

# On the $(k, i)$ -coloring of cacti and complete graphs\*

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## Abstract

In the  $(k, i)$ -coloring problem, we aim to assign sets of colors of size  $k$  to the vertices of a graph  $G$ , so that the sets which belong to adjacent vertices of  $G$  intersect in no more than  $i$  elements and the total number of colors used is minimum. This minimum number of colors is called the  $(k, i)$ -chromatic number. We present in this work a very simple linear time algorithm to compute an optimum  $(k, i)$ -coloring of cycles and we generalize the result in order to derive a polynomial time algorithm for this problem on cacti. We also perform a slight modification to the algorithm in order to obtain a simpler algorithm for the close coloring problem addressed in [R.C. Brigham and R.D. Dutton, Generalized  $k$ -tuple colorings of cycles and other graphs, J. Combin. Theory B 32:90–94, 1982]. Finally, we present a relation between the  $(k, i)$ -coloring problem on complete graphs and weighted binary codes.

**Keywords:** Generalized  $k$ -tuple coloring,  $(k, i)$ -coloring, cactus, complete graphs.

## 1 Introduction

We consider finite undirected graphs without loops. A classic *coloring* (i.e. *proper coloring*) of a graph  $G$  is an assignment of colors (or natural numbers) to the vertices of  $G$  such that any two adjacent vertices are assigned different colors. The smallest number  $t$  such that  $G$  admits a coloring with  $t$  colors (a  $t$ -coloring) is called the *chromatic number* of  $G$  and is denoted by  $\chi(G)$ .

Several generalizations of the coloring problem were introduced in the literature, in particular, cases in which each vertex is assigned not only a color but a set of colors, under different restrictions. One of these variations is the  $k$ -tuple coloring introduced independently by Hilton, Rado and Scott [12], Stahl [17], and Bollobás and Thomason [3]. A  $k$ -tuple coloring of a graph  $G$  is an assignment of  $k$  colors to each vertex in such a way that adjacent vertices are assigned distinct colors.

Brigham and Dutton [4] generalize the concept of  $k$ -tuple coloring by introducing the concept of  $k : i$  coloring, in which the sets of colors assigned to adjacent vertices intersect in exactly  $i$  colors. The  $k : i$  coloring problem consists into finding the minimum number of colors in a  $k : i$  coloring of

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a graph  $G$ , which we denote by  $\chi_k^{(i)}(G)$ . By using a theorem of Stahl ([17], p.193), Brigham and Dutton obtain the following result on cycles.

**Theorem 1.1.** [4] *Let  $C_n$  be a cycle, with  $n = 2t + 1$ . Then,*

$$\chi_k^{(i)}(C_n) = \begin{cases} 2k - i & \text{if } k \leq i(t + 1), \\ 2k - i + 1 + \lfloor \frac{k-i(t+1)-1}{t} \rfloor = \lceil \frac{n(k-i)}{t} \rceil & \text{if } k > i(t + 1). \end{cases}$$

However, it is not so evident how to construct efficiently a  $k : i$  coloring of an odd cycle  $C_n$  with  $\chi_k^{(i)}(C_n)$  colors in polynomial time.

In this paper, we will deal with another generalization, known as  $(k, i)$ -coloring, introduced by Méndez-Díaz and Zabala in [15], in which the sets of colors assigned to adjacent vertices intersect in at most  $i$  colors. Formally, let  $G$  be a graph and let  $k, i, j$  be non-negative integers, with  $0 \leq i \leq k \leq j$ , then a  $(k, i)$ -coloring of  $G$  with  $j$  colors consists into assigning to each vertex  $v$  of  $G$  a set  $c(v) \subseteq \{1, \dots, j\}$  of size  $k$  such that each pair of adjacent vertices  $u, v$  verifies  $|c(v) \cap c(u)| \leq i$ . The minimum positive integer  $j$  such that  $G$  admits a  $(k, i)$ -coloring with  $j$  colors is called the  $(k, i)$ -chromatic number and it is denoted by  $\chi_k^i(G)$ . Note that for  $k = 1, i = 0$ , we have the classical coloring problem and thus  $\chi_1^0(G) = \chi(G)$  for any graph  $G$ . For arbitrary  $k$  and  $i = 0$ , we have the  $k$ -tuple coloring.

Note that  $\chi_k^i(G) \leq \chi_k^{(i)}(G)$ , since every  $k : i$ -coloring is in particular a  $(k, i)$ -coloring, but they are not necessarily equal, even for complete graphs. We will provide an example in Section 3.

From another point of view, a graph  $G$  is  $t$ -colorable if and only if there is a graph homomorphism from  $G$  to the complete graph on  $t$  vertices  $K_t$ , where an homomorphism from a graph  $G$  to a graph  $H$  is an edge preserving map between  $G$  and  $H$ . Denley [5] introduced the generalized Kneser graphs  $K(j, k, i)$  as follows. Let  $i, j, k$  be integers such that  $0 \leq i \leq k \leq j$ . Define the graph  $K(j, k, i)$  as the graph having as set of vertices the family of  $k$ -subsets of  $\{1, \dots, j\}$ , and where two  $k$ -subsets  $A$  and  $B$  are adjacent if and only if  $|A \cap B| \leq i$ . When  $i = 0$ , the graphs  $K(j, k, 0)$  are the well known Kneser graphs [6]. It is not difficult to see that a graph  $G$  admits a  $(k, i)$ -coloring with  $j$  colors if and only if there is a graph homomorphism from  $G$  to  $K(j, k, i)$ .

