

Asymptotic behaviour of a simple cellular
automaton:
Use of scale invariance.

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joint work with Mathieu Sablik

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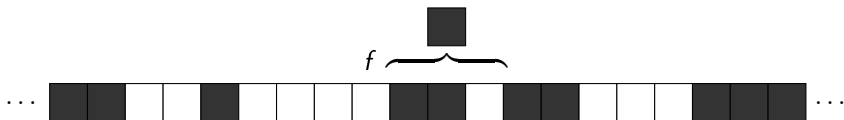
March 9, 2012

Cellular automata

- ▶ \mathcal{A} a finite alphabet ;
- ▶ $\mathcal{A}^{\mathbb{Z}}$ the set of **configurations**.

A **cellular automaton** (CA) is an action $F : \mathcal{A}^{\mathbb{Z}} \rightarrow \mathcal{A}^{\mathbb{Z}}$ defined by a **local rule** $f : \mathcal{A}^{[-r,r]} \rightarrow \mathcal{A}$ (for some $r > 0$).

Example with $\mathcal{A} = \{\blacksquare, \square\}$ and $r = 1$:

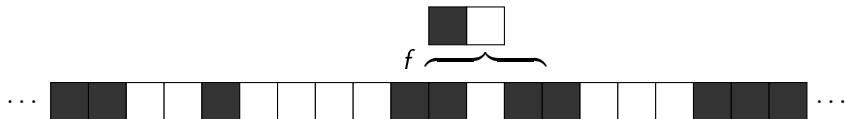


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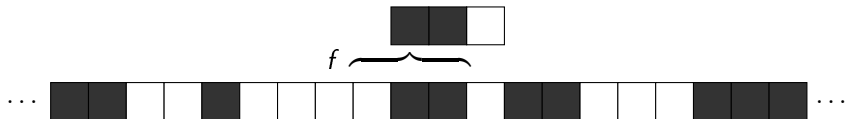


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Example with $\mathcal{A} = \{\blacksquare, \square\}$ and $r = 1$:



Define the **shift** action as $\sigma(a)_i = a_{i-1}$.

Initial measure

We are considering the case where the initial configuration is chosen at random.

- ▶ $\mathcal{M}_\sigma(\mathcal{A}^{\mathbb{Z}})$: set of σ -invariant probability measures;
- ▶ F extends to an action $\mathcal{M}_\sigma(\mathcal{A}^{\mathbb{Z}}) \rightarrow \mathcal{M}_\sigma(\mathcal{A}^{\mathbb{Z}})$;
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Examples of initial measures:

- ▶ **Bernoulli measures** where each cell is drawn independently;
- ▶ **Markov measures**, which have finite memory;
- ▶ **Hidden Markov** (image of a Markov measure by a factor).

Limit measures, μ -limit set

Asymptotic behaviour can be described by the **persistent words**, whose probability to appear does not tend to 0 as $t \rightarrow \infty$.

μ -limit set

The μ -**limit set** $\Lambda_\mu(F)$ is the set of configurations containing only persistent words.

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Alternatively, it can be described as the **support of the adherence values** of the sequence $(F^n \mu)$ in the appropriate topology:

$\mathcal{A}^{\mathbb{Z}}$:

$\mathcal{M}_\sigma(\mathcal{A}^{\mathbb{Z}})$:

Cantor distance

$$d_c(u, v) = |\mathcal{A}|^{-\min\{|n|; u_n \neq v_n\}}$$

Product topology

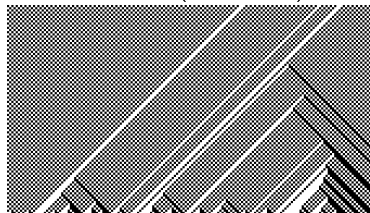
Lévy-Prohorov distance

$$d(\mu, \nu) = \sum_{u \in \mathcal{A}^*} \frac{\mu([u]_0) - \nu([u]_0)}{|\mathcal{A}|^{|u|}}$$

Weak-* convergence topology

Self-organization: qualitative approach

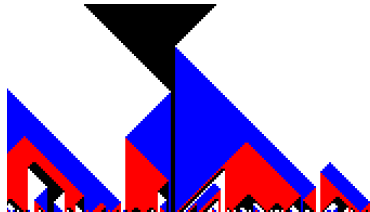
Rule 184 (traffic rule)



