shifts and characterization of the elements of \mathcal{A}°

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We take the following notations : if \mathcal{A} be an algebra and $f \in \mathcal{A}^*$,

- $f_x : y \mapsto f(xy)$ (left shift);
- ${}_x f : y \mapsto f(yx)$ (right shift);
- ${}_x f_y : z \mapsto f(yzx)$;
- \mathcal{A}° denotes the finite dual (also called Sweedler's dual).

Theorem 0.1. Theorem by Abe (extended by Schützenberger's condition (property (vi) below)) - Characterization of the elements of \mathcal{A}° :

Let \mathcal{A} be an algebra and $f \in \mathcal{A}^*$. The following properties are equivalent :

- (i) ${}^t \mu(f) \in \mathcal{A}^* \otimes \mathcal{A}^*$;
- (ii) The family $(f_x)_{x \in \mathcal{A}}$ is of finite rank;
- (iii) The family $({}_x f)_{x \in \mathcal{A}}$ is of finite rank;
- (iv) The family $({}_x f_y)_{x, y \in \mathcal{A}}$ is of finite rank;
- (v) $f(xy) = \sum_{i=1}^n f_i(x)g_i(y)$;
- (vi) $\exists \alpha : \mathcal{A} \rightarrow k^{n \times n}$ and $(\lambda, \gamma) \in k^{1 \times n} \times k^{n \times 1}$ such that

$$\forall x \in \mathcal{A}, \quad f(x) = \lambda \alpha(x) \gamma;$$

- (vii) $\text{Ker}(f)$ contains an ideal of finite codimension (i.e. there exists an ideal I such that

$$\dim(\text{Ker}(f)/I) < \infty). \tag{1}$$

Proof : We begin by two lemmas :

Lemma 0.2. Let t be an element of $V \otimes W$. If we decompose t as $\sum_{i=1}^n f_i \otimes g_i$ with n minimal, then the f_i 's and the g_i 's form free families.

Proof : Indeed, if that was not the case, one could write that $y_n = \sum_{i=1}^{n-1} \alpha_i y_i$ and

$$t = x_n \otimes y_n + \sum_{i=1}^n x_i \otimes y_i = \sum_{i=1}^{n-1} (\alpha_i x_n + x_i) \otimes y_i.$$

But this equation shows that n is not minimal and it is impossible by hypothesis. \square

Lemma 0.3. Let U, V and W be vector spaces and $\phi : U \times V \rightarrow W$ a bilinear map. Then if $z \in \text{Im}(\phi)$ and if $z = \sum_{i=1}^n \phi(x_i, y_i)$ with n minimal among all decompositions of this form, the families $(x_i)_{1 \leq i \leq n}$ and $(y_i)_{1 \leq i \leq n}$ are free in their respective spaces.

Note that the first lemma is a consequence of the second one : take $\phi = \otimes$.

Proof : Assume, without loss of generality, that $x_n = \sum_{i=1}^{n-1} \alpha_i x_i$. Then

$$\begin{aligned} z &= \sum_{i=1}^{n-1} \phi(x_i, y_i) + \phi(x_n, y_n) \\ &= \sum_{i=1}^{n-1} \phi(x_i, y_i) + \phi\left(\sum_{j=1}^{n-1} \alpha_j x_j, y_n\right) \\ &= \sum_{i=1}^{n-1} \phi(x_i, y_i) + \sum_{j=1}^{n-1} \alpha_j \phi(x_j, y_n) \end{aligned}$$

since ϕ is bilinear. The same argument allows us to “factor” the x_i ’s in the two sums :

$$z = \sum_{i=1}^{n-1} \phi(x_i, y_i + \alpha_i y_n)$$

Therefore, there would be another decomposition of z with $n' = n - 1 < n$ terms. This is impossible since n is minimal. Therefore, $(x_i)_i$ is a free family. The same argument also applies for $(y_i)_i$. \square

The first lemma is in fact a consequence of the second (take $\mu = \otimes$).

1. (i) \Rightarrow (v) and (v) \Rightarrow (ii), (iii) : We assume that ${}^t\mu(f) \in \mathcal{A}^* \otimes \mathcal{A}^*$. Therefore ${}^t\mu(f) = \sum_{i=1}^n f_i \otimes g_i$. Now

$$\langle {}^t\mu(f) | x \otimes y \rangle = f(xy) = \sum_{i=1}^n \langle f_i \otimes g_i | x_i \otimes y_i \rangle = \sum_{i=1}^n f_i(x) g_i(y) \quad (2)$$

which is the condition (v).

Hence we can write that

$$\begin{aligned} ({}_y f) &= \sum_{i=1}^n g_i(y) f_i; \mathcal{A}f \subset \text{span}(f_i). \\ (f_x) &= \sum_{i=1}^n f_i(x) g_i; f_{\mathcal{A}} \subset \text{span}(g_i). \end{aligned}$$

The orbits and $\mathcal{A}f$ and $f_{\mathcal{A}}$ are of finite rank.

2. (v) \Rightarrow (iv) : If the g_i ’s are in $f_{\mathcal{A}}$, their shifts are also in this orbit (which is finite dimensional).