Méndez-Díaz and Zabala solved in [15] the  $(k, i)$ -coloring problem for some values of  $k$  and  $i$  on complete graphs, studied the notion of perfectness and criticality for the  $(k, i)$ -coloring problem and gave general bounds for the  $(k, i)$ -chromatic number. The authors proposed also an heuristic approach and a linear programming model for the problem, which they further developed and generalized in [16].

We present in this work a linear time algorithm to compute the  $(k, i)$ -chromatic number of cycles. We generalize the result in order to derive a polynomial algorithm for this problem on cacti. We also show that these results hold for the  $k : i$ -chromatic number of cycles and cacti. Finally, we present a relation between the  $(k, i)$ -coloring problem on complete graphs and weighted binary codes.

## 1.1 Definitions and preliminary results

For standard definitions in graph theory not included in this section, we refer to [2]. The *line graph*  $L(G)$  of a graph  $G = (V, E)$  is the graph having as its vertex set the set  $E$  of edges, two vertices in  $L(G)$  being adjacent if their corresponding edges in  $G$  are incident.

A *multigraph* is a graph where parallel edges are allowed. *Multicycles* are cycles in which we can have parallel edges between two consecutive vertices. A multigraph is *k-uniform* if the number of parallel edges between any two adjacent vertices is exactly  $k$ .

An *edge coloring* of a (multi)graph  $G$  is an application from the edge set  $E$  to a set of colors such that incident edges are assigned different colors. The minimum number of colors in an edge coloring of  $G$  is called the *chromatic index*  $\chi'(G)$ .

An *independent set* (resp. *matching*) of a graph  $G$  is a subset of vertices (resp. edges) pairwise non-adjacent (resp. non-incident). Clearly, a matching in  $G$  corresponds to an independent set in  $L(G)$  and vice-versa.

A vertex  $v$  in a connected graph  $G$  is called a *cut-vertex* if  $G \setminus \{v\}$  is unconnected. A *block* is a maximal biconnected subgraph (i.e., a maximal connected subgraph without cut-vertices) of a graph. An *end-block* is a block containing exactly one cut-vertex. It is known that every connected graph that is not biconnected has an end-block.

Let  $G$  be a (multi)cycle on  $n$  vertices,  $m \geq n$  edges and maximum degree equal to  $\Delta$ . It is well known that  $\chi'(G) = \Delta$  if  $n$  is even. In fact, it follows from König's Theorem on edge-coloring of bipartite (multi)graphs. When  $n$  is odd, we have the following result due to Berge.

**Theorem 1.2.** [2] *Let  $G = (V, E)$  be a multicycle on  $n$  vertices with  $m$  edges and maximum degree  $\Delta$ . Let  $\tau = \lfloor \frac{n}{2} \rfloor$  denote the maximum cardinality of a matching in  $G$ . Then*

$$\chi'(G) = \begin{cases} \Delta & \text{if } n \text{ is even,} \\ \max\{\Delta, \lceil \frac{m}{\tau} \rceil\} & \text{if } n \text{ is odd} \end{cases}$$

Let  $G$  be a  $k$ -uniform multicycle on  $n$  vertices. It is not difficult to see that the line graph  $L(G)$  of  $G$  can be seen as the cycle  $C_n$  where each vertex is replaced by a clique of size  $k$  and all edges between two disjoint copies of  $K_k$  associated with two adjacent vertices in  $C_n$  are added. Therefore, we can rephrase Theorem 1.2 for  $k$ -uniform multicycles in terms of a vertex coloring problem of  $L(G)$  as follows.

**Corollary 1.3.** *Let  $L(G)$  be the line graph of a  $k$ -uniform multicycle  $G$  on  $n$  vertices. Let  $\alpha = \lfloor \frac{n}{2} \rfloor$  denote the maximum cardinality of an independent set in  $L(G)$ . Then*

$$\chi(L(G)) = \begin{cases} 2k & \text{if } n \text{ is even,} \\ \max\{2k, \lceil \frac{nk}{\alpha} \rceil\} & \text{if } n \text{ is odd} \end{cases}$$

Corollary 1.3 has been obtained independently by Stahl [17].

## 2 $(k, i)$ -coloring of cycles

It was already noticed in [15] that a bipartite graph has  $(k, i)$ -chromatic number at most  $2k - i$ , and that this is also the trivial lower bound for the  $(k, i)$ -chromatic number of any graph with at least one edge. Since even cycles are bipartite, this case is solved, and we will turn our attention to the odd case. In this section, we obtain a similar result as the one found by Brigham and Dutton [4] on odd cycles (Theorem 1.1). We prove that the  $(k, i)$ -chromatic number and the  $k : i$ -chromatic number are equal on odd cycles. Furthermore, we derive a simple linear time algorithm to  $(k, i)$ -color an odd cycle with the minimum number of colors, and we adapt it also for

$k : i$ -coloring.

We will compute first a lower bound for the  $(k, i)$ -chromatic number of  $C_n$  as follows.