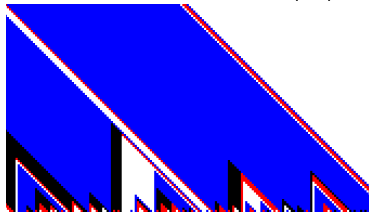
3-state cyclic CA



4-state cyclic CA



One-sided captive such that $f(ab)=f(ba)$

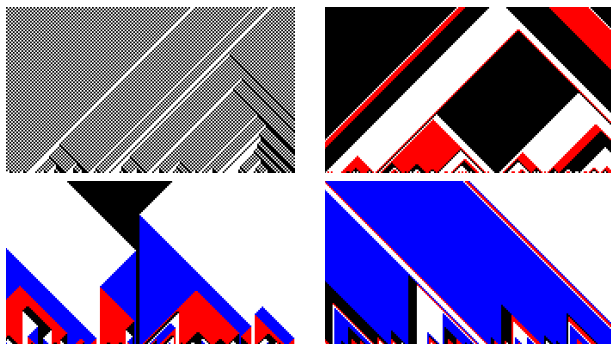


Self-organization: qualitative approach

Theorem [H., Sablik, 2011]

Let F be a CA, μ a σ -ergodic measure. Define a "set of particles" evolving at constant "speed" and such that any particle interaction is "destructive".

Then **particles appearing in $\Lambda_\mu(F)$ all have the same speed.**



Gliders automaton

Let $\mathcal{A} = \{+, 0, -\}$ and $v_- < v_+$.

The (v_-, v_+) -**gliders automaton** is the CA with two particles:

- + evolving at speed v_+ ;
- evolving at speed v_- .

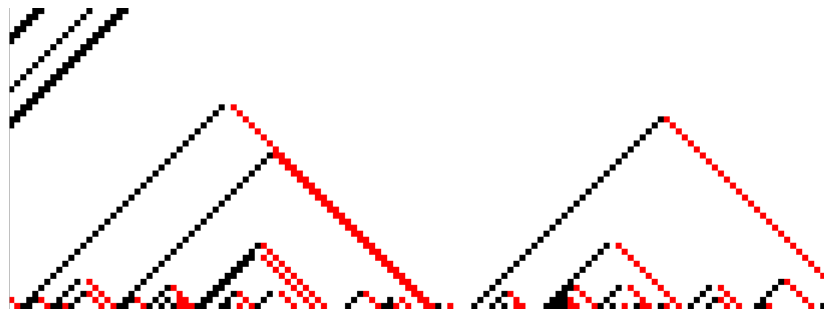


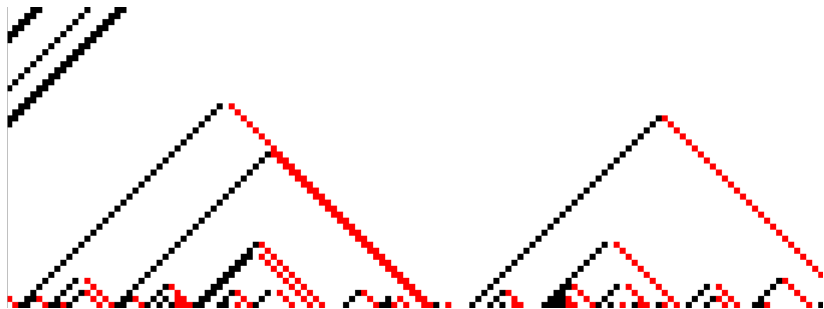
Figure: $v_- = -1, v_+ = 1$

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For any ergodic measure μ with $\mu([+]) = \mu([-])$, Λ_μ **contains no particle**.

Scope of our results

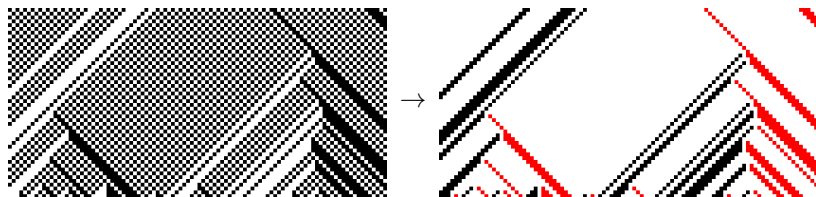
We will consider two families of initial measures :

- ▶ $\mathcal{B}er$ the Bernoulli measures satisfying $p_+ = p_- \neq 0$ (simple case);
- ▶ \mathcal{HM} the hidden transitive, aperiodic Markov measures satisfying:
 - ▶ $\mu([+]) = \mu([-])$;
 - ▶ $\sum_{k \geq 0} \mathbb{E}(\pi_0 \mu \cdot \pi_k \mu) > 0$ (asymptotic variance).