Thus, they are of finite rank and $g_i(yz) = \sum_{j=1}^m g_{ij}^1(y) g_{ij}^2(z)$.

$$f(yzx) = \sum_{i=1}^n \sum_{j=1}^m f_i(y) g_{ij}^1(y) g_{ij}^2(z), \forall z \in \mathcal{A}.$$

This is equivalent to the following equation :

$${}_x f_y = \sum_{i=1}^n \sum_{j=1}^m f_i(y) g_{ij}^1(y) g_{ij}^2 \quad (4)$$

Let us show now that $(g_i)_i \subset f_{\mathcal{A}}$. We assume now that n is minimal (it is always possible). Since ${}^t\mu$ is bilinear, the lemma 0.3 applied to eq. (2) implies that the f_i ’s and g_i ’s form free families.

$(g_i)_i$ is a free family of minimal rank. Hence, $(g_i)_i$ is a basis of $\text{span}_{x \in \mathcal{A}}(f_x)$ and the g_i ’s are in $f_{\mathcal{A}}$. Therefore Eq. (4) implies that

$$({}_x f_y)_{x, y \in \mathcal{A}}$$

is of finite rank.

3. (iii) \Rightarrow (vi) : Let $x_1 f, x_2 f, \dots, x_n f$ be a basis of the orbit ${}_{\mathcal{A}}f$ of f under the action of \mathcal{A} . ${}_1 f = f \in {}_{\mathcal{A}}f$. Thus,

$${}_1 f = (\lambda_1 \dots \lambda_n) \begin{pmatrix} x_1 f \\ \vdots \\ x_n f \end{pmatrix}$$

and

$${}_1 f(y) = (\lambda_1 \dots \lambda_n) \begin{pmatrix} x_1 f(y) \\ \vdots \\ x_n f(y) \end{pmatrix}.$$

Now, if we do another shift on the left, we stay in the same space generated by $x_1 f, x_2 f, \dots, x_n f$ and we have another decomposition which involves a (uniquely defined) matrix $\alpha(y)$:

$${}_y \begin{pmatrix} x_1 f(y) \\ \vdots \\ x_n f(y) \end{pmatrix} = \begin{pmatrix} yx_1 f(y) \\ \vdots \\ yx_n f(y) \end{pmatrix}$$

with $yx_i f(y) = \sum_{j=1}^n \alpha_{ij}(y) x_j f$. Therefore,

$${}_y \begin{pmatrix} x_1 f(y) \\ \vdots \\ x_n f(y) \end{pmatrix} = \begin{pmatrix} \alpha_{11}(y) & \dots & \alpha_{1n}(y) \\ \vdots & & \vdots \\ \alpha_{n1}(y) & \dots & \alpha_{nn}(y) \end{pmatrix} \begin{pmatrix} x_1 f(y) \\ \vdots \\ x_n f(y) \end{pmatrix}$$

$f(z) = {}_z f(1)$ thus

$$\begin{aligned} {}_z \left[(\lambda_1 \dots \lambda_n) \begin{pmatrix} x_1 f \\ \vdots \\ x_n f \end{pmatrix} \right] \Big|_{y=1} &= (\lambda_1 \dots \lambda_n) \begin{pmatrix} x_1 f(y) \\ \vdots \\ x_n f(y) \end{pmatrix} \Big|_{y=1} \\ &= \left[(\lambda_1 \dots \lambda_n) \alpha(z) \begin{pmatrix} x_1 f(y) \\ \vdots \\ x_n f(y) \end{pmatrix} \right] \Big|_{y=1} \end{aligned}$$

Finally,

$$f(z) = (\lambda_1 \dots \lambda_n) \alpha(z) \begin{pmatrix} x_1 f(1) \\ \vdots \\ x_n f(1) \end{pmatrix}.$$

4. (iii) \Rightarrow (i) : If $(x f)_{x \in \mathcal{A}}$ is of finite rank, there exists a linear representation $(\lambda, \alpha, \gamma)$ of f :

$$f(z) = (\lambda_1 \dots \lambda_n) \alpha(z) \begin{pmatrix} x_1 f(1) \\ \vdots \\ x_n f(1) \end{pmatrix}$$

with :

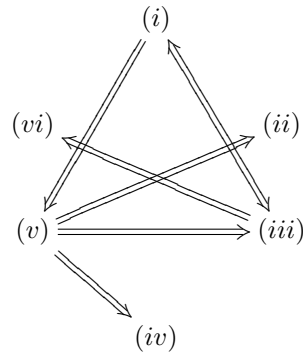
$$\begin{aligned} \alpha(x + y) &= \alpha(x) + \alpha(y), \\ \alpha(\delta x) &= \delta \alpha(x), \\ \alpha(xy) &= \alpha(x) \alpha(y). \end{aligned}$$

Therefore,

$$\begin{aligned}
 f(xy) &= \sum_{k,\ell=1}^n \lambda_k \alpha_{k\ell}(xy) \gamma_\ell \\
 &= \sum_{k,\ell=1}^n \sum_{j=1}^m \lambda_k \alpha_{kj}(x) \alpha_{j\ell}(y) \gamma_\ell \\
 &= \sum_{j=1}^m \left(\sum_{k=1}^n \lambda_k \alpha_{kj}(x) \right) \left(\sum_{\ell=1}^n \alpha_{j\ell}(y) \gamma_\ell \right)
 \end{aligned}$$

This equation tells us that $f(xy)$ and therefore ${}^t\mu(f)$ belongs to $\mathcal{A}^* \otimes \mathcal{A}^*$.

We proved the following implications :



The proofs of the following implications are straightforward now : $(ii) \Rightarrow (i)$, $(iv) \Rightarrow (i)$ and $(vi) \Rightarrow (i)$.