**Lemma 2.1.** *Let  $C_n$  be a cycle on  $n = 2t + 1$  vertices. Then, for any non-negative integers  $i, k$  with  $0 \leq i \leq k$ , we have that  $\chi_k^i(C_n) \geq \max\{2k - i, \lceil \frac{n(k-i)}{t} \rceil\}$ .*

*Proof.* Notice that  $2k - i$  is a trivial lower bound for any graph with at least one edge. So, we only need to prove that  $\chi_k^i(C_n) \geq \lceil \frac{n(k-i)}{t} \rceil$ , where  $n = 2t + 1$ . Assume that the vertices of  $C_n$  are labeled consecutively by  $v_0, \dots, v_{n-1}$ . Arithmetic operations will be taken modulo  $n$ . Let  $c$  be an optimum  $(k, i)$ -coloring of the vertices of  $C_n$ , that is, for each vertex  $v_i$  we have that  $|c(v_i)| = k$ ; for each pair of adjacent vertices  $v_i, v_{i+1}$  we have that  $|c(v_i) \cap c(v_{i+1})| \leq i$ ; and the maximum color used by  $c$  is equal to  $\chi_k^i$ . Now, for each vertex  $v_i$  in  $C_n$ , let  $c'(v_i) = c(v_i) \setminus (c(v_i) \cap c(v_{i+1}))$ . Notice that the size of each set  $c'(v_i)$  is at least  $k - i$ , and that  $c'(v_i) \cap c'(v_{i+1}) = \emptyset$  for every  $i = 1, \dots, n$ . Therefore, it is not difficult to deduce that the sets  $c'$  can be used in order to color the vertices of the line graph of a multicycle on  $n$  vertices having at least  $k - i$  parallel edges between each pair of adjacent vertices. By Corollary 1.3, the result follows.  $\square$

Now, in order to compute an upper bound for the  $(k, i)$ -chromatic number of cycles, we will construct a  $(k, i)$ -coloring for these graphs. First, we need the following lemma.

**Lemma 2.2.** *Let  $n, n'$  be two odd integers, with  $n' > n \geq 3$ . Then any  $(k, i)$ -coloring of  $C_n$  can be extended to a  $(k, i)$ -coloring of  $C_{n'}$  without using additional colors.*

*Proof.* Let  $v_1, \dots, v_n$  be the vertices of  $C_n$  and let  $c$  be a  $(k, i)$ -coloring of  $C_n$ . Let  $v'_1, \dots, v'_{n'}$  be the vertices of  $C_{n'}$  and define  $c'$  as  $c'(v'_i) = c(v_i)$  for  $i = 1, \dots, n$ ;  $c'(v_{n+j}) = c(v_{n-1})$  if  $j$  is odd,  $c'(v_{n+j}) = c(v_n)$  if  $j$  is even, for  $j = 1, \dots, n' - n$ . It is easy to check that  $c'$  is a  $(k, i)$ -coloring of  $C_{n'}$ .  $\square$

Based on this, we propose the following simple algorithm.

**Lemma 2.3.** *Let  $n = 2t + 1$  with  $t \geq 1$ . Then,  $\chi_k^i(C_n) \leq \max\{2k - i, \lceil \frac{n(k-i)}{t} \rceil\}$ . Moreover, a  $(k, i)$ -coloring of  $C_n$  with  $\max\{2k - i, \lceil \frac{n(k-i)}{t} \rceil\}$  colors can be obtained by Algorithm 1.*

*Proof.* Let us see that the assignment  $c$  obtained by Algorithm 1 on  $C_{2t+1}$  defines a  $(k, i)$ -coloring.

Note that the algorithm assigns circular intervals of size  $k$  (i.e., either intervals of  $k$  consecutive numbers or intervals formed by the last  $d$  and the first  $k - d$  numbers) in such a way that  $c(v_1) = \{1, 2, \dots, k\}$  and for  $2 \leq j \leq 2t' + 1$ ,  $c(v_j)$  is the circular interval whose first  $i$  colors are the last  $i$  colors of  $c(v_{j-1})$ . As we have at least  $2k - i$  colors, the intersection of  $c(v_j)$  and  $c(v_{j-1})$  are exactly those  $i$  colors. The property  $|c(v_j) \cap c(v_{j-1})| = i$  holds also for  $2t' + 2 \leq j \leq 2t + 1$ , when  $t' < t$ , since they use alternately  $c(v_{2t'})$  and  $c(v_{2t'+1})$ . Therefore, in order to ensure that  $c$  is a valid  $(k, i)$ -coloring of  $C_{2t+1}$ , we just need to check that  $|c(v_{2t'+1}) \cap c(v_1)| \leq i$ .

By construction, the first number in the circular interval  $c(v_{2t'+1})$  is the number  $d$  in  $[1, N]$  that is congruent to  $2t'(k - i) + 1$  modulo  $N$ . We should prove

$$k - i + 1 \leq d \leq N - (k - i) + 1.$$

If  $t' = 1$ , then  $2t'(k - i) + 1 = 2(k - i) + 1$  and it holds  $k - i + 1 \leq 2(k - i) + 1$ . Also,  $2(k - i) + 1 \leq N - (k - i) + 1$  if and only if  $3(k - i) \leq N$ , but  $N = \max\{2k - i, \lceil \frac{n(k-i)}{t} \rceil\}$

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**Algorithm 1**

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**Input:** A cycle  $C_n$ ,  $n = 2t + 1$ , with vertices  $v_1, v_2, \dots, v_n$ , integers  $k$  and  $i$  with  $0 \leq i < k$ .