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Factor Φ : $\square\square \rightarrow \blacksquare$ $\blacksquare\blacksquare \rightarrow \color{red}\blacksquare$ $\begin{smallmatrix} \square & \blacksquare \\ \blacksquare & \square \end{smallmatrix} \rightarrow \square$.

Then we have $\Phi : \mathcal{B}er \rightarrow \mathcal{H}\mathcal{M}$.

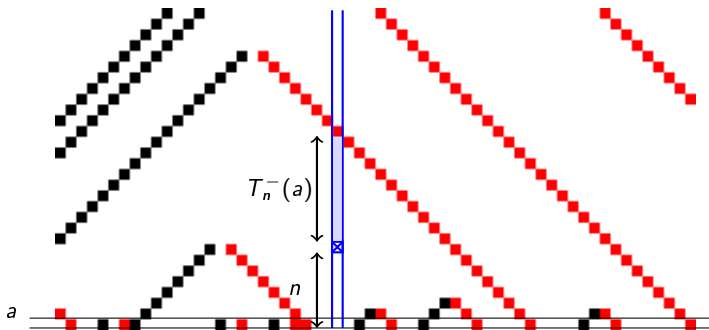
Entry times

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Let $v_- < v_+ \in \mathbb{Z}$ and $a \in \mathcal{A}^{\mathbb{Z}}$. If $v_- \neq 0$, we define:

$$T_n^-(a) = \min\{k \in \mathbb{N} \mid F^{k+n}(a)_{[0, |v_-|-1]} \text{ contains a particle } -1\}$$

respectively $T_n^+(a)$. This is the **entry time** of a into the set $\{b \in \mathcal{A}^{\mathbb{Z}} \mid b_{[0, |v_-|-1]}$ contains a particle $-1\}$ after time n at position 0.



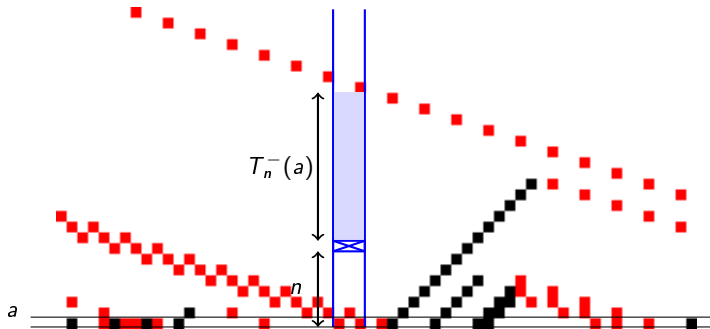
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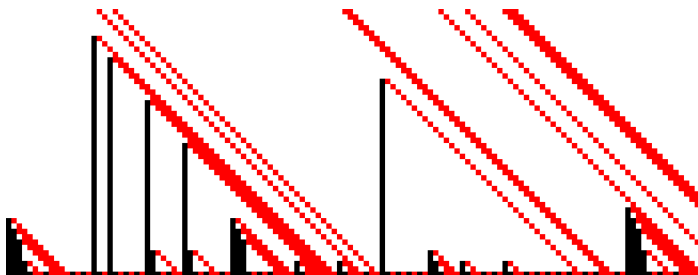
State of the art

Theorem (Denunzio, Formenti, Kurka, 2011)

Consider the $(-1, 0)$ -gliders automaton with an initial Bernoulli measure of parameters $p_+ = p_- = 1/2$. Then:

$$\mathbb{P} \left(\frac{T_n^-(a)}{n} \leq x \right) \xrightarrow{n \rightarrow \infty} \frac{2}{\pi} \arctan \sqrt{2x}.$$

- ▶ Purely combinatorial approach.



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Conjecture (op.cit.)