**Output:** An assignment  $c$  of  $k$  colors from  $[1, \max\{2k - i, \lceil \frac{n(k-i)}{t} \rceil\}]$  to each vertex of  $C_n$ .

- 1: Let  $N = \max\{2k - i, \lceil \frac{n(k-i)}{t} \rceil\}$ ;  $\ell = 1$ . Let  $t'$  be the minimum positive integer value such that  $\lceil \frac{(2t'+1)(k-i)}{t'} \rceil = \lceil \frac{n(k-i)}{t} \rceil$ , i.e., either  $t' = 1$  or  $t' > 1$  and  $\lceil \frac{(2t'-1)(k-i)}{t'-1} \rceil > \lceil \frac{n(k-i)}{t} \rceil$ . (This value can be obtained by binary search.)
  - 2: For  $j = 1$  to  $2t' + 1$  do:
    - If  $\ell + k - 1 \leq N$  then
    - $c(v_j) = [\ell, \ell + k - 1]$
    - else
    - $c(v_j) = [\ell, N] \cup [1, \ell + k - 1 - N]$
    - end if
    - If  $\ell + k - i \leq N$  then
    - $\ell = \ell + k - i$
    - else
    - $\ell = \ell + k - i - N$
    - end if
  - end for
  - 3: For  $j = t' + 1$  to  $t$  do:
    - $c(v_{2j}) = c(v_{2t'})$
    - $c(v_{2j+1}) = c(v_{2t'+1})$
  - end for
- 

and  $\lceil \frac{n(k-i)}{t} \rceil = \lceil \frac{(2t'+1)(k-i)}{t'} \rceil = 3(k-i)$ , so  $d = 2(k-i) + 1$  and this finishes the case  $t' = 1$ . Assume from now on that  $t' > 1$  and  $\lceil \frac{n(k-i)}{t} \rceil = \lceil \frac{(2t'+1)(k-i)}{t'} \rceil$  but  $\lceil \frac{n(k-i)}{t} \rceil < \lceil \frac{(2t'-1)(k-i)}{t'-1} \rceil$ , so  $\lceil \frac{n(k-i)}{t} \rceil < \frac{(2t'-1)(k-i)}{t'-1}$ . We will split now the proof into two cases, depending on the value of  $N$ .

*Case 1:*  $N = 2k - i$ . Note that  $\lceil \frac{n(k-i)}{t} \rceil = \lceil \frac{(2t'+1)(k-i)}{t'} \rceil = \lceil \frac{2tk - 2t'i + k - i}{t} \rceil = 2k - i + \lceil \frac{(k - (t+1)i)}{t} \rceil$ . So,  $\lceil \frac{n(k-i)}{t} \rceil \leq 2k - i \Leftrightarrow \frac{(k - (t+1)i)}{t} \leq 0 \Leftrightarrow k \leq (t+1)i$ . In particular, this will not be the case if  $i = 0$ . Thus,  $\max\{2k - i, \lceil \frac{n(k-i)}{t} \rceil\} = 2k - i$  if and only if  $i > 0$  and  $\frac{k}{i} \leq t + 1 \Leftrightarrow \lceil \frac{k}{i} \rceil - 1 \leq t$ . By our assumption about  $t'$  and as we have discarded the case  $t' = 1$ , it should be  $t' = \lceil \frac{k}{i} \rceil - 1$ .

But  $2t'(k-i) + 1 = t'(2k-i) - t'i + 1 \equiv 2k - i - t'i + 1 \pmod{2k-i}$ . Since  $t'i < k \leq (t'+1)i$ , it holds  $k - i + 1 < k - i + k - t'i + 1 = k + k - (t'+1)i + 1 \leq k + 1$ , so  $d = 2k - i - t'i + 1$  and this closes Case 1.

*Case 2:*  $N = \lceil \frac{n(k-i)}{t} \rceil = \lceil \frac{(2t'+1)(k-i)}{t'} \rceil$ . By the analysis in Case 1, that means  $\frac{(k - (t'+1)i)}{t'} > 0$ . Let  $b = \lceil \frac{(k - (t'+1)i)}{t'} \rceil$ , thus  $N = 2k - i + b$ . By our assumption about  $t'$  and as we have discarded the case  $t' = 1$ , it should be  $\frac{(k - t'i)}{t'-1} > b$ .

In this case,  $2t'(k-i) + 1 = t'N - t'i - t'b + 1 \equiv N - t'i - t'b + 1 \pmod{N}$ . On one hand,

$$\begin{aligned} N - t'i - t'b + 1 &\leq N - (k - i) + 1 &\Leftrightarrow \\ -t'(i + b) &\leq -(k - i) &\Leftrightarrow \\ \frac{k - (t'+1)i}{t'} &\leq b \end{aligned}$$

and this is satisfied because  $b = \lceil \frac{(k-(t'+1)i)}{t'} \rceil$ . On the other hand,

$$\begin{aligned} k - i + 1 &\leq N - t'i - t'b + 1 = (2k - i + b) - t'(i + b) + 1 \quad \Leftrightarrow \\ (t' - 1)b &\leq k - t'i \quad \Leftrightarrow \\ b &\leq \frac{(k - t'i)}{t' - 1} \end{aligned}$$

and we have observed that this inequality already holds. So  $d = N - t'i - t'b + 1$  and this ends the proof of this lemma.  $\square$

By the proofs of Lemmas 2.1 and 2.3, we have the following result.

**Theorem 2.4.** *Let  $C_n$  be a cycle on  $n = 2t + 1$  vertices. Then,  $\chi_k^i(C_n) = \max\{2k - i, \lceil \frac{n(k-i)}{t} \rceil\}$  and a  $(k, i)$ -coloring of  $C_n$  with  $\chi_k^i(C_n)$  colors can be obtained in  $O(n)$  time.*

For example, the  $(4, 1)$ -coloring of  $C_3$  obtained by Algorithm 1 is  $\{1, 2, 3, 4\}$ ,  $\{4, 5, 6, 7\}$ ,  $\{7, 8, 9, 1\}$ , the  $(4, 1)$ -coloring of  $C_5$  obtained by Algorithm 1 is  $\{1, 2, 3, 4\}$ ,  $\{4, 5, 6, 7\}$ ,  $\{7, 8, 1, 2\}$ ,  $\{2, 3, 4, 5\}$ ,  $\{5, 6, 7, 8\}$ , the  $(4, 1)$ -coloring of  $C_7$  obtained by Algorithm 1 is  $\{1, 2, 3, 4\}$ ,  $\{4, 5, 6, 7\}$ ,  $\{7, 1, 2, 3\}$ ,  $\{3, 4, 5, 6\}$ ,  $\{6, 7, 1, 2\}$ ,  $\{2, 3, 4, 5\}$ ,  $\{5, 6, 7, 1\}$ , and the  $(4, 1)$ -coloring of  $C_{11}$  obtained by Algorithm 1 is an extension of the coloring of  $C_7$ , namely,  $\{1, 2, 3, 4\}$ ,  $\{4, 5, 6, 7\}$ ,  $\{7, 1, 2, 3\}$ ,  $\{3, 4, 5, 6\}$ ,  $\{6, 7, 1, 2\}$ ,  $\{2, 3, 4, 5\}$ ,  $\{5, 6, 7, 1\}$ ,  $\{2, 3, 4, 5\}$ ,  $\{5, 6, 7, 1\}$ ,  $\{2, 3, 4, 5\}$ ,  $\{5, 6, 7, 1\}$ .

## 2.1 Extension to the $k : i$ -coloring problem

Note that an optimal  $(k, i)$ -coloring of  $C_{2t}$  is always a  $k : i$ -coloring, since it uses  $2k - i$  colors, but for odd cycles this is not always the case. Indeed, the  $(4, 1)$ -coloring of  $C_5$  obtained by Algorithm 1 is not a  $4 : 1$ -coloring, since  $c(v_5) \cap c(v_1) = \emptyset$ .

Note also that an analogous to Lemma 2.2 can be proved for the  $k : i$ -coloring problem. We will show now that, if a  $(k, i)$ -coloring  $c$  of  $C_{2t+1}$  is obtained by Algorithm 1, one can modify the set  $c(v_{2t+1})$  by a simple procedure, in order to obtain a  $k : i$ -coloring of  $C_{2t+1}$  with the same number of colors.

First notice that  $|c(v_i) \cap c(v_{i+1})| = i$  for  $i = 1, \dots, 2t$ , and  $|c(v_{2t+1}) \cap c(v_1)| \leq i$ . Assume  $|c(v_{2t+1}) \cap c(v_1)| < i$ , otherwise we are done. We have to show how to increase  $|c(v_{2t+1}) \cap c(v_1)|$  without decreasing  $|c(v_{2t+1}) \cap c(v_{2t})|$ .

Let us define the following sets:  $A = c(v_1) \cap c(v_{2t}) \setminus c(v_{2t+1})$ ,  $B = c(v_1) \cap c(v_{2t}) \cap c(v_{2t+1})$ ,  $C = c(v_1) \setminus (c(v_{2t}) \cup c(v_{2t+1}))$ ,  $D = c(v_{2t}) \setminus (c(v_1) \cup c(v_{2t+1}))$ ,  $E = c(v_{2t}) \cap c(v_{2t+1}) \setminus c(v_1)$ ,  $F = c(v_1) \cap c(v_{2t+1}) \setminus c(v_{2t})$ ,  $G = c(v_{2t+1}) \setminus (c(v_1) \cup c(v_{2t}))$  (see Figure 1), and let  $x = |X|$  for  $X = A, \dots, G$ .

If  $g > 0$  and  $c > 0$ , we can replace in  $c(v_{2t+1})$  a color from  $G$  by a color from  $C$ , and if  $e > 0$  and  $a > 0$ , we can replace in  $c(v_{2t+1})$  a color from  $E$  by a color from  $A$ . In both cases, we are increasing  $|c(v_{2t+1}) \cap c(v_1)|$  without decreasing  $|c(v_{2t+1}) \cap c(v_{2t})|$ .