If instead we have $\mu \in \mathcal{Ber}$,

$$\mathbb{P} \left(\frac{T_n^-(a)}{n} \leq x \right) \xrightarrow{n \rightarrow \infty} \frac{2}{\pi} \arctan \sqrt{2px}.$$

Entry times

Theorem 1

- ▶ (v_-, v_+) -gliders automaton with $v_- \in \mathbb{Z}^-$, $v_+ \in \mathbb{Z}^+$.
- ▶ Initial measure in \mathcal{HM}

Then for almost all initial configuration a ,

$$\mathbb{P} \left(\frac{T_n^-(a)}{n} \leq x \right) \xrightarrow{n \rightarrow \infty} \frac{2}{\pi} \arctan \left(\sqrt{\frac{-v_- x}{v_+ - v_- + v_+ x}} \right)$$

and symmetrically for T_n^+ .

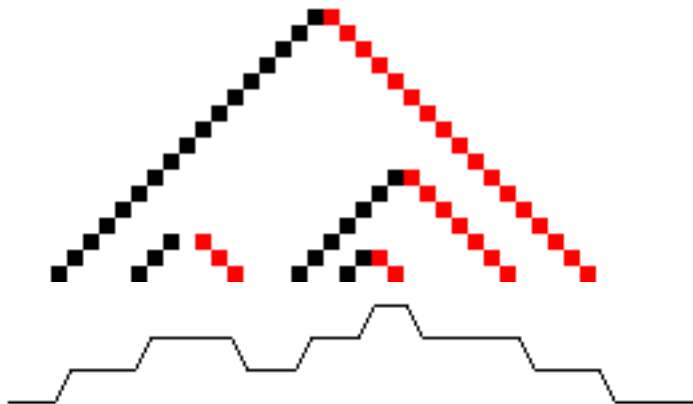
Remarks

- ▶ Independent of $\mu([+])$, $\mu([-])$.
- ▶ This **disproves** the conjecture.

Quantitative approach

For $a \in \mathcal{A}^{\mathbb{Z}}$, we define the process M_a that:

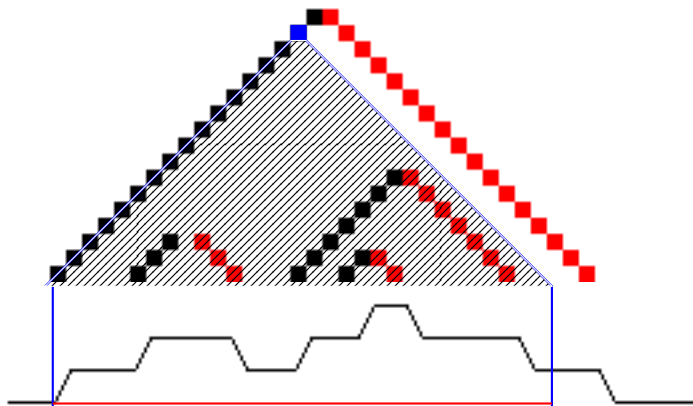
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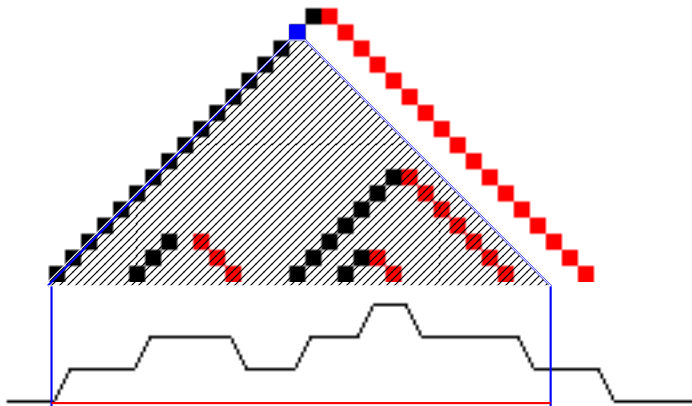
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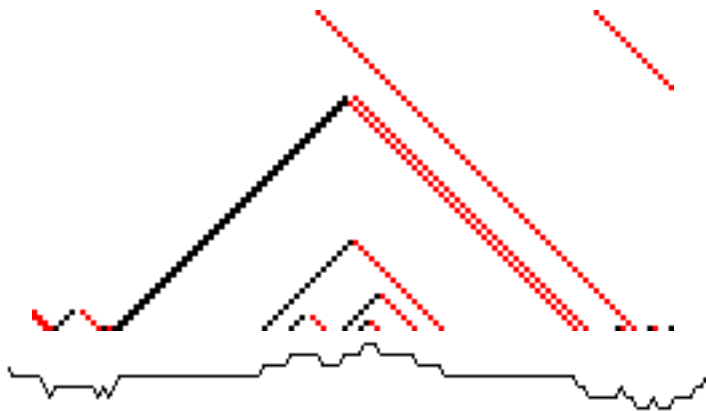
Quantitative approach

$F_n(a)_0 = + \Leftrightarrow M_a$ on $[-n, n]$ admits a **minimum** in $-n$.