If  $c = 0$ , the total number of colors used by  $v_1$ ,  $v_{2t}$ , and  $v_{2t+1}$  is  $2k - i$ , so  $|c(v_{2t+1}) \cap c(v_1)| \geq i$ , a contradiction to our assumption. So,  $c > 0$ . If  $g = 0$  then  $e > 0$ , otherwise  $|c(v_{2t+1})| = b + f < i \leq k$ , a contradiction. Therefore, we only have to show that if  $g = 0$  then  $a > 0$ . Suppose  $g = a = 0$ . Then  $c > k - i$ ,  $d = k - i$ , and  $b + e + f = k$ . So, the total number of colors used by  $v_1$ ,  $v_{2t}$ , and  $v_{2t+1}$  is strictly greater than  $3k - 2i$ . We will show that, instead, the number of colors used by

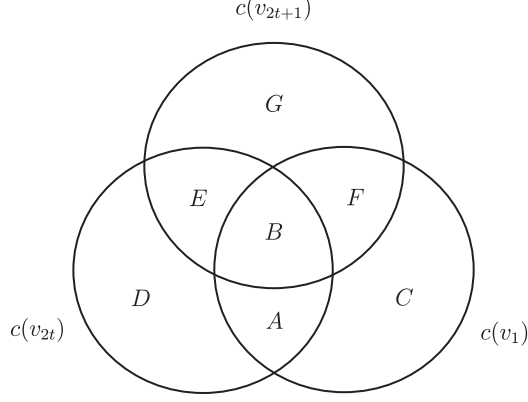


Figure 1: Diagram for the definition of color sets.

Algorithm 1 is at most  $3k - 2i$ . It is clear that  $2k - i \leq 3k - 2i$  since  $i \leq k$ , so we will assume that the number of colors used is  $2k - i + \lceil \frac{(k-(t+1)i)}{t} \rceil$ .

$$2k - i + \lceil \frac{(k - (t + 1)i)}{t} \rceil \leq 3k - 2i \Leftrightarrow \lceil \frac{(k - (t + 1)i)}{t} \rceil \leq k - i \Leftrightarrow$$

$$\frac{(k - (t + 1)i)}{t} \leq k - i \Leftrightarrow 0 \leq (t - 1)k + i$$

And this completes the argument.

In the previous example, the  $(4, 1)$ -coloring of  $C_5$  obtained by Algorithm 1 would be modified as to obtain, for instance, the following  $4 : 1$ -coloring:  $\{1, 2, 3, 4\}$ ,  $\{4, 5, 6, 7\}$ ,  $\{7, 8, 1, 2\}$ ,  $\{2, 3, 4, 5\}$ ,  $\{5, 6, 7, 1\}$ .

It may be interesting to characterize in general the graphs  $G$  such that  $\chi_k^i(G) = \chi_k^{(i)}(G)$ , or those graphs  $G$  such that  $\chi_k^i(H) = \chi_k^{(i)}(H)$  for each induced subgraph  $H$  of  $G$ .

## 2.2 Generalization to cacti

These results can be easily generalized for cacti. A graph  $G$  is a *cactus* if it does not contain two cycles that share an edge. It is a known fact that every block (maximal 2-connected subgraph) of a cactus is either an edge or a chordless cycle. We will base our proof on the following easy lemma, that holds for many coloring problems.

**Lemma 2.5.** *Let  $G$  be a graph. The  $(k, i)$ -chromatic number of  $G$  is the maximum of the  $(k, i)$ -chromatic numbers of its blocks.*

*Proof.* Clearly, it is enough to prove it for connected graphs. We proceed by induction on the number of blocks  $m$  of  $G$ . If  $G$  has only one block, the result trivially holds. For the inductive case, suppose the lemma holds for all graphs with fewer than  $m$  blocks. Let  $B$  be an end-block of  $G$  and let  $v$  be the cut-vertex of  $G$  that belongs to  $B$ . Let  $G'$  be the subgraph of  $G$  induced by

$(V(G) \setminus B) \cup \{v\}$ . By inductive hypothesis, the  $(k, i)$ -chromatic number of  $G'$  is the maximum of the  $(k, i)$ -chromatic numbers of its blocks.

Let  $f'$  be a  $(k, i)$ -coloring of  $G'$  with the minimum number of colors, and  $f''$  be an optimal  $(k, i)$ -coloring of the subgraph of  $G$  induced by  $B$ . By renaming the colors in  $f''$  in such a way that  $f''(v) = f'(v)$ , we can combine  $f'$  and  $f''$  in order to obtain a  $(k, i)$ -coloring of  $G$  without adding any new colors. This proves the lemma.  $\square$

By Theorem 2.4 and Lemma 2.5, we obtain directly the following result.

**Corollary 2.6.** *Let  $G$  be a cactus. Then, a  $(k, i)$ -coloring of  $G$  with  $\chi_k^i(G)$  colors can be computed in linear time.*

Note that Lemma 2.5 and Corollary 2.6 can be proved analogously for the  $k : i$  coloring problem.

### 3 $(k, i)$ -coloring of cliques

Brigham and Dutton proved the next partial results on the  $k : i$ -coloring of cliques:

**Theorem 3.1.** [4]

(a) *If  $n \leq \frac{k}{i} + 1$  then  $\chi_k^{(i)}(K_n) = kn - \frac{n(n-1)i}{2}$ .*

(b) *If  $n \geq k^2 - k + 2$  then  $\chi_k^{(i)}(K_n) = kn - (n-1)i$ .*

Part (a) of Theorem 3.1 also holds for  $\chi_k^i(K_n)$ . This was proved by Méndez-Díaz and Zabalá in [15]. Part (b), however, does not. For a counterexample, let  $n = 4$ ,  $k = 2$  and  $i = 1$ . We have that  $\chi_2^{(1)}(K_4) = 5$ , but  $\chi_2^1(K_4) = 4$ . Indeed, by Theorem 3.1 part (b), we have that  $\chi_2^{(1)}(K_4) = 5$  and  $\{\{1, 2\}, \{1, 3\}, \{1, 4\}, \{1, 5\}\}$  is a proper  $2 : 1$  coloring of  $K_4$ . On the other hand,  $\{\{1, 2\}, \{1, 3\}, \{1, 4\}, \{3, 4\}\}$  is a proper  $(2, 1)$ -coloring of  $K_4$ , and thus  $\chi_2^1(K_4) \leq 4$ . By Proposition 3.5 below, we will obtain that  $\chi_2^1(K_4) \geq 4$ .

The general problem of  $(k, i)$ -coloring cliques is still open, and it is also closely related to one of the central concerns in coding theory. We give now some definitions we need to present this relation. A *binary code* (or just a *code*, for brevity) is a set of binary vectors (or *codewords*) of length  $j$ . If a position in a binary vector contains a one, it will be called a *1-position* and a *0-position* otherwise. The *size* of a code is its cardinality. The *Hamming distance* of two codewords  $a$  and  $b$  is the number of positions in which they differ. The *distance*  $d_C$  of a code  $C$  is the smallest Hamming distance between any two codewords of  $C$ . A  $(j, d, k)$ -*constant weight code* is a set of codewords of length  $j$  and exactly  $k$  ones in each of them, with Hamming distance at least equal to  $d$ .

Given  $j$ ,  $d$  and  $k$ , the question of determining the largest possible size  $A(j, d, k)$  of a  $(j, d, k)$ -constant weight code has been studied for almost forty years, and remains one of the most basic questions in coding theory. The general answer is not known, but several upper and lower bounds on  $A(j, d, k)$  have been found (see [1, 7] and references therein). We study now the relation between  $A(j, d, k)$  and the  $k, i$ -coloring of cliques in the following Theorem:

**Theorem 3.2.** *A  $(k, i)$ -coloring for  $K_n$  with  $j$  colors does exist if and only if  $A(j, 2(k-i), k) \geq n$ .*

*Proof.* We start with the proof of necessity. Let  $f$  be a  $(k, i)$ -coloring of  $K_n$  with  $j$  colors. Construct a set  $B = \{b_1, b_2, \dots, b_n\}$  of  $n$  binary vectors, each of length  $j$ , such that every vector is the



characteristic function of the set of colors associated with each vertex of  $K_n$ . That is, for every vertex  $v_s$  of  $K_n$  we have vector  $b_s = (b_s^1, b_s^2, \dots, b_s^j)$ , where  $b_s^t = 1$  if and only if color  $t$  belongs to  $f(v_s)$ . We will show that  $d_B \geq 2(k-i)$ . Let  $v_x$  and  $v_y$  be any two vertices of  $K_n$ , and  $b_x$  and  $b_y$  their associated binary vectors in  $B$ . Since  $|f(v_x) \cap f(v_y)| \leq i$ ,  $b_x$  and  $b_y$  have at most  $i$  1-positions in common. Vector  $b_x$  has  $k$  1-positions in total, so at least  $(k-i)$  1-positions of  $b_x$  must be distributed along positions where  $b_y$  holds a 0. Analogously, vector  $b_y$  must also accommodate at least  $(k-i)$  1's along positions that store a 0 in  $b_x$ . This means that they differ in at least  $2(k-i)$  positions, so  $d(b_x, b_y) \geq 2(k-i)$ . Since  $v_x$  and  $v_y$  are two arbitrary vertices of  $K_n$ , we have by definition of distance that  $d_B \geq 2(k-i)$ , so  $A(j, 2(k-i), k) \geq n$ .

We prove now sufficiency. Suppose  $A(j, 2(k-i), k) \geq n$ . Let  $B$  be a code that realizes  $A(j, 2(k-i), k) \geq n$ . Choose any  $n$ -subset of  $B$ . We have now only to interpret each binary vector  $b \in B$  as a color set  $S_b$ , where a color  $c$  belongs to  $S_b$  if and only if  $b_c = 1$ . We obtain  $n$  color sets, each of cardinality  $k$ . By the same argument as before, no two of them have more than  $i$  colors in common, otherwise their corresponding binary vectors would be at a distance smaller than  $2(k-i)$ . Assign each set to a vertex of  $K_n$ . This is a valid  $(k, i)$ -coloring  $f$  that uses no more than  $j$  colors.  $\square$

By Theorem 3.2, we can rephrase the definition of the  $(k, i)$ -chromatic number of a complete graph  $K_n$  as the minimum positive integer  $j$  such that  $A(j, 2(k-i), k) \geq n$ . This fact is used in the following straightforward corollary.