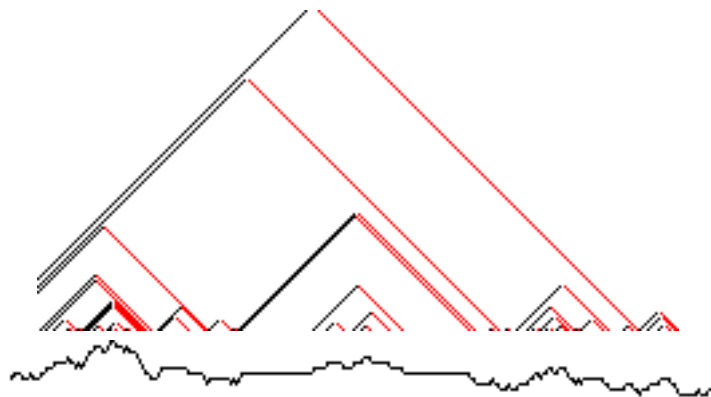
and symmetrically for $-$.



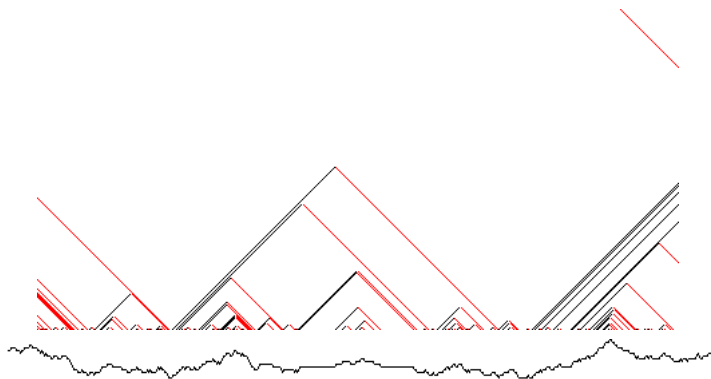
Quantitative approach



Quantitative approach



Quantitative approach



Using scale invariance, we approximate the process by a **Brownian motion**.

Density of particles

Densities

For a configuration $a \in \mathcal{A}^{\mathbb{Z}}$, the **density of particles -** (resp. **+**) is:

$$d_-(a) = \limsup_{n \rightarrow \infty} \frac{\#\{i \in [-n, n] \mid a_i = -\}}{2n + 1}$$

Theorem 2

For an initial measure μ , we have:

- ▶ If $\mu \in \mathcal{B}er$:

$$\text{For almost all } a, d_-(F^n(a)) = \Theta\left(n^{-\frac{1}{2}}\right)$$

- ▶ If $\mu \in \mathcal{HM}$:

$$\text{For almost all } a, \forall \varepsilon > 0, d_-(F^n(a)) = O\left(n^{-\frac{1}{4} + \varepsilon}\right)$$

and similarly for d_+ .

Rate of convergence

Theorem 3

Consider any (v_-, v_+) -gliders automaton with $v_- < v_+$.

- ▶ $\mu \in \mathcal{HM}$ the initial measure,
- ▶ λ the limit measure (weighing only the particleless configuration),
- ▶ d be the Lévy-Prohorov distance defined earlier.

Then:

$$\forall \varepsilon > 0, d(F^n \mu, \lambda) = O\left(n^{-\frac{1}{8} + \varepsilon}\right)$$

If furthermore $\mu \in \mathcal{Ber}$, we have:

$$d(F^n \mu, \lambda) = \Omega\left(n^{-\frac{1}{2}}\right)$$

Perspectives

- ▶ With the same approach, better understanding of the limit diagram.
- ▶ Extending this kind of results to more particles and different interactions, and eventually to whole classes of automata (e.g. **captive automata**)