**Corollary 3.3.** *If  $A(j, 2(k-i), k) \leq n$  and  $m > n$ , then  $\chi_k^i(K_m) > j$ .*

Thanks to Corollary 3.3, any upper bound on  $A(j, d, k)$  for an even number  $d$ , can be used for generating new lower bounds for the  $(k, k - \frac{d}{2})$ -chromatic number of complete graphs. We will do so with the well known Johnson bound, presented in the next theorem:

**Theorem 3.4.** [13]  *$A(j, 2r, k) \leq \lfloor \frac{rj}{k^2 - kj + rj} \rfloor$ , if the denominator is positive.*

Let  $j$  be an integer such that  $\frac{k^2}{i} > j$  (1). By Theorem 3.4 applied to  $A(j, 2(k-i), k)$ , we have that  $A(j, 2(k-i), k) \leq \lfloor \frac{(k-i)j}{k^2 - ij} \rfloor$ . Note that by our choice of  $j$ , the denominator is a positive number. Corollary 3.3 applied on this bound yields  $\chi_k^i(K_n) > j$ , if  $n > \lfloor \frac{(k-i)j}{k^2 - ij} \rfloor$  (2). We are interested in the largest possible lower bound on  $\chi_k^i(K_n)$ , so we will find the maximum value for  $j$  that meets the given inequalities (1) and (2). For (2), we may write:

$$\begin{aligned} n &> \lfloor \frac{(k-i)j}{k^2 - ij} \rfloor \\ n &> \frac{(k-i)j}{k^2 - ij} \quad (\text{If } x \in \mathbb{R}, n \in \mathbb{N}, n > x \iff n > \lfloor x \rfloor) \\ nk^2 &> (k-i)j + nij \\ \frac{nk^2}{(n-1)i + k} &> j \end{aligned}$$

For any real number  $x$  and any natural number  $j$ , we have  $x > j \iff \lceil x \rceil > j$ , so the largest possible value for  $j$  is  $\lceil \frac{nk^2}{(n-1)i + k} \rceil - 1$ . We show now that this value of  $j$  also meets (1):

$$\begin{aligned} \lceil \frac{nk^2}{(n-1)i + k} \rceil - 1 &\leq \lceil \frac{nk^2}{(n-1)i + i} \rceil - 1 \quad (\text{Because } k \geq i) \\ &= \lceil \frac{k^2}{i} \rceil - 1 < \frac{k^2}{i} \end{aligned}$$

The second line holds since for all  $x \in \mathbb{R}$ ,  $\lceil x \rceil - x < 1$ .

We have thus calculated our maximum possible  $j$ . Replacing this value of  $j$  in  $\chi_k^i(K_n) > j$  gives rise to the following new lower bound on  $\chi_k^i(K_n)$ :

**Proposition 3.5.**  $\chi_k^i(K_n) > \lceil \frac{nk^2}{(n-1)i+k} \rceil - 1$

We may as well take advantage of results on specific values of  $A(j, d, k)$  found in the literature for achieving bounds on  $\chi_k^i(K_n)$ , for some values of  $n, k$  and  $i$ . We choose as an example a theorem due to Hanani:

**Theorem 3.6.** [8, 9, 10, 11]

(a)  $A(j, 6, 4) = \frac{j(j-1)}{12}$ , if and only if  $j \equiv 1$  or  $4 \pmod{12}$ .

(b)  $A(j, 8, 5) = \frac{j(j-1)}{20}$ , if and only if  $j \equiv 1$  or  $5 \pmod{20}$ .

**Proposition 3.7.** Let  $j \equiv 1$  or  $4 \pmod{12}$ . Then

(a)  $\chi_4^1(K_n) > j$ , if  $n > \frac{j(j-1)}{12}$ .

(b)  $\chi_4^1(K_n) \leq j$ , if  $n \leq \frac{j(j-1)}{12}$ .

*Proof.* Part (a) is a direct consequence of Theorem 3.6 (a) and Corollary 3.3. Part (b) follows from Theorem 3.6 (a) and Theorem 3.2.  $\square$

**Proposition 3.8.** Let  $j \equiv 1$  or  $5 \pmod{20}$ . Then

(a)  $\chi_5^1(K_n) > j$ , if  $n > \frac{j(j-1)}{20}$ .

(b)  $\chi_5^1(K_n) \leq j$ , if  $n \leq \frac{j(j-1)}{20}$ .

*Proof.* The proof is analogous to Proposition 3.7, using now Part (b) of Theorem 3.6.  $\square$

## 4 Conclusions and future work

We have presented in this work a simple linear time algorithm to compute the  $(k, i)$ -chromatic number and an optimum  $(k, i)$ -coloring of cycles, and we have generalized the result in order to derive a polynomial time algorithm for this problem on cacti. We have furthermore adapted the algorithm in order to obtain an optimum  $k : i$ -coloring of cycles and cacti (the  $k : i$ -chromatic number of cycles was already known [4]). We also present a relation between this problem on complete graphs and weighted binary codes.

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